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**Report on the Fiscal 2024 Preliminary Plan
and the Fiscal 2023 Mayor's Management
Report for the**

New York City Emergency Management

March 13, 2023

Prepared by Tanveer Singh, Financial Analyst



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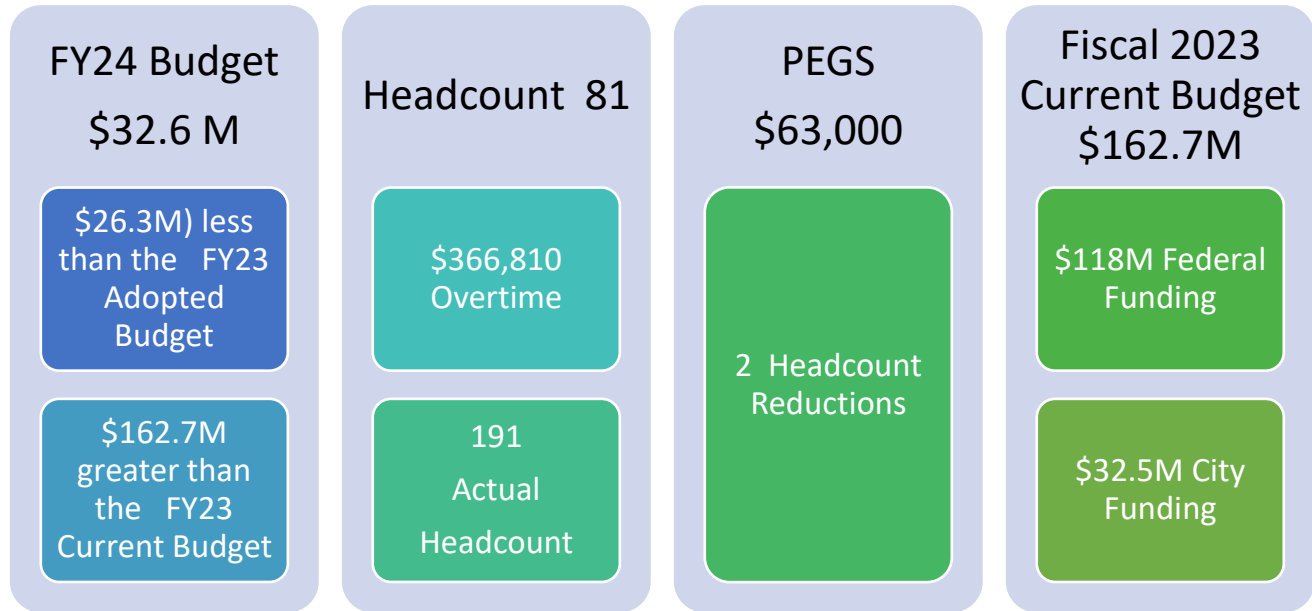
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Fiscal 2024 Budget Snapshot New York City Emergency Management



NYCEM Overview

New York City Emergency Management's (NYCEM) Fiscal 2024 Preliminary budget totals \$32.6 million, or less than one percent of the City's Fiscal 2024 budget of \$102.7 billion. NYCEM is the City's emergency coordinator, responsible for helping New Yorkers before, after, and during emergencies through preparedness, education, response and recovery. The core responsibilities of NYCEM include coordinating long-term strategies to reduce risk and increase the city's resilience. One example of this is the standardization of the City's shores and ports, developed to withstand storms due to the aftermath of Hurricane Sandy.

In the Preliminary 2024 Financial Plan (Preliminary Plan) for Fiscals 2023 through 2027, NYCEM's budget decreases in the outyears from long-term savings, while the current-year budget has increased due to increased COVID-19 related federal funding. Historically NYCEM's budget has been relatively small in comparison to other agencies, but recently, due to COVID-19 and the asylum seeker crisis its current year budget is much larger than any other year. The NYCEM's for Fiscal 2024 budget of \$32.6 million, is \$26.3 million less than its Fiscal 2023 Adopted Budget. The NYCEM's current Fiscal 2023 budget is \$162.7 million. This is \$512.6 million less than its Fiscal 2022 actual spending. The increase of actual spending in Fiscal 2022 was due to COVID-19 federal spending. The savings included in the Preliminary Plan have minimal impact on the agency's operations, the Plan includes a headcount reduction of two civilian personnel.

Asylum Seeker Crisis

Since the spring of 2022, thousands of migrants have arrived in New York City, many of whom are fleeing from economic and social hardships. Many of these migrants are being bussed to New York City from border and neighboring states, partly due to the City's "Right to Shelter" law, which requires the City to give shelter to anyone who requests it. Since last spring, approximately 41,000 asylum seekers have arrived in the city, overflowing the City's shelter system and pushing other city facilities to maximum capacity.

The Mayor's Office of Management and Budget has stated that they anticipate the full cost for the asylum seekers to be approximately \$1.4 billion in FY 2023 and \$2.8 billion in FY 2024. The Administration has requested federal reimbursement and aid for the costs accrued by the City. The federal government has so far provided \$8 million from an emergency appropriation to the Federal Emergency Management Agency (FEMA). The City's Comptroller office anticipates \$200 million from a federal funding package deal negotiated by Senate Majority Leader Chuck Schumer, this funding may slip into Fiscal 2024.

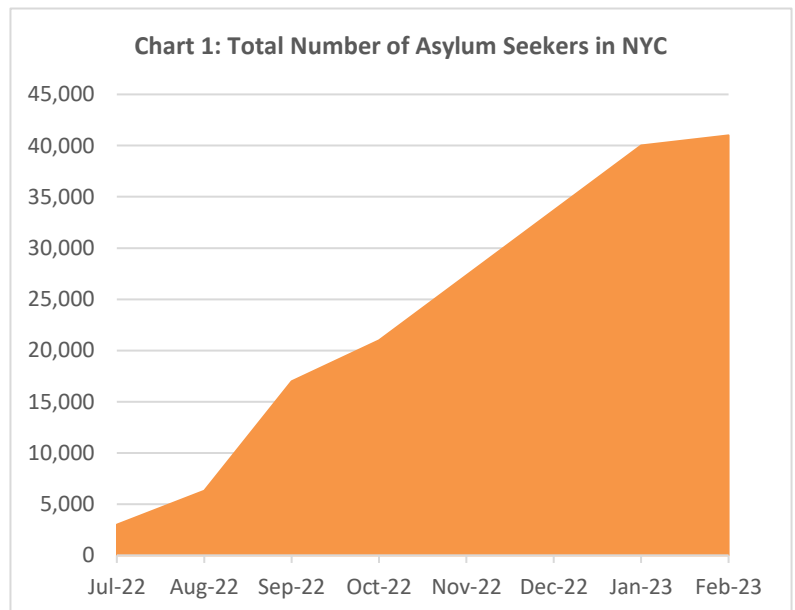
The Administration has already budgeted \$1.0 billion of federal funds in Fiscal 2023 for the costs related to the asylum seekers, assuming it will be reimbursed. NYCEM has been budgeted \$50 million of the assumed federal funding, for the agency's role in providing shelter services to asylum seekers. NYCEM is jointly managing the creation and operation of the Humanitarian Emergency Response and Relief Centers (HERRCs). The HERRCs are the first touch point for arriving asylum seekers, helping people by immediately offering shelter, food, medical care, case work services, and a range of settlement options. NYCEM supports the operations for asylum seekers including contracting to provide site management, staffing, and other wrap around services at the HERRCs. Additional NYCEM expenses related to the asylum seekers include costs associated with using office space at the American Red Cross as a Navigation Center, as well as other costs associated with supplies and logistical support.

The Governor's Fiscal 2024 Executive Budget includes \$1 billion of support to the City for accrued expenses related to the asylum seekers. It is expected that the State funds will reimburse the City for shelter expenses at DHS facilities and HERRCs.

Currently there are seven HERRCs in operation:

- The Row NYC Hotel (Manhattan)
- The Watson Hotel (Manhattan)
- The Wolcott Hotel (Manhattan)
- The Stewart Hotel (Manhattan)
- Brooklyn Cruise Terminal (Brooklyn)
- Holiday Inn – Financial District (Manhattan)
- Wingate by Wyndham (Queens)

The table to the right shows the growth of asylum seekers entering and staying within the HERRCs system within New York City, as of February 2023.



Financial Summary

The following Financial Summary provides actuals for Fiscal 2021 and Fiscal 2022, the Adopted Budget for Fiscal 2023 and planned spending for Fiscal 2023 and Fiscal 2024 as of the Fiscal 2024 Preliminary Budget. This information is broken down by Unit of Appropriation, funding, and headcount.

NYCEM's Fiscal 2024 Preliminary Budget of \$32.6 million is \$27.7 million less than its Fiscal 2023 Adopted Budget of \$60.2 million, including Personal Services (PS) and Other Than Personal Services (OTPS). The PS and OTPS budgets are \$16.9 million and \$10.8 million, respectively less than the Fiscal 2023 Adopted Budget. In June, NYCEM's projected Fiscal 2024 budget was \$33.6 million.

Table 1: NYCEM Financial Summary						
<i>Dollars in Thousands</i>						
	FY21 Actual	FY22 Actual	FY23 Adopted	Preliminary Plan		*Difference
				FY23	FY24	FY23-FY24
Spending						
Personal Services	\$19,149	\$19,286	\$26,605	\$29,519	\$9,700	(\$16,905)
Other Than Personal Services	270,330	\$656,042	33,630	133,169	22,853	(10,777)
TOTAL	\$289,479	\$675,329	\$60,236	\$162,689	\$32,554	(\$27,682)
Funding						
City Funds			\$38,421	\$37,570	\$31,473	(\$6,948)
Other Categorical			0	51	0	0
Federal – Other			21,815	118,336	1,081	(20,734)
Intra City			0	6,731	0	0
TOTAL	\$289,479	\$675,329	\$60,236	\$162,689	\$32,554	(\$27,682)
Budgeted Headcount						
Full-Time Positions - Civilian	192	198	83	228	81	(2)
TOTAL	192	198	83	228	81	(2)

**The difference of Fiscal 2023 Adopted Budget compared to Fiscal 2024 Preliminary Budget.*

The NYCEM's Fiscal 2024 Preliminary Budget is funded by two main sources. The largest funding source is City tax-levy, which accounts for more than 96 percent of NYCEM's funding. Federal funding, which makes up approximately three percent of the budget makes up the remainder of the funding source. Federal funds in NYCEM's budget are provided primarily through the Urban Areas Security Initiative (UASI) Grant, a federal program to assist high-threat, high-density urban areas to protect against, mitigate, prevent, respond to, and recover from acts of terrorism.

Federal UASI funding is not typically assumed for the next fiscal year in the Preliminary Plan. As a result, the current ratio of City funding to federal funding is subject to change once federal dollars are realized in the plan.

The NYCEM's budget has grown exponentially during COVID-19 due to an influx of, federal pandemic-related funds. In Fiscal 2022, NYCEM received \$727 million in federal funds, nearly 96 percent (\$695 million) of which was COVID-19 related. Due to NYCEM's reliance on federal funds and the City's practice of projecting federally funded expenses only over a short term, NYCEM's baseline budget at adoption is typically far less than its actual spending in each fiscal year. NYCEM's Fiscal 2024 Preliminary Plan includes only \$36.9 million of funding from FEMA related to the COVID pandemic in Fiscal 2023, millions less than funding in the prior fiscal year. The 94.8 percent decrease in federal funding between Fiscals 2022 and 2023 forces NYCEM to either scale back its ongoing pandemic response or seek alternative funding sources.

Fiscal 2024 Preliminary Budget Changes

New Needs

The NYCEM did not have any New Needs included in the Fiscal 2024 Preliminary Plan.

Other Adjustments

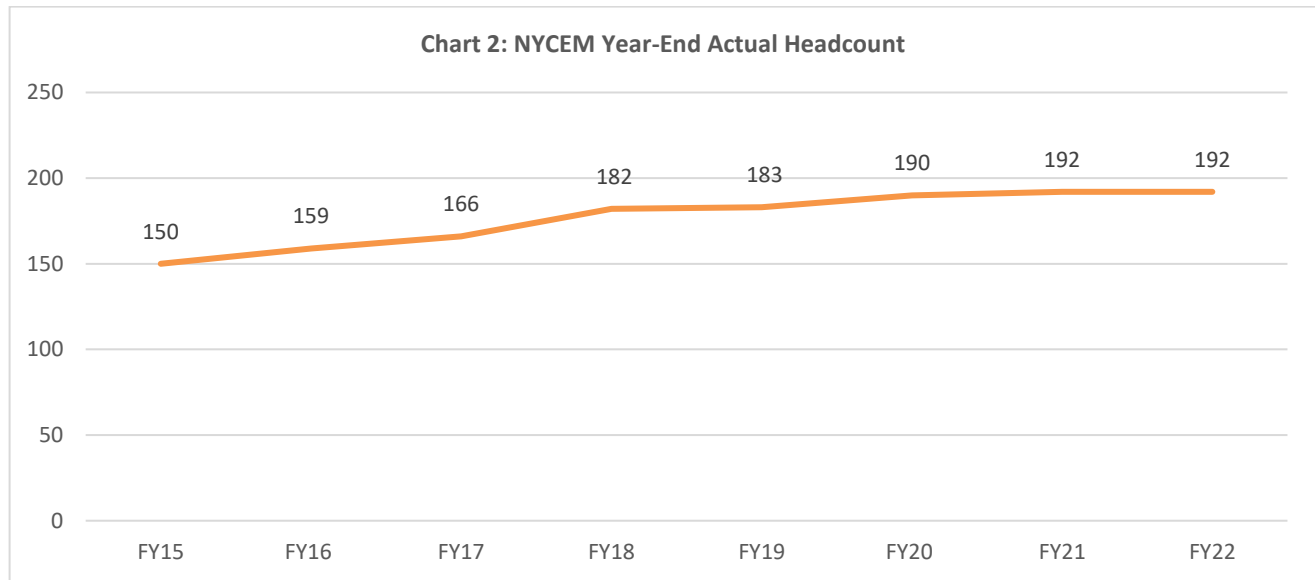
- **Asylum Seeker Realignment.** The Preliminary Plan includes a funding shift of \$760,920 in city funds from budget code “Asylum Support” to the agency's financial plan savings budget code.
- **2022 Cooperating Technical Partner Grant.** The Preliminary Plan includes a one-time provision of \$150,000 in federal funds from the Cooperating Technical Partner Grant (CTP) in Fiscal 2023. The CTP grant provides funds to ensure that CTP partners can perform program management and mapping-related activities, which are defined in the program guidance each fiscal year.
- **City Service Corps.** The Fiscal 2024 Preliminary Plan includes a reduction of \$64,590 in City funds in the City Service Corps program. The funding is being moved from NYCEM into the NYCEM of Youth & Community Developments’ (DYCD) budget to support City Service Corps members assigned at NYCEM. The program provides high-impact service projects led by City agencies in focus areas addressing critical capacity and community-related issues.

Program to Eliminate the Gap

- **Vacancy Reduction.** The Fiscal 2024 Preliminary Plan includes savings of \$32,000 in Fiscal 2023 and baseline savings of \$63,000 in the outyears associated with the elimination of two vacant positions. According to the NYCEM, positions have not yet been identified, as they are still assessing their needs. NYCEM believes that the reduction will not affect its level of service provision. The NYCEM had approximately 47 vacancies as of January 2023.

Headcount

NYCEM is a relatively small City agency, with an actual (filled) headcount of 196 in as of January 2023. Of the 196 active positions at NYCEM, 118 are federally funded, through programs such as UASI. Following years of headcount growth during the previous administration, actual positions have remained steady during COVID-19. Once the City’s hiring freeze was lifted NYCEM’s headcount steadily increased to its current level. With a current budgeted headcount of 228, NYCEM’s vacancy rate is 14 percent.



Fiscal 2024 Preliminary Mayor's Management Report

The Mayor's Office publishes the Preliminary Mayors Management Report (PMMR) to highlight agency performance through the first four months of the fiscal year, monitoring specific items for each agency. NYCEM has two service goals, first to ensure that City government is ready for emergencies, and second, to prepare New York City residents and private sector entities for emergencies. Below are highlights from the Fiscal 2023 PMMR.

The reporting in the PMMR does not include any significant changes in Fiscal 2023, with no new indicators added for NYCEM. The indicators that are included appropriately report on NYCEM's actions, however, additional indicators about the watch command, types of incidents, and daily functions of NYCEM would offer more context about the agency's functions.

- Days Emergency Operations Center Activated.** The Emergency Operations Center (EOC) was activated every day over the last two fiscal years, totaling more than 730 days. The EOC was first activated in February 2020 in response to the COVID-19 pandemic. Over the last two fiscal years NYCEM has provided coordination support for the COVID-19 pandemic, the Asylum Seeker crisis, the outbreak of Monkey Pox, and many weather-related situations including Hurricane Ida.
- Community Engagement Response Team (CERT) Volunteer Hours.** CERT volunteers are trained members of the community that provide basic response skills for emergencies. Through the first four months of Fiscal 2023, CERT members have volunteered for 3,202 hours, this figure is relatively unchanged from the same period in the prior year, as CERT members volunteered 3,190 in the first four months of Fiscal 2022. CERT was deployed 99 times during the first four months of Fiscal 2023, including to staff service centers providing support during the Asylum Seeker crisis.
- Participants at Emergency Preparedness Education Sessions.** NYCEM conducts emergency preparedness education sessions including CERT seminars, public preparedness presentations for residents and community groups, preparedness activities conducted at outreach fairs, and preparedness in the workplace presentations. In the first four months of Fiscal 2023, NYCEM reached 57 percent fewer participants, compared to the first four months of Fiscal 2022. In

Fiscal 2022, NYCEM p 20,247 people attended NYCEM's sessions compared to the 8,697 who attended in Fiscal 2023.

- **NotifyNYC CorpNet, Advance Warning System, and Community Preparedness Newsletter.** Notify NYC is New York City's dedicated emergency public communications program. Notify NYC staff operate year-round 24 hours a day out of Watch Command, where they constantly monitor emergency activity in New York City and the metropolitan area. The number of subscribers to the program was 944,385 in April 2022 and has now grown to 1,137,388 subscribers in April 2023.

Appendices

A. Budget Actions in the November and Preliminary Plans

<i>Dollars in Thousands</i>	FY23			FY24		
	City	Non-City	Total	City	Non-City	Total
NYCEM Budget as of the Adopted FY24 Budget	\$38,421	\$21,815	\$60,236	\$31,473	\$1,080	\$32,553
Other Adjustments FY23 NOV						
19/20/21/23 UASI	\$-	\$2,711	\$2,711	\$-	\$-	\$-
3030/400 to 0201/686	100	-	100	-	-	-
3030/400 to 0201/686	(100)	-	(100)	-	402	402
Aid for Asylum Seekers	-	50,000	50,000	-	-	-
BC 2633 SUPPLEMENTAL	-	181	181	-	-	-
Correcting 2950 & 2951 codes	-	1,988	1,988	-	-	-
Correcting 2950 & 2951 codes	-	(1,988)	(1,988)	-	-	-
COVID-19 FEMA PA Mod	-	23,400	23,400	-	-	-
CR01 FY23 UP MOD	-	325	325	-	-	-
FEMA COVID CTL	2,600	40	2,640	-	-	-
FEMA COVID CTL	(2,600)	12	(2,588)	-	-	-
FY23 19 UASI 2906	-	11	11	-	-	-
FY23 Backwater Valve Grant2150	-	240	240	-	-	-
FY23 BC 1200	-	929	929	-	-	-
FY23 BC 2921 UP 1 Roll Mod	-	109	109	-	-	-
FY23 BC2609 UP MOD	-	79	79	-	-	-
FY23 BC2623 UP MOD	-	401	401	-	-	-
FY23 BC2634 UP MOD	-	407	407	-	669	669
FY23 BC2635 UP MOD	-	40	40	-	-	-
FY23 CTP 21 Grant BC 2124	-	57	57	-	-	-
FY23 EMPG 2022	-	3,088	3,088	-	-	-
FY23 FED HENRI UP MOD	-	63	63	-	-	-
FY23 HURR IDA UP MOD	-	37	37	-	-	-
FY23 Mods MISC	-	399	399	-	-	-
IC W/OEM - PPE	5,951	941	6,892	-	-	-
IC W/OEM - Stockpile	717	-	-	-	-	-
NYCEM Cyber Transfer	100	288	388	-	-	-
To pay FY23 EMPG2021 Fringe	-	(231)	-	-	-	-
NYCEM FEMA PA CVV2	-	13,589	-	-	-	-
Up Mod MISC	-	416	416	-	-	-
Subtotal, Other Adjustments FY24 NOV	\$6,768	\$97,532	\$102,972	\$-	\$1,071	\$1,071
PEGS						
PEGS FY23 NOV						
Less Than Anticipated PS Spending BC 1000	(\$213)	\$-	(\$213)	\$-	\$-	\$-
Less Than Anticipated PS Spending BC 1105	(750)	-	(750)	-	-	-
Less Than Anticipated PS Spending BC 3050	(190)	-	(190)	-	-	-
Less Than Anticipated PS Spending BC P001	-	-	-	(1,575)	-	(1,575)
Telecommunication Savings	(19)	-	(19)	(56)	-	(56)
Subtotal, Savings FY23 NOV		-	(\$1,172)	-	-	(\$1,631)
Total, Changes FY23 NOV	\$6,768	\$97,532	\$101,800	\$-	\$1,071	(\$560)
Other Adjustments FY24 Prelim						
19 UASI Realign	\$ -	\$32	\$32	\$ -	\$ -	4 -
19 UASI Realign	-	(32)	(32)	-	-	-
Realign 19 UASI	-	221	221	-	-	-
Realign 19 UASI	-	(221)	(221)	-	-	-
Lease Adjustment	279	-	279	-	-	-
Heat, Light and Power	39	-	39	-	-	-
FY23 FY21 US&R Supplemental	-	181	181	-	-	-

<i>Dollars in Thousands</i>	FY23			FY24		
	City	Non-City	Total	City	Non-City	Total
DCAS/NYCEM	\$ -	\$37	\$37	\$-	\$-	\$-
BC 3004 Realignment	2,500	-	2,500	-	-	-
BC 0210 Realignment	(2,500)	-	(2,500)	-	-	-
BC 0207 Realignment	16	-	16	-	-	-
Asylum Funding Realignment	761	-	761	-	-	-
Asylum Funding Realignment	(761)	-	(761)	-	-	-
2022 CTP Grant FY23	-	150	150	-	-	-
City Service Corps	(65)	-	(65)	-	-	-
Subtotal, Other Adjustments Prelim	\$269	\$368	\$637	\$-	\$-	\$-
PEGS FY23 Prelim						
Vacancy Reduction	(\$32)	\$-	(\$32)	(\$63)	\$-	(\$63)
Subtotal, Savings FY24 Prelim	(\$32)	\$-	(\$32)	(\$63)	\$-	(\$63)
Total, Changes FY24 Prelim	\$237	\$368	\$4,605	(\$63)	\$-	(\$63)
Total Changes	\$7,005	\$97,900	\$102,405	(\$63)	\$1,071	(\$623)
NYCEM Budget as of FY24 Prelim	\$45,426	\$119,715	\$162,641	\$31,410	\$2,151	\$31,930

B. Contract Budget

NYCEM FY24 Preliminary Contract Budget				
<i>Dollars in Thousands</i>				
Category	FY23 Adopted	Number of Contracts	FY24 Preliminary	Number of Contracts
Contractual Services - General	\$220	1	\$5	1
Data Processing Equipment Maintenance	20	1	20	1
Maintenance and Repairs - Motor Vehicle Equip	10	1	10	1
Office Equipment Maintenance	37	1	37	1
Prof. Services - Other	14,219	1	3,892	1
Transportation Services	1	1	1	1
TOTAL	\$14,507	6	\$3,965	6

C. Units of Appropriation

NYCEM						
<i>Dollars in Thousands</i>						
	FY21 Actual	FY22 Actual	FY23 Adopted	Preliminary Plan		*Difference
				FY23	FY24	FY23-FY24
Spending						
Personal Services						
Full-Time Salaried - Civilian	\$17,184	\$17,633	\$21,344	\$22,803	\$9,321	(\$12,023)
Fringe Benefits	-	-	4,928	6,199	45	(4,883)
Overtime - Civilian	1,119	978	184	367	184	-
Unsalaries	510	471	127	17	127	(0)
Additional Gross Pay, Amount to be Scheduled and PS Other	336	202	23	23	23	-
Subtotal	\$19,149	\$19,284	\$26,606	\$29,409	\$9,700	(\$16,906)
Other Than Personal Services						
Contractual Services	\$218,653	\$627,318	\$14,507	\$75,203	\$3,965	(\$10,542)
Other Services & Charges	32,000	27,065	18,679	56,150	18,269	(410)
Property & Equipment	16,543	602	289	1,316	460	171
Supplies & Materials	3,127	1,018	136	360	140	4
Fixed & Misc. Charges	6	39	20	50	20	-
Subtotal	\$270,329	\$656,042	\$33,631	\$133,079	\$22,854	(10,777)
TOTAL	\$289,478	\$675,326	\$60,237	\$162,488	\$32,554	(\$27,683)
Funding						
City Funds			\$38,421	\$37,570	\$31,473	(\$6,948)
Other Categorical			-	51	-	0
Federal - Other			21,815	118,337	1,081	(20,734)
Intra City			-	6,731	-	-
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Budgeted Headcount						
Full-Time Positions - Civilian	192	198	83	228	81	-
TOTAL	192	198	83	228	81	-
<i>*The difference of Fiscal 2023 Adopted Budget compared to Fiscal 2024 Preliminary Budget.</i>						