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7		VIL SERVICE AND LABOR JOINTLY WITH VERNMENTAL OPERATIONS
8		November 27, 2018
9		Start: 1:08 p.m. Recess: 2:28 p.m.
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11	HELD AT:	250 Broadway-Committee Rm, 14 <sup>th</sup> Fl.
12	BEFORE:	I. DANEEK MILLER Chairperson
13		FERNANDO CABRERA Co-Chair
14		
15	COUNCIL MEMBER	BEN KALLOS
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	COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH
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3	APPEARANCES (CONTINUED)
4	Dawn Pinnock Executive Deputy Commissioner at the New York
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7	Deputy Commissioner for Human Capital at the New York City Department of Citywide Administrative
8	Services, DCAS
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# COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS

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[gavel]

CHAIRPERSON MILLER: Good afternoon everyone and for the record we are not tardy, we were here, we, we watched everyone come in and, and kind of the minutiae of, of, of the council, we were voting across the hall and upstairs simultaneously and, and, and now we're back to address this important topic. So, good afternoon, I'm Council Member I. Daneek Miller and the Chair of the Committee on Civil and Labor. I'm glad to be joined by my colleague, Fernando Cabrera, the Chair of the Committee on Governmental Operations. Today we are holding an oversight hearing examining the civil service system with a particular focus on provisional employee reduction plan. In addition to the reduction plan we will examine the length of time it takes for eligible lists to be generated as a, a civil service exam is taken, the ability of DCAS who holds civil service examinations generate eligibility lists and place people into jobs directly related to reducing the number of provisional employees employed by the city of New York. It is also directly related to the city's legal obligation to achieve sustain,

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sustainable compliance with the length of provisional appointments permitted by law. The last hearing that we held on this topic was March of, of '16 with previous hearings being held in February of '15 and, and November '14. New York City's civil service system is highly complex and marred with numerous issues specifically regarding provisional employees in general. New York City works like many other municipalities across the country filling its positions through civil service process. To be permanently appointed to one of these positions an applicant must take the test and pass a competitive civil service examination administered by DCAS. If there is no list of potential candidates who have passed the exam, then provisional employees are appointed provisionally fill such vacancies. State law dictates that no provisional employee may serve for a period in excess of nine months. Unfortunately, this has not been the case in New York City or other municipalities across the state and thousands of provisional employees have served for years in these jobs well beyond the nine months envisioned by the state. A court case in 2007 emphasized the importance of municipalities to abide by time limitations

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2	imposed by the state and the state legislature
3	subsequently passed a law that required all New York
4	State municipalities including New York City to
5	reduce the number of provisional employees employed,
6	first New York City issued in 2008 provisional
7	reduction five year plan, since then the city has
8	received state extensions on its plan to
9	substantially comply with the state law in respect to
10	provisional employees and the Long Beach decision.
11	Although provisional employee reduction plan was to
12	have reduced all provisional employees down by at
13	least five percent by 2013. Still existing by august
14	of 31 August 31 <sup>st</sup> of '18, 17,455 provisional
15	employees within the city's workforce. This marks a
16	substantial reduction of 18 of, of 1,898 within one
17	quarter thus DCAS has made real progress in
18	attempting to reduce the number of provisional
19	employees on the city's payroll and should be
20	commended for the numerous steps it has taken to
21	achieve such a decline but it's not jsut start
22	kissing each other just yet. However, DCAS must
23	continue to DCAS must continue to work and, and
24	more is needed to be done as the city is still not on
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target to meet its state-imposed deadline.

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Recognizing this and, and wanting to provide the city
and other municipalities the opportunity to continue
to implement their reduction plan while ensuring the
continued quality of effect, effectiveness of
governmental operations, the state legislature has
passed in an assembly bill 11241 and 8837 I'm sorry
11241 is senate and assembly 8837. This bill still
awaiting the signature and the governor would allow
the city to update the continued implement its
provisional plan, permit DCAS to administer an
examination to provisional employees in certain
titles with specific qualifications and experience
and authorize DCAS to submit to the state a revised
reduction plan to be implemented by December 31st of
2021 to further reduce the number of provisional
employees. In addition, D if DCAS is not in
substantial compliance with the new time period
granted by the bill and the bill mandate the
establishment of an advisory work group for
provisional appointments. The city will comprise of
members appointed by the government, the Governor,
the Mayor which would prepare a recommended plan for
compliance to be adopted by pursuant of state law.
Legislation I sponsored and that will be heard at

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7 this hearing, 1235 would require that DCAS submit to the council a copy of its new revised reduction plan to achieve substantial compliance by 2021 that is... must be submitted to the state of New York. At this hearing I would like to hear about what is being done by DCAS in terms of keeping our true provisional reduction plan as well as ways in which they are effectively reducing the number of provisional employees within the city's workforce. Any new and innovative approaches the city is taking to continue to reduce the number of provisional appointments should be also discussed. In addition, I want to receive an update about any new things occurring in the city civil service system such as the new civil service exams, how exams are being administered, how they're being tracked, eligibility lists, length of time that they are taking to post, etcetera. I look forward to hearing this information and hope that we can continue to work together to ensure that the, the plan deadlines are met adequately while we are also working towards the future of the civil service system. I'd like to thank Mr. Joe Goldbloom and Brandon Clark from my office and certainly our

Committee Counsel along with Kevin Kendall and the

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2 rest of the team and with that I give you my Co-Chair 3 for the day, Council Member Fernando Cabrera.

COUNCIL MEMBER CABRERA: Thank you so much and good afternoon. Welcome to this joint oversight hearing of the Committee on Governmental Operations and Civil Service and Labor that will examine the civil service system and focus on the provisional employee reduction plan. I want to give a special thanks to my Co-Chair, Council Member Miller for his firm commitment on this issue and for your leadership. The provisional employee category exists for a reason, it allows a local government to create new programs and hire quickly to meet immediate staff needs. This ensures that essential agency functions are not disrupted. Ultimately, provisional service titles should be used to add flexibility to the city employee system and maintain agency stability. Unfortunately, for decades the city of New York has relied too heavily on provisional appointments to serve their aforementioned purpose at the expense of transitioning to civil service, service title. As of the last quarterly report the city... the city still has over 17,000 provisional employees. We have met many times with DCAS Commissioner Camilo and staff to

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discuss the, the work DCAS is doing to provide more
civil service exam, update their civil service lists
and improve test taking and result posting timelines.
And I am encouraged that while DCAS has not yet
reached the level of provisional employees considered
to be substantially compliant by the state I have
seen their concerted effort to decrease provisional
employees and transition provisional employees to
civil service titles through offering more testing
and improving test taking and test result delivery.
As an agency you have demonstrated that you share our
commitment to reduce the number of provisional
employees for the sake of a robust city workforce and
I look forward to hearing through our discussion
today how you will continue your good work in an
equitable way keeping in mind the various timelines
set forth by the state. Thank you to the committee
staff for their work on this issue, civil service and
labor counsel Malcolm Butehorn; Policy Anylist,
Analyst Kevin Katowski; my own Committee Senior
Staff, Senior Counsel Brad Reid; Policy Analyst
Elizabeth Kronk; Emily Forgione and my Legislative
and Communications Direct, Director Claire McLeveighn

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2 and Michael Vane. And with that we will be swearing 3 in the administration.

COMMITTEE CLERK: If you could both raise your right hand please. Do you affirm to tell the truth, the whole truth and nothing but truth in your testimony before these Committees and to respond honestly to Council Member questions?

DAWN PINNOCK: Yes, I do.

COMMITTEE CLERK: I would just ask to make sure the red light is going on the microphone and if you could just state your names and agency for the record? Thank you.

DAWN PINNOCK: My name is Dawn Pinnock and I serve as the Executive Deputy Commissioner at the Department for Citywide Administrative Services.

BARBARA DANNENBERG: My name is Barbara

Dannenberg and I am the Deputy Commissioner for Human

Capital for the Department of Citywide Administrative

Services.

DAWN PINNOCK: Thank you. Good afternoon
Chair Miller, Chair Cabrera and members of the
Committee on Civil Service and Labor and the
Committee on Governmental Operations. I am Dawn
Pinnock and I proudly serve as the Executive Deputy

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2	Commissioner of the Department of Citywide
3	Administrative Services, commonly known as DCAS and
4	I'm joined today by Barbara Dannenberg, Deputy
5	Commissioner for Human Capital. Thank you for this
6	opportunity to discuss DCAS's role as it relates to
7	provisional reduction for the City of New York. As a
8	proud civil servant and the daughter of civil
9	servants, I know firsthand the importance that civil
10	service careers have on the lives of New Yorkers.
11	Civil service, a system based on merit and fitness,
12	serves as the foundation on which this city
13	functions. Through the city civil service system,
14	applicants are afforded opportunities to prove their
15	merit and fitness based on an objective assessment of
16	their skills and abilities. It also serves as a
17	pathway to the middle class for underserved and
18	underrepresented communities. It is therefore
19	critical that we operate the civil service system
20	competently, fairly and expeditiously. DCAS will
21	continue to work diligently to introduce system
22	improvements, strengthen collaborative partnerships
23	and reduce the provisional workforce as required, all
24	while keeping New York City running. To that end, I
25	would now like to highlight the successes of our

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2	provisional reduction plan, which we refer to as RP2,
3	which highlights DCAS's commitment to address the
4	city's provisional count. In May of 2008, after
5	decades of neglect, the city's provisional count rose
6	to its highest point, which was 37,797. Nearly ten
7	years later in January of 2017, we launched RP2.
8	Through RP2 we had an aggressive goal of reducing the
9	city's provisional count from 23,296 to 17,311. I am
10	pleased to report that as of November 22 <sup>nd</sup> , of 2018,
11	the city's provisional count has is now 17,380,
12	which is just 69 actions away from the goal of RP2.
13	Over the next five weeks we will moving forward on
14	various fronts to close the final gap to reach our
15	RP2 goal. This represent the first time in the city's
16	history since it started its provisional reduction
17	work that DCAS has come within striking distance of
18	its provisional reduction goal and substantial
19	compliance with the Civil Service Law by having five
20	percent of our competitive workforce serve
21	provisionally. Since our last meeting in 2016, we
22	received state approval to address the city's
23	longstanding provisional issue from January 1 <sup>st</sup> , 2017
24	through December 31 <sup>st</sup> , of 2018. Over the course of
25	the last two years. DCAS, with the support of its

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2	client agencies and labor narthers has made
۷	client agencies and labor partners has made
3	significant progress in improving the city's
4	compliance with civil service law. Through RP2 we
5	focused on four key areas; exam administration,
6	enhanced compliance, automation and staff
7	augmentation. With respect to exam administration, I
8	just want to first start off by providing a
9	definition of a provisional employee. A provisional
10	employee is an employee who has met the minimum
11	qualifications for the title and may be serving
12	satisfactorily in that title. Unfortunately, for some
13	provisional employees, an examination for their
14	respective title may simply not have been offered
15	during their tenure. To that end, the cornerstone of
16	any provisional reduction plan has and will always be
17	competitive examination. Over the last three fiscal
18	years, DCAS has administered a total of 562 exams. In
19	fiscal year '16, we administered 105 exams, fiscal
20	year '17, 183 exams and in fiscal year '18, we
21	administered a record high of 274 exams. Our number
22	of test takers has increased tremendously over these
23	fiscal years as well. Another important examination
24	related component of RP2 was the introduction of a
25	new testing format for the city of New York; the

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2	Qualified Incumbent Examination. On November 28 <sup>th</sup> ,
3	2016, New York State passed law to amend Civil
4	Service Law Section 65(5), which authorized DCAS to
5	administer the QIE exams to provisional employees
6	with at least two years of service in specific
7	titles. As of September 30 <sup>th</sup> , 2018, DCAS has
8	administered 187 QIE exams and established eligible
9	lists for 174 titles and has made over 4,200
10	appointments to transition to transition
11	Provisionals into permanent status. With respect to
12	enhanced compliance; DCAS has conducted a series of
13	compliance meetings since April of 2017 directly with
14	agencies to discuss various civil service issues. To
15	date, we have held over 100 meetings with 73 agencies
16	regarding plans to resolve Provisionals serving in
17	the face of lists, discussing opportunities to roll
18	back individuals to their permanent titles,
19	highlighting the importance of citywide hiring pools
20	and upcoming hiring needs. In conjunction with our
21	compliance meetings, provisional increases are
22	monitored and considered for inclusion in all of our
23	future exam schedules. This allows DCAS the
24	flexibility in responding to the changing demands of
25	the city's workforce while affording every

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provisional employee an opportunity to gain permanent
status. A recent example is with our partners at the
Administration for Children Services. We were
informed to their need to appoint a large number of
youth development specialists. As a result, we were
able to plan with them on a hiring effort as well as
add that particular exam to our exam schedule. To add
to this, we also monitor Provisionals through the
creation of dashboards that we publish weekly bi-
weekly, excuse me, which allows agencies to monitor
important provisional metrics such as citywide
provisional counts, provisional count by agency and
provisional count by title. Another successful tool
that we have utilized has been citywide hiring pools.
A citywide hiring pool allows an eligible candidate
exposure to multiple agencies and multiple job
opportunities at one time and it allows us to make
permanent appointments more expeditiously. Over the
course of RP2, we have conducted 16 citywide hiring
pools, we've also involved our labor partners in this
endeavor. In addition, we have developed and deployed
functionality utilizing the city's automated
personnel system to centralize any provisional title
changes and to stop agencies from hiring

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provisionally in the face of civil service lists. Last and certainly but not least, we've also increased our civil service footprint through training. DCAS has developed and regularly provides what we call civil service 101 information sessions to inform Provisionals of their status but also to highlight the importance of public sector to careers to prospective city workers. Over the last two years, we have conducted 395 civil service 101 sessions for a total of 13,351 attendees. Automation, auto... the automation of the Qualified Incumbent Exam process is really the only fully end to end automation exam of its type today for the city of New York. Through this new and innovative approach, we have been able to establish eligible lists for QIE titles in a record time of three months compared to up to a year for other types of competitive exams. In our continued efforts to improve service delivery to our current and prospective employees and to reduce the cycle time associated with list establishment, DCAS is currently developing new systems working on other test formats that will be unveiled in 2019 and 2020. We are also proud to share that in January of 2019, we will be rolling out an improved online application

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system. This new system will provide transparency to applicants for exams and it takes a user-friendly approach to allowing our customers to self-manage their account profiles and sharing applicant dashboard information including exam application history, test results and notifications concerning scores for exams and admission notices. Future upgrades are also planned for 2020 which will enable DCAS to deploy the new Education and Experience Exam via an automated format. To further our shared commitment to transparency and enhanced customer service, DCAS has also opened a Queens Computerized Testing Center in June of 2017. We are grateful for the support that this Committee and Council Member Miller in particular provided as it related to the promotion and opening of this center. In addition, we opened another CTAC in December of 2017 in Staten Island. Finally, we will complete this footprint as it relates to testing in the Bronx when we open a testing center on 1932 Arthur Avenue this winter, which provides us additional seating for computerized testing. As it relates to soft... staffing and staffing augmentation, in December of 2016, the Bureau of

Exams received approval for 15 additional positions

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to increase our testing capacity. The additional staff who serve as test and measurement specialists, handle all exam related functions which helps to expedite and augment our ability to maintain an aggressive examination schedule. As a result of having the additional staff, we were able to administer a record high of 274 exams in fiscal year '18. It has also allowed DCAS to increase our reliance on in house exams and to limit the use of consultants to provide testing services. In conclusion, I would like to thank the Council for the opportunity to testify today and for their support over these last few years. Our successes would not have been achieved without the council and particularly Council Member Miller's continued support and guidance. We look forward to continuing to work with you as partners and to the new state legislation, Intro A11241 and S8837A, that will allow DCAS to continue its work towards provisional reduction. Finally, we are looking forward to working with the Committee as it relates to Intro 1235, which would allow for greater transparency in requiring DCAS to post publicly its provisional reduction plans

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on its website and sharing it with the Council. We're
now more than happy to respond to your questions.

CHAIRPERSON MILLER: Thank you so much. We've been joined by Council Members Adams, Yeger, Dromm and, and Maisel has his roller skates on today so I... he went past me in the parking lot and he's now back. So, as usual your testimony was, was, was quite thorough and anticipating... and in anticipation of what we might ask here but we've doing this now together for about five years so I, I really don't ... there's a lot of questions here and, and for some of the members who may not have been a part of the, the last hearing in 2016 that really, you know to a certain degree I, I want to be able to indulge them in a little more detail about this... about the provisional employee and, and kind of the need based on the... not just based on the, the Long Beach decision but, but based on its impact on the workforce and, and what that means and so why we have such a charge to get it right and make sure that we have permanent civil service employees as opposed to these provisional employees and so we want to be able to drill down on that but I, I also want to kind of do it chronologically and, and not drop... jump back

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and forth but I do want to talk about at, at the current time... the current timeline for the provisional reduction in order to achieve compliance with the state law and obviously with 11241 and 8837 which requires a revised plan so what would you be doing differently obviously... you know would you look to enhance some of the things that, that you've already done and or are you looking to do some things that are totally different from what we've seen in order to achieve compliance?

current provisional reduction plan is scheduled to sunset on December 1<sup>st</sup>... excuse me, December 31<sup>st</sup> of 2018 and we've actually begun, I'm drafting our new pre-provisional reduction plan in hopes that we will receive additional time and so we intend to follow a hybrid approach. We certainly look to capitalize on the successes that we've had specifically relating to the Qualified Incumbent Exam because as mentioned it is the one fully automated end to end automated system that we currently have around testing and so to see a civil service list be able to be promulgated in a matter of three months compared to the 12 months that we historically have contended with is certainly

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a win for the city. And in anticipation of that we've worked directly with our labor partners to have them take a look at all of the titles that we believe were appropriate for this kind of testing and we've received their feedback. Additionally, we've created our examination schedule, we've also projected hiring needs that have been shared with us by the agencies to ensure that we're tapping into the right titles, but we are also continuing to push as it relates to automation. So, while we satisfied the requirement of creating a system for the QIE exam, our next step is to focus on the education and experience exams, which is another manual exam that we have. So, we've been actively working with our IT group in order to automate that as well. So, so we really intend to capitalize on our successes following, you know aggressive examinations but also continue along the path of making sure that we're addressing titles that the city has not historically handled for many years.

CHAIRPERSON MILLER: What makes the, the QI exam aside from the automation different from the other exams that had been traditionally administered and has that made a real difference, contributed to the difference that we've seen aside from the

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2 automation in, in reducing the number of, of Provisionals?

DAWN PINNOCK: I would say the primary difference is that we first needed legal authorization to administer these exams and the reason why we did was because the exams are only made eligible to individuals who've already served the city for at least two years in a specified title. The reason why we wanted to focus on those long-standing Provisionals was first supposed to come into greater compliance with civil service law but generally someone's probation period would not extend beyond a two-year period, so we wanted to ensure that we targeted Provisionals who had already served the city satisfactorily. So, based on that we needed law ... legal authorization to not open up these particular exams to the public so we were dealing with a smaller group and so I would say that those are more the distinct differences and where we actually see the gains relating to going from provisional to permanent.

CHAIRPERSON MILLER: So, so, so you're saying to, to a certain degree that those individuals

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2	were	in	danger	if	there	was	more	of	an	open	

3 competitive exam, they'd be in... [cross-talk]

DAWN PINNOCK: Exactly... [cross-talk]

5 CHAIRPERSON MILLER: ...danger of losing

6 their jobs?

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DAWN PINNOCK: Yes, we wanted to make sure that the, the competition pool so to speak was restricted to individuals who were actually performing the work. We certainly saw that as a way to strengthen our current workforce and also to acknowledge the fact that the only reason why these individuals had not received permanent status is because we had not had the capacity to test for their particular titles.

CHAIRPERSON MILLER: So, that is only in titles where tests were never given or had not been given during the, the time that the, the reduction plan had been implemented?

DAWN PINNOCK: A combination. So, titles for which there were no lists in existence, no exams in progress or titles where they had initially been created to just have very few incumbents which means that if you have... we always use the example of puppeteer so we have these wonderful folks who serve

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as puppeteers who work for the Department of Parks, it is not likely that we're going to have hundreds of puppeteers working for the city of New York however, however it is a competitive exam and so in light of that, you know these folks have provided viable and valuable services to the city it's just we had not had the capacity to test for the three to five individuals serving so all of that contributed to the list of titles that we identified for the Qualified Incumbent Exam.

CHAIRPERSON MILLER: And you did mention that you worked with some of the labor partners in, in terms of that, working with them, partnering with them and working collaboratively with which, which one was it, did you... [cross-talk]

DAWN PINNOCK: All of the above, they actually conducted a line by line review of every title that we included in the legislation. There was not a title that they had not agreed to including and we followed the same process as it relates to the new plan that we're drafting.

CHAIRPERSON MILLER: Great, so what, what, what is the number of provisional employees serving in excess of the authorized time frames?

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2 DAWN PINNOCK: The nine-month time frame?

CHAIRPERSON MILLER: Yeah.

DAWN PINNOCK: 13,000.

CHAIRPERSON MILLER: What is our

6 realistic number in, in... for 2021?

DAWN PINNOCK: For 2021, we'll we're certainly going to meet the goal for December 31<sup>st</sup> of 2018 which will bring us to 17,311. We are actively trying to calculate what we think is a realistic number because based on the last two years we've been able to transition approximately 3,000 Provisionals each year so we'd follow along the same path, we're hopeful that we will achieve similar gains which would potentially be another 6,000, 6,000 Provisionals transitioned over a two year period, hopefully if we get more time than two years it allows us to make greater gains.

CHAIRPERSON MILLER: So, if, if, if we continue along the path that would bring us to 6,000 over the next two then we would need a... another, another extension in order to meet our goal?

DAWN PINNOCK: Yes... [cross-talk]

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CHAIRPERSON MILLER: Could you explain for those that... is, is the goal zero, is the goal

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DAWN PINNOCK: So, none of these

five percent, is the goal... is there a target number?

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questions are ever easy so the goal is not zero for a

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few reasons and I think that, that Chair, Chair

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Cabrera really frames it well because there is a need given a city of this size and scale, there is a need

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for provisional employment and so civil service law

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does provide for potentially a small percentage of

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Provisionals to serve the city of New York. With that

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being said our goal is to reduce the number of

Provisionals serving so we are in substantial

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compliance with the... with the law and to decrease the

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duration of the time in which they are serving.

is it a... is there a timeline from the date of

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CHAIRPERSON MILLER: Is, is, is there a...

appointment in a provisional title to... for, for a

person to be... for exam to be administered, for a

a time frame for an exam for a title... provisional

title that has been created? I know it was a lot.

person to, to take an exam and or if there... is there

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DAWN PINNOCK: Yeah, so that's why I

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wrote it down to make sure I'm keeping pace. Okay, so

1	COMMITTEE	ON	GOVERNMENTAL	OPERATIONS

in terms of the timeframe, at the time that someone
is appointed I would say it could depend. So, if you
are hired into a title for which there is an exam
that is upcoming and part of that examination
schedule then yes, you were notified of that
timeframe at the time of hire. However, if the title
for which you have been appointed is not on that
fiscal year's exam schedule that notification
regarding your provisional status happens at the time
of hire but notification relating to the availability
of that particular exam might happen later on in
someone's career when that exam is made available
notwithstanding the HR departments across the city
reach out to individuals serving provisionally
routinely to inform them of other exams for which
they may qualify to help them gain permanent status.
And the second part of your question in terms of
provisional titles, so provisional is considered to
be a status whereby someone is hired, and they serve
in a competitive title, so they are essentially just
someone who is awaiting testing. The titles that we
have created have been competitive titles and as a
result we have then placed examinations on the
schedule to address the Provisionals who we heen

#### COMMITTEE ON GOVERNMENTAL OPERATIONS

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hired. An example would be the Youth Development

Specialists, there was a need at the Administration

for Children Services to provide more support within

their detention facilities, we created that title but

on a parallel track, we also added that particular

exam to the examination schedule. So, we're working

with them to staff up and thank you very much for,

you know getting the word out regarding that

opportunity but we specifically add it to the

schedule to ensure that those Provisionals are not

serving for a significant period of time, we're not...

we're, we're trying to change history, we're, we're

trying to make sure we get ahead of that.

[off mic dialogue]

Okay, so, so one may think that, that there are...

there had been exams and titles in the past that had
been required, that, that had been created to, to
circumvent civil service titles and status, how have
we dealt with that in, in terms of whether or not
there was positions that were created that sort of
duplicated the work that had already been... were being
done by permanent civil servants and created
provisional titles that weren't competitive to do

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similar work maybe at a higher level and for whatever reason, how, how, how do we... and, and certainly we didn't get into that in the introduction on your... in your, yours nor mine in, in kind of, of the merit of the civil based... civil service system and, and, and certainly that would have an impact on that, we had seen that in the past, it also contributed to the numbers of, of Provisionals that we see and, and could you speak specifically to what we are doing to ensure that that does not happen again in the future?

DAWN PINNOCK: And so, I'll start off and then, you know I will turn it over to Barbara because she is definitely a title guru. So, specifically as it relates to our creation of titles there are a few things that we've done. The first is that we really took a hard line with agencies as it related to the justification they needed to provide as it relates to the creation of titles. So, we proudly sit here today to say that over the last two years we've created only five titles of which three we've administered exams and two on our examination schedule. So, if you know a little bit about our history that really is unprecedented for, for DCAS but separate and apart from that we work actively with our agencies if there

#### COMMITTEE ON GOVERNMENTAL OPERATIONS

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is a solid justification for a new title, if they are seeking not to have this title serve... be part of the competitive class then they need to follow the path as it relates to our work with the state civil service commission.

#### [off mic dialogue]

BARBARA DANNENBERG: Sure, so if you wanted me to talk a little bit about creating titles outside of the competitive class so DCAS has the authority to create titles in the competitive class which is where Provisionals would reside if we didn't administer an examination. In order to create titles outside of the competitive class so that would be titles that don't require a competitive examination, DCAS would need to hold a public hearing in conjunction with the agency that would like to use the title provide a justification for creation of the title but also provide a justification for why the title would not reside in the competitive class and why DCAS would not develop and administer a competitive examination in order to fill these roles. So, once we hold that public hearing, we then send the proposal up to the state civil service commission who has the ultimate authority to either approve or

COMMITTEE ON GOVERNMENTAL OPERATIONS

deny our request for the creation of a new title outside the competitive class.

CHAIRPERSON MILLER: And, and how many such requests and in the hearings or... hearings were granted over the past three years?

barbara Dannenberg: So, we've been holding public hearings about once a month over the last... over the course of the plan so I, I don't want to say it was 24 but definitely something along those lines and also most of those titles are for executive level positions with very few position, one position, two positions or they are positions in titles that are very specific with very specific skills that are required to do the work. For example, some very specific technology titles had been proposed to reside outside of the competitive class.

CHAIRPERSON MILLER: Okay, I would like to hear from my colleague, Council Member Cabrera.

COUNCIL MEMBER CABRERA: Thank you so much to my Co-Chair. I just have a few questions, you know it would have been nice if you would have gotten to 69 by today, you know you would of... it's just like almost... [cross-talk]

COMMITTEE ON GOVERNMENTAL OPERATIONS

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BARBARA DANNENBERG: Yeah, we're right there...

COUNCIL MEMBER CABRERA: But that goal is for the end of the year, right?

BARBARA DANNENBERG: It is ...

COUNCIL MEMBER CABRERA: So, you're on track, I, I mean you're going to finish... [cross-talk] BARBARA DANNENBERG: Yes, we're going to finish strong.

COUNCIL MEMBER CABRERA: That's good, that'd be good news. So, I, I, I commend you because you were not given... let me rephrase that, you, you, you were given... you were put in a position that it literally carried... that was there for, for many, many years and to get it to where its at today, I know it took a lot of work but having said that, don't you hate those buts, you know you did so good but... no, here's my concern, my concern is that the numbers that we had was the easy picking fruit, there were... the, the easiest, I'm assuming and I know assumption is the form... lowest form of knowledge but let me... let me start at that level that, that these were the fastest, the, the... you know the, the exams that you gave were for the biggest groups I'm assuming so you

1	COMMITTEE ON GOVERNMENTAL OPERATIONS
2	could get to this point now to keep that momentum,
3	that traction what are you going to do different
4	because if you keep going at the speed that you were
5	going with the same emphasis you were going I don't
6	see you reaching the same outcome because it's going

7 to be harder now to bring these numbers down, I... is 8 my assumption correct?

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and actually these last two years were probably the most difficult two years but we're very happy to say that over the ten years that the city has focused on provisional reduction we've reduced 14,000 provisional appointments and in the last two years we've, we've reduced almost 6,000 so that's a very large portion of the total that we've been able to reduce just recently. So... [cross-talk]

COUNCIL MEMBER CABRERA: So, to get those numbers... you see what I mean? So, now to... [cross-talk]

BARBARA DANNENBERG: Yes... [cross-talk]

COUNCIL MEMBER CABRERA: ...get another

6,000, another... you know 3,000 here... what... like for
example who... where's the biggest group of workers
right now, employees in a provisional title that,

#### COMMITTEE ON GOVERNMENTAL OPERATIONS

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that we could give an exam and we'll be... say hey, we got 500 here and so forth, I don't even know what the number would be?

BARBARA DANNENBERG: Right, so there are very few titles like that but... that exist anymore with hundreds and hundreds of Provisionals however we can say that probably the titles that have the most hiring would be those entry level, entry into the city titles so... you know certainly a new plan would focus on giving more examinations targeting those individual... [cross-talk]

COUNCIL MEMBER CABRERA: Can you give an example of those because I'm not... [cross-talk]

BARBARA DANNENBERG: Sure, so as, as Dawn said earlier we've been partnering with the agencies over the last few years which is something else that's been unique to this plan in talking about their hiring needs and when they think that they'll be hiring or if they're going to need... you know if their mission is shifting so an example of that, that Dawn already spoke about is the Youth Development Specialist so we've partnered very closely with the Administration for Children Services in creating the new title but also in their recruitment efforts and

	COMMITTEE ON CIVIL SERVICE AND LABOR COINTEL WITH
1	COMMITTEE ON GOVERNMENTAL OPERATIONS
2	the timing of the exam in relation to their
3	recruitment efforts so that employees would be
4	brought on board, spoken to about the examination,
5	they would understand the process and then be able to
6	take that examination… [cross-talk]
7	COUNCIL MEMBER CABRARA: But that's a
8	[cross-talk]
9	DAWN PINNOCK:and become permanent
10	[cross-talk]
11	COUNCIL MEMBER CABRERA:new title,
12	right, that's a brand new [cross-talk]
13	DAWN PINNOCK: Correct [cross-talk]
14	COUNCIL MEMBER CABRERA:title because
15	[cross-talk]
16	DAWN PINNOCK: Correct [cross-talk]
17	COUNCIL MEMBER CABRERA:I know I used
18	to be the Chair of Juvenile Justice, I'm very
19	familiar with you know this new group of hire but
20	what's you're your next biggest outside of the
21	newbies that we have right now, do you have another
22	group that has 200, 300?
23	DAWN PINNOCK: So, as part of our current

provisional reduction plan, we did factor that in.

# COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS 1 2 So, when you mentioned about easy picking fruit, none of its been easy. 3 COUNCIL MEMBER CABRERA: But it's going 4 to be harder, that's... [cross-talk] 5 6 DAWN PINNOCK: Right... [cross-talk] 7 COUNCIL MEMBER CABRERA: ...my point ... 8 [cross-talk] DAWN PINNOCK: Right and so... [cross-talk] 9 COUNCIL MEMBER CABRERA: ...and I'm 10 worried, I'm really... [cross-talk] 11 12 DAWN PINNOCK: ...and that's... [cross-talk] COUNCIL MEMBER CABRERA: ...worried... 13 14 [cross-talk] DAWN PINNOCK: ...and that's in part of our 15 16 analysis. So, to your point initially... and, and this is certainly in our ongoing relationship with the 17 18 state, we've had to identify those heavy hitters, right, so a computer systems manager where you have a 19 20 few hundred individuals serving and so in those cases where we could offer qualified incumbent exams to 21 22 address long standing Provisionals we would then add 23 a separate exam on our exam schedule for the new 24 entrants so we were trying to figure out ways to

tackle some of those larger exams through, through

two testing methods but now in looking at what's left, you know within our portfolio that is one reason why we've asked for authorization to utilize the QIE again to tackle some of those exams where we have a lower number of incumbents, we've already vetted that list with labor but also we're working with agencies as it relates to tracking their hires so we can direct individuals to exams that are either on our schedule and that's where the automation becomes really critical in terms of automating another test type just so we can continue turn out the exams.

COUNCIL MEMBER CABRERA: Who's been there the longest without taking an exam? Is there a particular... [cross-talk]

me but we're going to be on the record so someone's going to quote me, I would say when we reviewed our QIE numbers we saw some length of service as high as maybe 15 years serving provisionally and so while that's, that's a difficult thing to say I'm also proud to say that we were able to address that through a testing method whereby someone who served

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# COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS 1 2 the city for a significant period of time was able to take that exam and now has permanent status. 3 COUNCIL MEMBER CABRERA: So, for example 4 5 which agency was that? DAWN PINNOCK: It wasn't a particular 6 7 agency but it, it would be... [cross-talk] COUNCIL MEMBER CABREFA: What title, what 8 title was it, do you remember? 9 BARBARA DANNENBERG: Might be... maybe 10 trades titles... [cross-talk] 11 12 DAWN PINNOCK: Right, it could be ... BARBARA DANNENBERG: ...that's probably the 13 14 hardest... 15 DAWN PINNOCK: ...right some of the trades 16 titles where you have few incumbents, I mean I can't think of a specific title off hand but, but it would 17 18 not... it was not restricted to just one. COUNCIL MEMBER CABRERA: So, this is the 19 20 part that, you know... I'm not in his wonderful committee so I don't have that context on, on that, 21 22 I'm going to ask the obvious question, what's the 23 bottleneck, so if, if we have five centers, right, 24 well we're going to have five centers not testing ...

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[cross-talk]

DAWN PINNOCK: Uh-huh... [cross-talk]

welcome you in the Bronx, we're always the last one so I'm going to complain but I'm glad we're having it, I'm into solutions but what... why does it take... I mean why for example 15 years, 10, 5, why not just schedule everyone, you know just, just you know do a... can you... can you test everybody in one year, do you have the capacity to test everyone in one year and if you can't... and if you cannot what's a... what capacity level do you have and what... now being intentional about just moving forward and scheduling everybody to take a test?

BARBARA DANNENBERG: Right, so the city has... this, this might be interesting for you, the city... [cross-talk]

COUNCIL MEMBER CABRERA: Okay... [cross-talk]

BARBARA DANNENBERG: ...has over 800 competitive titles for which we need to develop an examination for and administer our... [cross-talk]

COUNCIL MEMBER CABRERA: So, that's the problem, we don't have the test? So, why don't we

#### COMMITTEE ON GOVERNMENTAL OPERATIONS

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2 hire more consultants to write up the tests? Who, who writes this test?

BARBARA DANNENBERG: So, the, the tests are actually developed by human capital staff at DCAS so… [cross-talk]

COUNCIL MEMBER CABRERA: So, why not hire more staff and lets just get this over with, I mean what, what, what will stop us from hiring a few more staff and developing these exams?

BARBARA DANNENBERG: Over the course of the administration we had received additional lines to help to augment examination services... [cross-talk]

COUNCIL MEMBER CABRERA: So, how much more do you need? This is the time of the year we do this. November... we're still in November, yeah we're still in November, how many more do you need, I mean this is... this is simple math, right, if you had this many you could do this many tests because I think I found the bottleneck here and so... and I'm sure the others they knew that but we're at this hearing why, why don't we just hire more people and develop this test and just get everybody to be... not everyone because we're not going to get ultimately there because we're always going to have... [cross-talk]

# COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS 1 2 BARBARA DANNENBERG: Uh-huh... [cross-talk] 3 COUNCIL MEMBER CABRERA: ...new ones but I, I... you know I commend the agencies, we only have five 4 5 new lines, you know I'm curious to know how many were 6 deleted but why don't we just hire more people and 7 how many more people would you need to, to accomplish this goal? 8 BARBARA DANNENBERG: So, for example over 9 10 the course of the plan we did receive authorization to hire more staff to develop examinations and... for 11 12 15 people and over the, the two years those 15 people have assisted us in achieving administering our all-13 14 time high number of examinations of 274... 15 COUNCIL MEMBER CABRERA: So, they 16 developed 274 new ones? 17 BARBARA DANNENBERG: That's correct. 18 COUNCIL MEMBER CABRERA: Okay... [crosstalk] 19 20 BARBARA DANNENBERG: That's correct, so not just... [cross-talk] 21 22 COUNCIL MEMBER CABRERA: And how many 23 more tests do we need? I'm glad I don't know... [cross-

BARBARA DANNENBERG: So... [cross-talk]

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talkl

COUNCIL MEMBER CABRERA: ...anything about this because I get to ask... [cross-talk]

BARBARA DANNENBERG: Right... [cross-talk]

COUNCIL MEMBER CABRERA: ...the simple

questions... [cross-talk]

BARBARA DANNENBERG: Right, so... [cross-talk]

COUNCIL MEMBER CABRERA: ...but the simple questions are the ones that give us the simple solutions, A to Z, you know A to B.

many factors that determine how many examinations we need, or which titles need to be tested for so of the 800 titles they're not... might not be new hires in every single one of those titles.

 $\label{eq:council_member_cabrera:} \mbox{ But of the ones}$  that we need...

BARBARA DANNENBERG: Right, so of the titles where hiring occurs, again it depends on the, the amount of hiring so some titles have turnover, they have... they, they do mass hiring... [cross-talk]

COUNCIL MEMBER CABRERA: You know give us a ballpark, what's the... what, what is it

that we need to, you know to get to ... [cross-talk]

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DAWN PINNOCK: Well based on our

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projections ideally staying in the range of

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approximately 250 to 270 exams actually could

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potentially cover us however I do need to introduce

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another element, so I hope that I'm not throwing our

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conversation off... [cross-talk]

COUNCIL MEMBER CABRERA: No, go ahead,

DAWN PINNOCK: ...in addition... in addition

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I'll come back... [cross-talk]

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to addressing Provisionals we have titles where there

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are no Provisionals serving but we still need to

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create exams. So, let's for our police officers,

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correction officers, these are large scale exams that

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we're required to develop and administer to ensure

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that we don't have any Provisionals serving. So, the

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same resources that are utilized for provisional reduction through test administration are the same

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resources we use for what we call our provisional

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avoidance titles so those really are our clerical

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titles, a lot of our direct service positions at our

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social services agencies and our uniform titles.

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you this question and I'll close with this, I have

COUNCIL MEMBER CABRERA: So, let me ask

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more but I'll, I'll come back, is there unintended

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costs whenever we don't test them and we keep them in, in a provisional status, does it cost us more and when I mean cost I don't mean just monetary, what's the overall cost here and if it is doesn't it... after another day if we were to hire more regardless at whatever level for whatever reason, whether they're there, they're not and so forth just to bring down these numbers, doesn't it make more sense to hire more people because it will cost us more... cost us less hiring them and having this test and, and having a homeostasis point of, you know labor with, with, with... you know provisional versus permanent positions just to... so what are we... are, are we talking about... is, is there a cost, a savings?

BARBARA DANNENBERG: So, so provisional employees, competitive class employ, employees other than competitive class employees they're all paid according to the salary... the city's salary guidelines so provisional employees don't make either more or less than a permanent employee, they're... they, they receive the same benefits and they receive the same salary. However, it is certainly in the city's best interest to have a stable workforce working for... working for the city so what we are trying to do,

1	COMMITTEE ON GOVERNMENTAL OPERATIONS
2	what we are speaking of is testing people as they
3	come into city services so that people don't have to
4	wait ten years or, or you know longer in order to
5	take an examination and that they feel that they are
6	a part of the city's workforce, a stable part and
7	that they're eligible to, you know continue their
8	career within the city.
9	COUNCIL MEMBER CABRERA: And job security
10	is the same?
11	DAWN PINNOCK: Correct. Well job
12	[cross-talk]
13	BARBARA DANNENBERG: Oh, sorry [cross-
14	talk]
15	DAWN PINNOCK:security for a
16	provisional is not the same and so I just… [cross-
17	talk]
18	COUNCIL MEMBER CABRERA: Okay, so that's
19	[cross-talk]
20	DAWN PINNOCK:wanted [cross-talk]
21	COUNCIL MEMBER CABRERA:a big cost, if
22	that's the… [cross-talk]
23	DAWN PINNOCK: Right [cross-talk]
24	COUNCIL MEMBER CABRERA: That's the
25	that's… [cross-talk]

# COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS 1 2 DAWN PINNOCK: Right and, and so... [cross-3 talk COUNCIL MEMBER CABRERA: That's the 4 5 bottom line right here, that's safety... [cross-talk] 6 [cross-talk] 7 DAWN PINNOCK: Right, right... [cross-talk] COUNCIL MEMBER CABRERA: ...in job... [cross-8 talk] 9 10 DAWN PINNOCK: ...and I was going to add to... [cross-talk] 11 12 COUNCIL MEMBER CABRERA: Okay... [cross-13 talk] 14 DAWN PINNOCK: ...to what Barbara said just 15 in terms of the unintended cost I see it, you know 16 directly tied into just kind of strengthening the work force so a provisional employee does not have 17 18 the same job protections as a permanent employee and so when you're talking about transitioning in a 19 20 provisional potentially there's a loss of talent that impacts the services we provide to New Yorkers so I 21 22 would say although we've not quantified that I view

that as one of the unintended costs of not being able

to address Provisionals quickly.

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COUNCIL MEMBER CABRERA: A worker is going to be more vested if they feel secure and safety, hierarchy of needs is most fundamental here because my counseling background but please let's hire more people, I mean to me this is like a no brainer, hire more people, more tests, more faster, let's bring more security, our people deserve it. Thank you so much Mr. Chair, I have more questions but I'll... [cross-talk]

CHAIRPERSON MILLER: Thank, thank you so much Co-Chair. Just on the issue of unintended consequences, consequences that were mentioned there, could you... could you quantify what the pension implications are if any?

DAWN PINNOCK: To... well a provisional would have rights to opt into a pension, if you're a permanent employee you are brought into the pension system but that is not something that have quantified.

CHAIRPERSON MILLER: And, and, and before... I see Council Member Dromm, he's, he's got his roller skates on as well, could you... could you... could you just let us know the agencies that have the

# COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS 1 2 highest number of Provisionals, I think he'd be 3 interested in it? DAWN PINNOCK: The agencies, these are 4 some of our larger clients so, New York City Transit, 5 New York City Housing Authority, Department of 6 7 Transportation, Department of Parks and Recreation, Department of Education, DEP, Environmental 8 Protection and Department of Health and Mental 9 Hygiene and a recent addition has been Administration 10 for Children Services primarily because of our recent 11 12 hiring... [cross-talk] 13 CHAIRPERSON MILLER: Uh-huh... [cross-talk] 14 DAWN PINNOCK: ...of youth development 15 specialists. 16 CHAIRPERSON MILLER: So... and honestly, I, 17 I was... it was kind of... trying to engage my colleague 18 concerning... [cross-talk] DAWN PINNOCK: I tried... [cross-talk] 19 20 CHAIRPERSON MILLER: ...his thing about... [cross-talk] 21 2.2 DAWN PINNOCK: ...to go quickly... [cross-23 talk CHAIRPERSON MILLER: ...the nearly 30 24

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years... [cross-talk]

# COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS 1 2 DAWN PINNOCK: ...tried to go quickly 3 before he... [cross-talk] CHAIRPERSON MILLER: ...in... at the DOE... 4 5 [cross-talk] 6 DAWN PINNOCK: ...he left... [cross-talk] 7 CHAIRPERSON MILLER: ...but you left... 8 [cross-talk] 9 DAWN PINNOCK: Okay... [cross-talk] CHAIRPERSON MILLER: ...it at the bottom 10 and that... and, and honestly that he was more familiar 11 12 I know from past hearings that he was able to drill down on some of the titles and the concerns that we 13 14 had over at the DOE and, and a lot of that still... you 15 know... [cross-talk] 16 DAWN PINNOCK: Right, I remember he had... 17 [cross-talk] CHAIRPERSON MILLER: ...that, that culture 18 kind of... [cross-talk] 19 20 DAWN PINNOCK: ...interest with the ... [cross-talk] 21 CHAIRPERSON MILLER: ...exist... [cross-talk] 22 23 DAWN PINNOCK: ...occupational therapist 24 and the... [cross-talk]

## COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS 1 2 CHAIRPERSON MILLER: Exactly... [cross-3 talkl 4 DAWN PINNOCK: ...physical therapist... 5 [cross-talk] 6 CHAIRPERSON MILLER: Uh-huh... [cross-talk] 7 DAWN PINNOCK: Uh-huh. CHAIRPERSON MILLER: And, and the... and, 8 and so forth. So, what is the current New York City 9 workforce headcount? 10 DAWN PINNOCK: We're over 390,000. 11 12 CHAIRPERSON MILLER: Where is that 13 compared to where we were five years ago in a sense? 14 DAWN PINNOCK: We actually did a ten year 15 look back in anticipation of your question and so ten 16 years ago I believe we were at 376,000 and we're now 17 up to 393,000 so... [cross-talk] 18 CHAIRPERSON MILLER: Where were we five years ago, do you know? 19 20 DAWN PINNOCK: Hold on one second, sorry... one, two, three... we were... I just have to add the 21 number... 300 and... 2.2 23 BARBARA DANNENBERG: 358... DAWN PINNOCK: 358,000 in 2013. 24

2 CHAIRPERSON MILLER: Uh-huh and now we're 3 at three...

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DAWN PINNOCK: We're at 393,000.

CHAIRPERSON MILLER: So, we're close to about 45,000 over the new hires over the past five years?

DAWN PINNOCK: Approximately 40,000.

CHAIRPERSON MILLER: For the record because, you know I... at ... some may disagree but I think that as, as Council Member... my, my Co-Chair has said here that, that we should hire not just those who hire but our public sector workforce brings real value to our city, people who come here and investigate because it's safe and we have a, a world class police department and health care, education and, and... regardless to what say... folks say transportation as well and so there is an inherent value to these positions and, and you increase the value, the economic value as well to, to the city. When, when... look, we were all there when we had less employees and there were long lines everywhere, right and that undermined the value of the city so I, I think it would behoove us as Council Member Cabrera said that, that we work with agencies to identify...

1 COMMITTEE ON GOVERNMENTAL OPERATIONS

because look, we're still being over that last five
to ten year period we were operating at a deficit in
terms of human capital, right, we were asked every
agency was asked to more with less and that didn't
work and, and there were consequences to that. Aside
from that these, these public employees, these, these
lives that they change by the simplest the simplest
entry level and, and this is coming from someone who
is a lifelong 34 years at, at as a civil servant
now and, and one who represents a community that has
the highest number of, of civil servants in the state
of New York. There is a difference to those
communities particularly communities of color, we
have again and as I often state, the highest number
of, of civil servants but we also have the highest
number of African American homeownership in the
entire country. There's a direct correlation between
the two so as my colleague said that this absolutely
has value why wouldn't we continue to add to that
value, why wouldn't we add to the value of New York
City's of New York City by adding to its workforce.
There is a difference certainly in, in, in the, the
not just the status but the value of a permanent
employee and a, a provisional employee not just from

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the standpoint that the court said that you have to reduce this number. In fact, the court agreed that it undermined what that system was and the ... and, and the intent of, of the system. So, I, I certainly agree with my colleague that we, we should move forward, in doing so are there specific titles that ... and I'm, I'm... this is... this is oversight so this is going to jump outside a little bit of, of provisional, are there specific titles where you see a greater need and obviously that some of these ... some, some of the Provisionals then have taken a backseat because of the need to hire some of the essential services as you talked about corrections and, and, and other uniform forces and healthcare and education and so forth, is... are we missing something, is there a place, an agency or a title where there is a great need that you're seeing in your conversations with agencies?

DAWN PINNOCK: It really depends as we're talking to agencies and that's why I believe our compliance meetings are so important. A standing agenda item in those meetings is to talk about their hiring needs and so there were certain things that have been identified for us that were directly tied

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to legal mandates so there would... there was a mandate that DOT had that required an increased number of engineers to serve so that they could meet a legal mandate. Through those meetings we were informed of ACS's need regarding YDS, through those meetings we were alerted by probation that they actually needed us to administer probation officer exams more often because they were going through these lists so quickly. So, I think that ... you know all the agencies, you know whether they're direct service, public safety, if they're tackling a new initiative, some of our agencies handling infrastructure they all have certain needs that are identified and so we really impress upon them the importance of sharing that information with us early and often so we can best prioritize our exam schedule to meet their operational needs.

CHAIRPERSON MILLER: How, how much of that information is being shared with this committee or the Council or these Committees specifically even in terms of just some of the, the over 250, 40... whatever it was exams that had been administered in that two year period as you said based on the QIE and other things, how much of that information are you

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2 sharing in that... and, and I know that we've been able 3 to partner on a number of missions... [cross-talk]

DAWN PINNOCK: Uh-huh... [cross-talk]

CHAIRPERSON MILLER: ...but there are some creative ideas as well as we just saw, how, how do you engage the Council so that we can further partner with you and, and, and decreasing these numbers?

DAWN PINNOCK: You do mean... and I just want to clarify the question, do you mean how we engage with you historically or how we'd like to engage you going forward?

CHAIRPERSON MILLER: Moving forward.

DAWN PINNOCK: Okay, from my perspective we share your view in that there's no reason why you should not see our provisional reduction plan, I mean it is a plan that is comprehensive, it's a plan that speaks to the city's needs and certainly there's some insight that you will have, you know given the needs of each of the council Maddox districts that can help to directly inform our plan and so really any information that we have is helpful, you know we do share our provisional reduction plans with our labor partners because we cannot do this alone and so I'm certainly having the input, you know of your

1 COMMITTEE ON GOVERNMENTAL OPERATIONS

our strategy once we're afforded more time.

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committee and just the Council in general to flag any potential operational hiring issues that we're unaware of, we'd want to have that directly inform

CHAIRPERSON MILLER: And, and, and further more as... and, and I know it, it came out kind of... but the Council Member was, was absolutely sincere and as we begin to talk about 2019, 2020's budget, you know this is an, an important element that in the past that we were able to include funding in the budget that allowed for the bodies, the additional bodies that were, were helpful in the creation of those additional exams. So, that's really important to us as well as, as being your advocate and, and, and to a certain degree controlling purse strings and being able to negotiate purse strings that's really important and, and we take this plan and, and the city's workforce very seriously and the fact that we can't administer examinations for those small number of reasons that forbid us from hiring at the level that we should or when we should, you know if we're working collaboratively we, we could be able clear some of those hurdles I, I think is what we're trying to say. While we're on that just titles in

1 COMMITTEE ON GOVERNMENTAL OPERATIONS

general two things, how has contracting services or contracting out services impacted the, the, the permanent workforce and, and in particular how has it impacted provisional... has it had any impact on provisional employees?

DAWN PINNOCK: So, in addition to the approval of additional lines we were also provided an opportunity to have a small contract to outsource a number of exams, a very small portion of our portfolio, primarily exams that have taken us very long to, to create and to promulgate those lists we received funding to assist with that. However, we have worked really hard to keep the majority of our examination's development work in house and I, I don't want to assume that you know but DCAS is part of a citywide insourcing committee where we work with our labor partners... [cross-talk]

CHAIRPERSON MILLER: Right... [cross-talk]

DAWN PINNOCK: ...to identify opportunities to continue to insource work within the city and so... in order to make sure that we are walking the walk and talking the talk we do limit contracting out of the work that we are able to perform in house.

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are, are you seeing an increase in permanent titles or even provisional titles based on the, the committee that, that you participate in, in terms of insourcing, are... is there an opportunity... has that opportunity manifested itself in the creation of new titles or expansion of traditional titles by not farming out at the same level?

DAWN PINNOCK: It... [cross-talk]

CHAIRPERSON MILLER: Or not farming out at all in, in some instances?

DAWN PINNOCK: Although I don't have the specific numbers because, because really the process is led, you know by one of our labor partners however it has resulted in a decrease in certain consulting spending and then has resulted in additional individuals working within the city to perform similar work so that really is the goal of the, the insourcing committee looking for those opportunities to bring certain work within the city that can be done by city employees in house.

CHAIRPERSON MILLER: PAA's, is, is there... where... is there a current list?

DAWN PINNOCK: Yes.

# COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS 1 2 BARBARA DANNENBERG: Yes. 3 CHAIRPERSON MILLER: Are they calling off the list or are they still using light duty offices? 4 5 [off mic dialogue] DAWN PINNOCK: Oh, we're going to ... right, 6 7 because we are talking about... [cross-talk] BARBARA DANNENBERG: Sorry... [cross-talk] 8 DAWN PINNOCK: ...the title represented by 9 CWA because there's a PAA on that side as well so 10 you're referring to the title that you... [cross-talk] 11 12 CHAIRPERSON MILLER: Police 13 administrative aide... [cross-talk] 14 DAWN PINNOCK: Police... 15 BARBARA DANNENBERG: Right. 16 DAWN PINNOCK: I know that we administer an exam for that, I don't know if... the list is 17 18 active... yeah, so the list is active. If you're referring to any other hiring, we might be unaware of 19 any hires and, and that's not been on one of the 20 subjects of the... [cross-talk] 21 2.2 CHAIRPERSON MILLER: So, there was an 23 agreement... [cross-talk] DAWN PINNOCK: ...end sort of the 24

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conversation... [cross-talk]

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2	CHAIRPERSON MILLER:with the Council
3	and the police department that the… around
4	civilianization and so that there was a title so if,
5	if you are looking at the titles and the movement or
6	lack thereof, of a specific title in this particular
7	instance are we… are we seeing a reduction or lack
8	thereof because those positions are being filled with
9	permanent employees from another title [cross-talk]
10	DAWN PINNOCK: So… [cross-talk]
11	CHAIRPERSON MILLER:on light duty
12	DAWN PINNOCK: So, we can certainly take
13	a look at the number of appointments being made
14	specifically off of that list… [cross-talk]
15	CHAIRPERSON MILLER: How long has the
16	list been open?
17	[off mic dialogue]
18	DAWN PINNOCK: I think it's fairly new
19	and so once again we would have to… [cross-talk]
20	CHAIRPERSON MILLER: Okay [cross-talk]
21	DAWN PINNOCK:provide that as well.
22	BARBARA DANNENBERG: Right
23	CHAIRPERSON MILLER: Okay. Okay, so yeah
24	I'm, I'm, I'm pretty much going to wrap because we

can do this like forever and ever, I'm, I'm going to

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allow my, my, my colleague but I, I just wanted...

would you be willing to receive follow up questions

from the... from the committee and, and respond, you

know appropriately?

DAWN PINNOCK: Of course and if there are specific titles where you are asking about movement or usage of those lists, you know certainly... you know we want to make sure that we're providing you with the... as much accurate information as we can so if that's part of your questioning we're more than happy to receive that... [cross-talk]

CHAIRPERSON MILLER: Absolutely, we'll, we'll draft a... the committee will draft a letter and, and we'll, we'll send it to you and, and expect a response so... thank you. Council Member Cabrera.

much. Just a quick question regarding the 2018 MMMR report, a significant decrease in the time taken for DCAS for release testing results from the time of examination so I think it's plain what factors contributed to this decrease, it seems reasonable to expect that the test results can be made available even faster in 2019 and beyond and how can

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improvements be made to the examination so that individuals could retake exams more quickly?

BARBARA DANNENBERG: Right, so thank you that we've definitely have made an effort to reduce the time that it takes for us to release those exam results after an exam has been administered so that city agencies can hire more quickly. So, there are several factors that actually led to the decrease in that number one of which of course was the additional staffing that we received, they definitely assisted with the processes not just in developing the exam because we're talking about the time after we give the exam and that time period consists of a protest review which I'm not sure if you're familiar with, okay. So, there are several things that happen after an examination is administered that are part of the civil service process and one of those... one of... one of the things that happens afterwards is that people who take a multiple choice test are given the opportunity to protest the questions so they may feel that... you know DCAS says the answer is B but I feel like the answer C is a better answer and here's why... [cross-talk]

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# COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS COUNCIL MEMBER CABRERA: I didn't get to do that in high school but go ahead...

BARBARA DANNENBERG: This is a process that is unique to... [cross-talk]

6 COUNCIL MEMBER CABRERA: I'm just
7 kidding, I... [cross-talk]

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BARBARA DANNENBERG: ...New York City...
[cross-talk]

COUNCIL MEMBER CABRERA: ...I'm just, just kidding.

BARBARA DANNENBERG: So, that process could take several months because after the exam is administered we give people up to 30 days to submit those protests and then we have a, a, a committee that comes in, they're called the test validation board and that's made up of labor, an agency permanent representative and also a DCAS representative and they will review all of those protests and make a determination. If they make the determination, they agree with the person who said my answer is better every candidate will benefit and DCAS will change the correct answers from not just B but B and C in the example... [cross-talk]

CHAIRPERSON MILLER: Okay... [cross-talk]

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BARBARA DANNENBERG: ...I just gave so all of that takes some time in the process so having additional staff to help go through all those protests, some exams receive thousands so that's one part of the process. Another part of the process that happens after an exam administration is that when individuals receive their score they have the right to appeal to DCAS so they can say hey I think that my score should be higher and here's why and so that follows another process within DCAS and ultimately if a person... if we agree with you or there was information that wasn't provided that would have been helpful to us earlier on and we approve your appeal that individual will be on the eligible list that results from that exam. So, all of those things happen in the months after an exam is administered so the more people that you have working on those items the quicker the process goes but also... so, in addition to the personnel also the automation of exams. So, during her testimony Dawn mentioned that the qualifying incumbent exam is the first of its kind that it is automated from beginning to end that means that test takers take their examination online, they receive their score right away, they don't have

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to wait for DCAS and if they would like to appeal that score they can do that automatically as well online... [cross-talk]

COUNCIL MEMBER CABRERA: Uh-huh... [cross-talk]

BARBARA DANNENBERG: ...so that now has cut down months and months and months of the time that it takes to establish that eligible list so again, you know in addition to the personnel the automation of the processes has definitely helped decrease that time.

COUNCIL MEMBER CABRERA: Well, well thank you for that answer and you, you, you keep confirming what I mentioned earlier, something happens when you hire more people you could expedite, you can move things quicker and that goes to the test takers as well, we can hire more people, we could move, you know things along a lot faster so that's very good.

My last question is in regard to the Bronx, you don't have to ask I'm from the Bronx, for your test center what's your capacity going to be there, how many people are going to be able to take tests?

BARBARA DANNENBERG: Yeah, so the center will have just over 50 seats... [cross-talk]

## COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS 1 2 COUNCIL MEMBER CABRERA: 50 seats... 3 [cross-talk] BARBARA DANNENBERG: ...for test takers. 4 COUNCIL MEMBER CABRERA: Are you planning 5 to open more centers after this? 6 7 BARBARA DANNENBERG: That is our intention to open a larger center in the Bronx, yes. 8 COUNCIL MEMBER CABRERA: Okay and when 9 would that be? 10 11 BARBARA DANNENBERG: I don't have a date... 12 a timeline or a date for that but... [cross-talk] 13 COUNCIL MEMBER CABRERA: It's just an intention... [cross-talk] 14 15 BARBARA DANNENBERG: ...in the meantime... 16 [cross-talk] 17 COUNCIL MEMBER CABRERA: ...at this point 18 or is... [cross-talk] BARBARA DANNENBERG: Yes... [cross-talk] 19 20 COUNCIL MEMBER CABRERA: ...there real 21 plans? 22 DAWN PINNOCK: No, this ... so, so just to 23 understand a bit of the history, there had been another site identified that is a larger site however 24 25 in work... we wanted to work on a parallel path to open

	COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH
1	COMMITTEE ON GOVERNMENTAL OPERATIONS
2	up a site utilizing existing city space and so that
3	is the reason why we're utilizing city owned space to
4	open up this site but plans and work is still ongoing
5	in order to open up the larger site that, that we had
6	originally agreed upon opening.
7	COUNCIL MEMBER CABRERA: And how many
8	would that seat, how many chairs would it have?
9	BARBARA DANNENBERG: I believe it was
LO	just over 100… [cross-talk]
L1	DAWN PINNOCK: Right, we believe… [cross-
L2	talk]
L3	COUNCIL MEMBER CABRERA: 100 [cross-
L 4	talk]
L5	DAWN PINNOCK:it actually doubles the
L6	capacity
L7	COUNCIL MEMBER CABRERA: And how many do
L8	you have in the other boroughs?
L 9	DAWN PINNOCK: The other boroughs are
20	larger… [cross-talk]
21	BARBARA DANNENBERG: Yeah, so Brooklyn is
22	the largest.
23	DAWN PINNOCK: Yes, Brooklyn is the

largest... [cross-talk]

## COMMITTEE ON CIVIL SERVICE AND LABOR JOINTLY WITH COMMITTEE ON GOVERNMENTAL OPERATIONS 1 2 COUNCIL MEMBER CABRERA: Okay, they're 3 the largest borough, okay on that... [cross-talk] DAWN PINNOCK: Yeah... [cross-talk] 4 5 COUNCIL MEMBER CABRERA: ...okay and then? 6 DAWN PINNOCK: ...second largest... [cross-7 talk 8 COUNCIL MEMBER CABRERA: Are we the 9 smallest? 10 DAWN PINNOCK: Today... [cross-talk] BARBARA DANNENBERG: Today, yes. 11 12 COUNCIL MEMBER CABRERA: It seems ... 13 [cross-talk] 14 DAWN PINNOCK: Today. 15 COUNCIL MEMBER CABRERA: I'm becoming a 16 prophet or something... 17 DAWN PINNOCK: But, but, but honestly, we 18 fast tracked this opening, you know, and we really need to... you know pump up the... [cross-talk] 19 20 COUNCIL MEMBER CABRERA: You, you know why you fast... [cross-talk] 21 22 DAWN PINNOCK: ...space... [cross-talk] 23 COUNCIL MEMBER CABRERA: ...tracked it because we haven't had any since for years, I mean we 24 25 got to do something here, I mean we got to ... it's just

1	COMMITTEE ON GOVERNMENTAL OPERATIONS
2	unbelievable our people in the Bronx, 1,4 million
3	people always got to be tracking every other place,
4	we're always last. The only thing we ever got first,
5	you know what was what was it frozen meals on wheels
6	for seniors that's the only thing we ever got first
7	in the Bronx that I know of, come on and so yeah,
8	let's, let's get that second center going ASAP
9	because, you know we have a lot of people in the
10	Bronx and ensure it takes this test if you could send
11	those, I don't want to take time right now with the
12	breakdown… [cross-talk]
13	DAWN PINNOCK: Yes, we will [cross-talk]
14	COUNCIL MEMBER CABRERA:how many take
15	tests from respective boroughs. Having said all that
16	thank you for all you're doing, you reached a
17	milestone that since I've been here for nine years, I
18	have never seen anybody reach so you get a happy
19	face… [cross-talk]
20	DAWN PINNOCK: Thank you
21	BARBARA DANNENBERG: Wow
22	COUNCIL MEMBER CABRERA:today, give my
23	regards to the Commissioner, good… [cross-talk]
24	BARBARA DANNENBERG: Thank you so much

[cross-talk]

2 COUNCIL MEMBER CABRERA: ...job... good job.

DAWN PINNOCK: Thank you.

[applause]

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CHAIRPERSON MILLER: Wow, listen there's really nothing I can say after that, I, I'm sure that you guys would like to leave on that note, right?

DAWN PINNOCK: But...

CHAIRPERSON MILLER: So, I'm going to allow that to... so, I'm, I'm, I'm really going to allow that to happen because this has been a, a, a five year relationship that... partnership really that we've been able to accomplish some things in, in spite of the government that we have... and, and no matter what folks have said and, and full disclosure the Deputy Commissioner and I in our past lives worked together on these issues and, and so we came here knowing that it could be done and so I'm not going to accept anything less, right and, and so when, when we kind of get to push back we, we push each other and so I'm, I'm thankful for that and, and proud to say that despite what we go through on a day to day that there are some positive things happening and, and it is a... it is a positive when we're able to reduce this number and know the late person sitting

1	COMMITTEE ON GOVERNMENTAL OPERATIONS
2	on the outside thinks that a job is a job but we I
3	think that we'd identified the difference in the
4	values of a permanent and a provisional employee and,
5	and what provisional employees do to kind of
6	undermine the whole civil service system and how
7	important that is to, to the city that we love and
8	that we serve and that serves so many others that we
9	have to have committed permanent employees in you
10	know so we are on our way to doing so, so I thank you
11	very much for the work that DCAS is doing. While I
12	have you, the online portal could you talk about
13	that, we can close with that, we can close with
14	talking about the portal and its value of the portal
15	and that and, and I think I remember that we were
16	promised a tour Mr. Chair which is upcoming and we
17	could [cross-talk]
18	DAWN PINNOCK: December 13 <sup>th</sup> [cross-talk]
19	CHAIRPERSON MILLER:close on that.
20	December… [cross-talk]
21	DAWN PINNOCK: December 13 <sup>th</sup> [cross-talk]
22	CHAIRPERSON MILLER:13 <sup>th</sup> [cross-talk]
23	DAWN PINNOCK:the date that we were
24	able to schedule with you team.

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CHAIRPERSON MILLER: Okay, could you talk

BARBARA DANNENBERG: Sure, so we're

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about the portal and the tour?

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5 really excited about this portal and again thank you,

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its definitely one of the initiatives that were

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brought up by Council Member Miller and the

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Committee. So, the online portal what it will do for

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potential applicants for city jobs but also, you know

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current city employees is that they now are able to

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go into an account that they can create themselves

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online and access any exam information that pertains

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to them specifically. So, currently what people do is

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they'll either come down to DCAS or go to one of our

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customer service centers in one of the boroughs and ask, you know hey what's my list number, what's... you

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know what's happening, did I pass the test, you know

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so now... or they can call our interactive voice

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recording message and then they can get that

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information that way, this way they can log on to

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their account and they can see their exam results,

they can see when they have an upcoming exam, they

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can see if the list has been established for the test

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that they took and what their list number is and how

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many people are on the list and they can also... moving

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forward they can look at, you know what else is happening, I explained that there are some things that happen after you take an exam like the protest review period and the appeal period and so that information will also be made available in their portal.

#### [off mic dialogue]

CHAIRPERSON MILLER: Can you... will, will you be able to see all of the exams that you had taken... will you be able to see previous exams that were taken that, that... you're not necessarily looking at a specific exam but you created your own portfolio of the exams you've taken, where you are on the list, if the lists are open and, and, and most importantly if you move, right? Million times people have said I've moved, and I moved and I've... and I've never heard anything, what happens then?

BARBARA DANNENBERG: So, absolutely people will be able to see their exam history going back a certain number of years, it, it won't go all the way back, you know until 1980 or something but they will be able to see exams that they have taken in the past and thank you for mentioning that, a key feature will also be that people can update their

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address and where they receive official city mail
through their portal so they won't have to, again, go
to their agency's human resources and then come to
DCAS because the two systems were never combined now
they can just do that for their examinations in their
own portal. Another thing I failed to mention was
people can now update their own password in their
account which in the past has, has been problematic
for people especially if I know nobody would do that
but people who apply for exams at the last minute and
don't remember their password and they can't get into
their account and they miss the filing period now
they can change their password, they'll get the… you
know they'll be able to do that themselves and they
don't need to rely on, you know somebody being in the
office in order to do that for them.

CHAIRPERSON MILLER: Okay, so again I, I want to thank you for coming out, thank you for your testimony, I especially want to thank the, the members of the Committee for being here and participating, its been a, a very busy day for all the members around here, to my Co-Chair. I don't want to play poker or pool with you because he, he, he played like you go ahead you take the lead I don't

1	COMMITTEE ON GOVERNMENTAL OPERATIONS
2	know anything about this stuff here alright and then
3	he, he's zinging, right, so, so thank you so very
4	much for your input Council Member and certainly to
5	committee staff, Council and, and your team, thank
6	you so very much and to my staff and, and of course
7	the great Joe Goldbloom who has been residing back
8	there, thank you for your work on this particular
9	hearing. With that the hearing is adjourned.
1,0	DAWN PINNOCK: Thank you so much
11	BARBARA DANNENBERG: Thank you.
12	[gavel]
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World Wide Dictation certifies that the foregoing transcript is a true and accurate record of the proceedings. We further certify that there is no relation to any of the parties to this action by blood or marriage, and that there is interest in the outcome of this matter.



Date

December 10, 2018