



New York City Council
Hon. Julie Menin, Speaker of the Council
Hon. Linda Lee, Chair, Finance Committee
Hon. Selena Brooks-Powers, Chair, Criminal Justice Committee

**Report on the Fiscal 2027 Preliminary Plan,
the Fiscal 2027 Preliminary Capital Commitment Plan, and the Fiscal 2026
Preliminary Mayor’s Management Report for the Committee on Criminal
Justice**

Richard Lee, Director
Jonathan Rosenberg, Managing Deputy Director
Chima Obichere, Deputy Director
Paul Scimone, Deputy Director
Eisha Wright, Deputy Director

Prepared By:
Casey Lajszky, Senior Financial Analyst
Jack Storey, Assistant Director

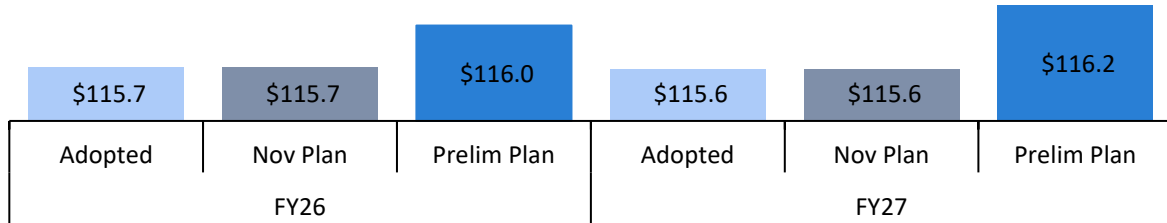
Fiscal 2027 Preliminary Plan

Department of Probation Budget Overview

The Department of Probation (DOP or the Department) attempts to build stronger and safer communities by working with and supervising people on probation, fostering positive change in their decision-making and behavior through research-based practices, and by expanding opportunities for them to move out of the criminal and juvenile justice systems. DOP also supplies information and recommendations to the courts to help inform sentencing and disposition decisions. DOP has seen minimal changes to its budget since the release of the Fiscal 2026 Adopted Plan in June 2025.

The Preliminary Financial Plan for Fiscal 2026-2030 (Preliminary Plan) includes a proposed Fiscal 2027 budget of \$116.2 million for DOP, \$545,809 (0.5 percent) more than its \$115.6 million Fiscal 2027 budget in the November Plan. DOP’s Fiscal 2026 budget in the Preliminary Plan is \$116.0 million (0.3 percent) more than its \$115.7 million Fiscal 2026 budget in the November Plan. The current Fiscal 2027 budget is \$530,583 more than the \$115.7 million Fiscal 2026 budget at adoption.

Comparison of the Last Three Financial Plans



Dollars in Millions

Source: New York City Office of Management and Budget

DOP Financial Summary

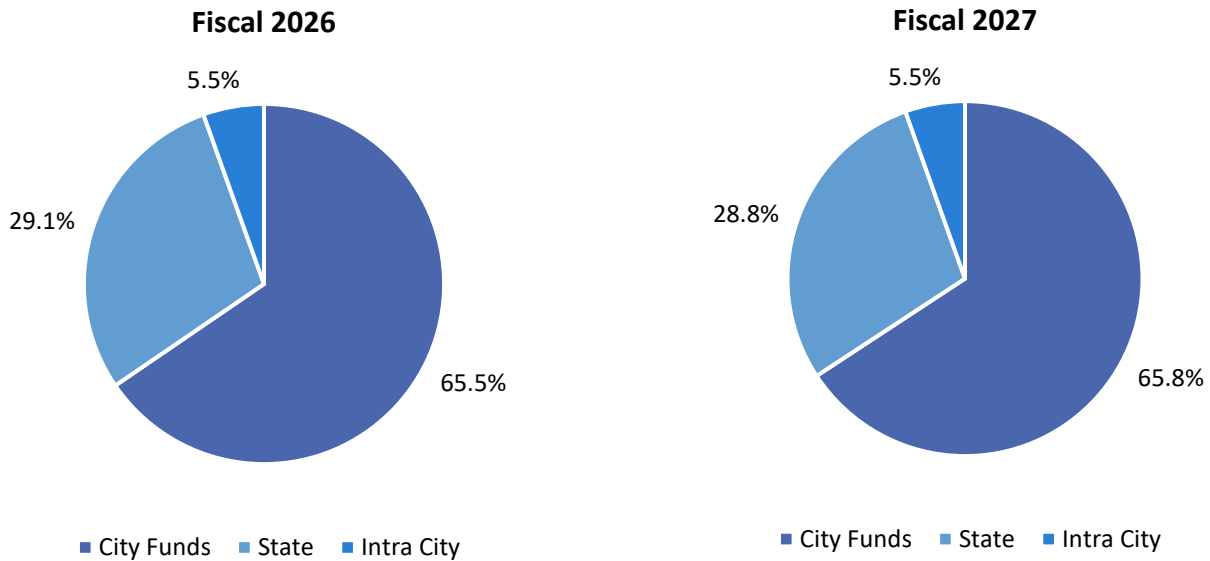
Dollars in Thousands	FY24	FY25	FY26	Preliminary Plan		*Difference
	Actual	Actual	Adopted	FY26	FY27	FY27 - FY26
Budget by Program Area						
Executive Management	\$12,371	\$13,619	\$11,628	\$11,628	\$11,656	\$29
Probation Services	94,130	99,322	104,031	104,391	104,533	502
TOTAL	\$106,501	\$112,940	\$115,658	\$116,018	\$116,189	\$531
Funding						
City Funds			\$94,430	\$75,952	\$76,409	(\$18,021)
State			14,890	33,728	33,442	18,552
Intra-City			6,338	6,339	6,338	0
TOTAL	\$106,501	\$112,940	\$115,658	\$116,018	\$116,189	\$531
Budgeted Headcount						
Full-Time Positions - Civilian	888	860	1,075	1,074	1,074	(1)
TOTAL	888	860	1,075	1,074	1,074	(1)

*The difference of Fiscal 2027 Preliminary Budget compared to Fiscal 2026 Adopted Budget.

Source: New York City Office of Management and Budget

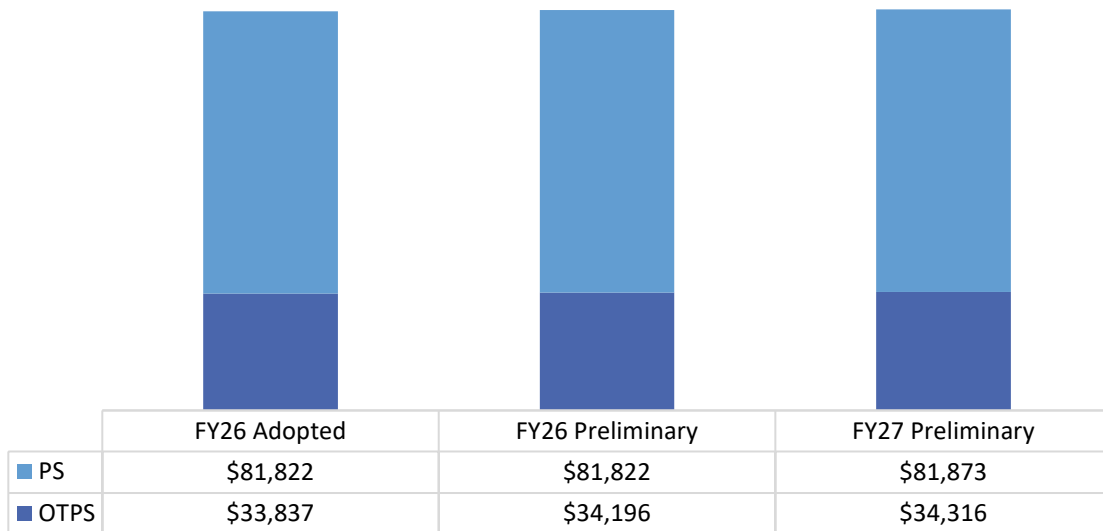
Budget by Funding Source

Fiscal 2027 City Funds: 65.8 percent



Source: New York City Office of Management and Budget

Personal Services (PS) and Other Than Personal Services (OTPS)



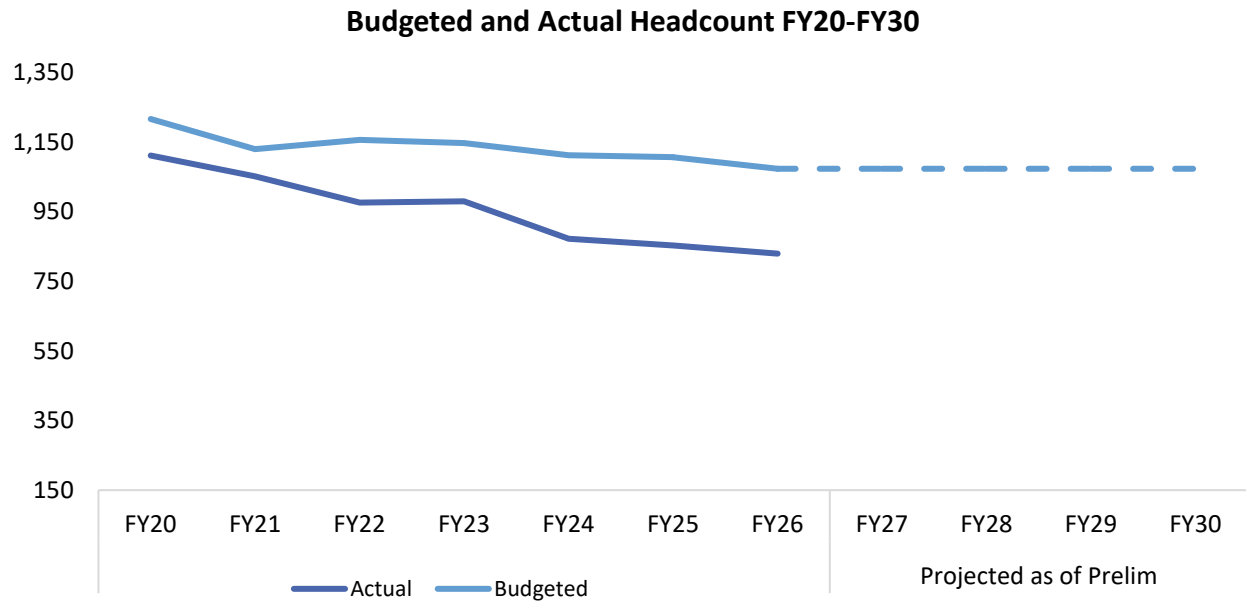
Dollars in Thousands

Source: New York City Office of Management and Budget

Headcount

FY26 Budgeted Full-Time Positions: 1,074
 Actual Headcount as of January 2026: 830

FY27 Budgeted Full-Time Positions: 1,074
 Vacancy Rate as of January 2026: 23 percent



Source: New York City Office of Management and Budget

Note: Budgeted and actual headcounts are as of the end of the fiscal year, except for Fiscal 2026 where budgeted headcount is as of the Preliminary Plan and actual headcount is as of January 2026.

DOP Contract Budget

Fiscal 2027 Contract Budget: \$19.5 million

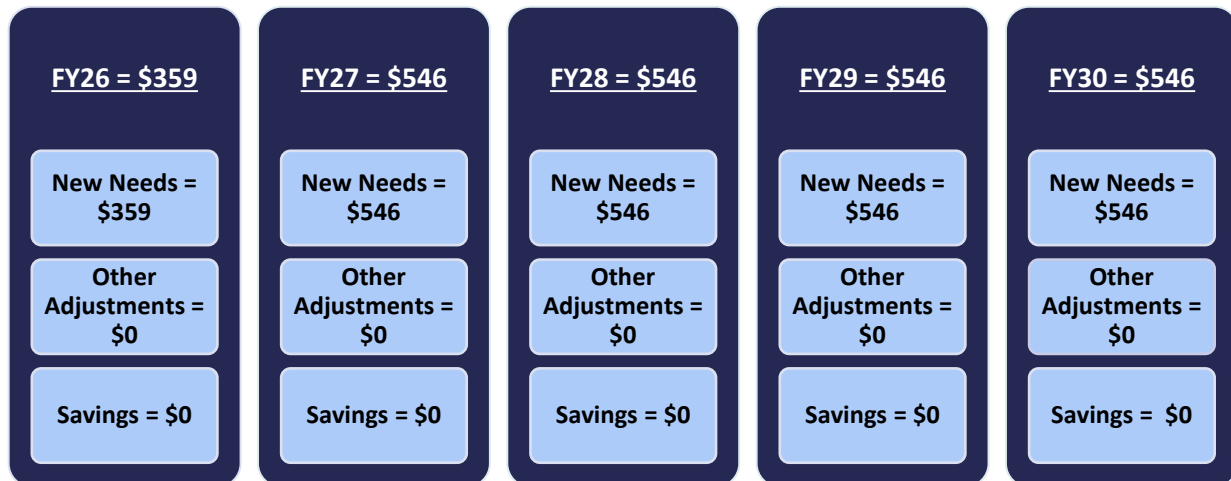
Number of Contracts in Fiscal 2027: 16

Dollars in Thousands

Category	FY26 Adopted	Number of Contracts	FY27 Preliminary	Number of Contracts
Cleaning Services	\$43	1	\$0	0
Contractual Services - General	19,829	5	18,000	5
Data Processing Equipment Maintenance	150	2	150	2
Hospitals Contracts	111	3	30	1
Maintenance and Repairs - General	72	1	114	2
Office Equipment Maintenance	90	2	62	2
Printing Services	20	1	0	0
Prof. Services - Other	102	4	101	2
Security Services	1,017	1	1,052	1
Telecommunications Maintenance	3	1	0	0
Temporary Services	16	1	0	0
Training Program for City Employees	25	2	13	1
TOTAL	\$21,477	24	\$19,523	16

Source: New York City Office of Management and Budget

Preliminary Plan Changes



Dollars in Thousand

Significant Preliminary Plan Changes New Needs

- **Cost of Living Adjustment.** The Preliminary Plan includes an additional \$358,880 of City funding in Fiscal 2026, then an additional \$545,809 baselined through the out years for a cost-of-living adjustment.

Preliminary Mayor's Management Report

The Preliminary Mayor's Management Report (PMMR) for Fiscal 2026 reports on three service areas and five goals for DOP. Noteworthy metrics that were reported are detailed below.

- **Contribute to improved outcomes in adult and family court proceedings** - These indicators present statistics regarding the timely production of pre-sentence investigations (PSI) and determinations of which youth would be appropriate for diversion programming when sentenced by formal juvenile court proceedings. The Department of Probation is required by the State to submit a PSI for any defendant who has been convicted of a felony or certain misdemeanors. The purpose of the PSI is to help the Court reach an appropriate decision.
 - In the first four months of Fiscal 2026, the Department completed 2,601 PSIs for adults, which is an increase from 2,390 PSIs for the same period in Fiscal 2025. The Department completed 1,155 juvenile investigations in Fiscal 2025 and 1,540 in the first four months of Fiscal 2026, a five percent increase over the same period in Fiscal 2025.
 - In the first four months of Fiscal 2026, 94.4 percent of adult investigations were completed on time, which is down four percent from the same period in Fiscal 2025, reflecting higher volume and complexity among adult cases. In the first four months of Fiscal 2026 96 percent of juvenile investigations were completed on time, which is a 10 percent increase from the previous fiscal year. DOP credits this increase to the Department's continued efforts to streamline workflows and strengthen staff support.
- **Monitor and enforce the conditions of probation-** DOP uses these indicators to evaluate client risk and misconduct data to optimize supervision, monitoring, and program services while increasing client accountability. This is primarily completed during field visits and enforcement actions.
 - During the first four months of Fiscal 2026, probation officers completed 3,140 initial risk and needs assessments for adults beginning probation supervision, an increase of 32 percent from the same period the previous year. Meanwhile, initial risk assessments for juveniles totaled 706 in the first four months of Fiscal 2026, a decrease of 23 percent from the same period during the previous year. However,

the time to complete these assessments improved to 21 days, a 16 percent reduction. DOP reports that the improvement in completion times reflect operational efficiencies and continued staff training, which they claim supported timely completion of assessments during the reporting period. It is likely that the decrease in initial risk assessments for juveniles is due to the 5 percent decrease in juvenile probation cases between the first four months of Fiscal 2025 and the same period of Fiscal 2026.

- The average monthly violation rate for adults on probation supervision in the first four months of Fiscal 2026 was 37 percent less than in the same period of Fiscal 2025, while the juvenile rate decreased from 1.6 percent to 1.5 percent between the same period.
- The number of adults on probation that were rearrested as a percentage of total New York City Police Department (NYPD) arrests decreased from 2.6 to 2.4 percent between the first four months of Fiscal 2025 and Fiscal 2026, while the juvenile rate increased from 0.2 percent to 0.3 percent between the same periods.
- **Provide client support and enrichment services to improve probation outcomes** - The Department utilizes Individual Action Plans (IAPs) to create goals for adults and juveniles who are under supervision. The DOP also uses these plans to provide community-based services.
 - During the first four months of Fiscal 2026, there were 28 new enrollments of juveniles in alternative-to-placement (ATP) programs, versus 21 during the first four months of Fiscal 2025.
 - There were 746 new enrollments in DOP-managed programs during the first four months of Fiscal 2026, 20 percent fewer than in the same period the previous year.
 - Adult probationer early completion rates were 6 percent during the first four months of Fiscal 2026 less than the 12 percent in the same period in Fiscal 2025, while the approval rate for early completion also decreased, from 95 to 90 percent between the same period.
 - In the first four months of Fiscal 2026 75 percent of adult probationers and 92 percent of juvenile probationers completed their programs, a decrease of four percent for adults and increase of two percent for youth from Fiscal 2025.

Miscellaneous Revenue

- The Preliminary Plan includes \$302,000 of DOP miscellaneous revenue in Fiscal 2027, the same amount as the Fiscal 2026 Adopted Budget. However, the Department collected just \$72,000 in miscellaneous revenue in Fiscal 2025.

<i>Dollars in Thousands</i>						
Revenue Sources	FY24	FY25	FY26	Preliminary Plan		*Difference
	Actual	Actual	Adopted	FY26	FY27	FY27-FY26
DWI/DUI Fees	\$116	\$71	\$300	\$300	\$300	\$0
Officers Shields And Records	0	0	2	2	2	0
TOTAL	\$116	\$72	\$302	\$302	\$302	\$0

**The difference of Fiscal 2027 Preliminary Budget compared to Fiscal 2026 Adopted Budget.*

Source: New York City Office of Management and Budget

Supporting Budget Charts

Budget Actions in the November and Preliminary Plans

<i>Dollars in Thousands</i>	FY26			FY27		
	City	Non-City	Total	City	Non-City	Total
DOP Budget as of the Adopted FY26 Plan	\$94,430	\$21,228	\$115,658	\$94,700	\$20,943	\$115,643
Changes Introduced in the November 2025 Plan						
New Needs						
	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal, New Needs	\$0	\$0	\$0	\$0	\$0	\$0
Other Adjustments						
CTS-Probation Supplies	\$0	\$1	\$1	\$0	\$0	\$0
Subtotal, Other Adjustments	\$0	\$1	\$1	\$0	\$0	\$0
Savings						
	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal, Savings	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL, All Changes in November 2025 Plan	\$0	\$1	\$1	\$0	\$0	\$0
DOP Budget as of the November 2025 Plan	\$94,430	\$21,229	\$115,659	\$94,700	\$20,943	\$115,643
Changes Introduced in the FY27 Preliminary Plan						
New Needs						
Cost of Living Adjustment	\$359	\$0	\$359	\$546	\$0	\$546
Subtotal, New Needs	\$359	\$0	\$359	\$546	\$0	\$546
Other Adjustments						
At-Risk Youth	(\$18,837)	\$18,837	\$0	(\$18,837)	\$18,837	\$0
Subtotal, Other Adjustments	(\$18,837)	\$18,837	\$0	(\$18,837)	\$18,837	\$0
Savings						
	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal, Savings	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL, All Changes in the FY27 Preliminary Plan	(\$18,478)	\$18,837	\$359	(\$18,291)	\$18,837	\$546
DOP Budget as of the FY27 Preliminary Plan	\$75,952	\$40,066	\$116,018	\$76,409	\$39,780	\$116,189

Source: New York City Office of Management and Budget

Budget by Unit of Appropriation Pair

Executive Management (U/A - 001 & 004)						
<i>Dollars in Thousands</i>						
	FY24	FY25	FY26	Preliminary Plan		*Difference
	Actual	Actual	Adopted	FY26	FY27	FY27-FY26
Spending						
Personal Services (001)						
Full-Time Salaried - Uniformed	\$0	\$27	\$0	\$0	\$0	\$0
Full-Time Salaried - Civilian	10,805	12,420	11,059	10,459	11,088	29
Other Salaried	0	0	2	2	2	0
Unsalaries	211	236	17	617	17	0
Additional Gross Pay	1,001	449	198	198	198	0
Additional Gross Pay - Labor Reserve	151	119	0	0	0	0
Overtime - Civilian	101	312	226	226	226	0
Fringe Benefits	4	5	0	0	0	0
P.S. Other	(2)	(0)	0	0	0	0
Subtotal	\$12,272	\$13,568	\$11,502	\$11,502	\$11,531	\$29
Other Than Personal Services (004)						
Contractual Services	\$0	\$1	\$28	\$0	\$28	\$0
Contractual Services - Professional Services	0	0	0	28	0	0
Supplies and Materials	5	8	42	20	42	0
Fixed & Misc. Charges	0	0	2	0	2	0
Property and Equipment	66	16	21	46	21	0
Other Services & Charges	28	25	32	32	32	0
Subtotal	\$99	\$51	\$126	\$126	\$126	\$0
TOTAL	\$12,371	\$13,619	\$11,628	\$11,628	\$11,656	\$29
Funding						
City Funds			\$11,568	\$11,568	\$11,597	\$29
State			60	60	60	0
TOTAL	\$12,371	\$13,619	\$11,628	\$11,628	\$11,656	\$29
Budgeted Headcount						
Full-Time Positions - Civilian	124	131	128	128	128	0
TOTAL	124	131	128	128	128	0

*The difference of Fiscal 2027 Preliminary Budget compared to Fiscal 2026 Adopted Budget.

Source: New York City Office of Management and Budget

Probation Services (U/A - 002 & 003)*Dollars in Thousands*

	FY24 Actual	FY25 Actual	FY26 Adopted	Preliminary Plan		*Difference FY27-FY26
				FY26	FY27	
Spending						
Personal Services (002)						
Full-Time Salaried - Civilian	\$51,048	\$54,782	\$64,300	\$64,880	\$65,047	\$747
Other Salaried	18	0	0	0	0	0
Unsalaries	217	226	11	101	101	90
Additional Gross Pay	3,484	1,898	3,429	2,759	2,614	(815)
Additional Gross Pay - Labor Reserve	210	2,617	30	30	30	0
Overtime - Civilian	2,846	4,175	2,550	2,550	2,550	0
Fringe Benefits	164	155	0	0	0	0
Subtotal	\$57,986	\$63,853	\$70,320	\$70,320	\$70,342	\$22
Other Than Personal Services (003)						
Contractual Services	\$21,588	\$20,633	\$21,347	\$21,226	\$19,421	(\$1,925)
Contractual Services - Professional Services	103	115	102	158	101	(1)
Supplies and Materials	630	696	1,564	1,135	1,618	55
Fixed & Misc. Charges	885	1,019	10	458	10	0
Property and Equipment	960	1,816	1,194	1,833	1,269	76
Other Services & Charges	11,978	11,190	9,495	9,261	11,770	2,275
Subtotal	\$36,144	\$35,468	\$33,711	\$34,071	\$34,191	\$479
TOTAL	\$94,130	\$99,322	\$104,031	\$104,391	\$104,533	\$502
Funding						
City Funds			\$82,862	\$64,384	\$64,812	(\$18,050)
State			14,830	33,668	33,382	18,552
Intra City			6,338	6,339	6,338	0
TOTAL	\$94,130	\$99,322	\$104,031	\$104,391	\$104,533	\$502
Budgeted Headcount						
Full-Time Positions - Civilian	764	729	947	946	946	(1)
TOTAL	764	729	947	946	946	(1)

*The difference of Fiscal 2027 Preliminary Budget compared to Fiscal 2026 Adopted Budget.

Source: New York City Office of Management and Budget