CITY COUNCIL
CITY OF NEW YORK

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TRANSCRIPT OF THE MINUTES

Of the

COMMITTEE ON HOUSING AND BUILDINGS

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December 10, 2015 Start: 10:21 a.m. Recess: 01:20 p.m.

HELD AT: Council Chambers - City Hall

B E F O R E:

JUMAANE D. WILLIAMS

Chairperson

COUNCIL MEMBERS:

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Deputy Director of Community Preservation
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Ava Farkus Executive Director Metropolitan Council on Housing

COMMITTEE ON HOUSING AND BUILDINGS

[gavel]

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CHAIRPERSON WILLIAMS: Good morning everyone on this nice spring day. Thank you for coming. My name is Council Member Jumaane Williams, Chair of the Councils Committee on Housing and Buildings. I'm joined today by Council Member Grodenchik, I got it right. Over the course of the last couple of years New Yorkers have seen countless heartbreaking headlines mourning the loss of someone that was working at a construction site somewhere across the city. We've always seen many New Yorkers who were injured as a result of the dangerous at... dangers at a construction site. And just two weeks ago the New York Times David Chen who I believe is... yeah who is here today wrote an expansive expose "Safety Lapses and Deaths Amid a Building Boom in New York" which talked about the increase in fatalities and injuries that have most... that have mostly affected undocument immigrant laborers and far exceeds the rate of new construction. The expose highlights that as New York City and... one of the most expansive construction booms in the city history that we have lost far too many and often preventable tragic

who encounter a construction site. We'll also hear

three bills. The first bill, proposed Intro number

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1	COMMITTEE ON HOUSING AND BUILDINGS 6
2	794A sponsored by myself will establish a taskforce
3	headed by DOB and composed of various city
4	agencies. Which would meet regularly to assess the
5	safety risks posed to construction workers,
6	pedestrians, and motorists at construction sites.
7	Further, on an annual basis the task force will be
8	required to submit recommendations to the mayor and
9	council on purposed measures to mitigate such
10	safety risks. Sponsored I sponsored intro number
11	794A to respond to the recent uptake in
12	construction related accidents. In addition to
13	construction workers being injured on the job, to
14	be explored in depth at a hearing we… we held…
15	construction safety earlier this year. Pedestrian
16	and motors have also been seriously injured or
17	killed. According to recent reports data from the
18	Department of Buildings shows that from 2008
19	through 2014 there were 96 construction accidents
20	involving pedestrians and others passer by.
21	Resulting in 155 injuries. Further in 2014 at
22	least 18 construction accidents involving
23	pedestrian and other passerby were reported, the
24	most of any year since 2008. The task force being

proposed would be among other things tasked with

1	COMMITTEE ON HOUSING AND BUILDINGS 7
2	keeping people safe, and hopefully reversing this
3	trend. While the task was being proposed and the
4	bill is at as it is currently drafted only
5	includes representative from city agencies.
6	Following conversations with stakeholders after
7	introducing the bill, I'm open to amending it to
8	include representatives from the construction
9	industry, unions, and other relevant stakeholders.
10	In order to ensure that the task force is inclusive
11	and that the recommendations that come out of the
12	task force include feedback from all relevant
13	stakeholders. Additionally, we are going to hear
14	two bills sponsored by my colleague, Council Member
15	Antonio Reynoso. Intro 939 would increase the
16	penalties for work without a permit and Intro
17	number 940 would increase the penalties for
18	violating a stop work order. I should note that
19	these two bills are part of a larger package to
20	reform the Department of Buildings and put an end
21	to construction as harassment. The hope is that the
22	increase penalties proposed in these bills will
23	serve to deter bad actors. I'd also like to thank
24	my staff for the work they did to assemble this

hearing including Nick Smith my Deputy Chief of

4 Patino and Jose Conde, Policy Analyst to the

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5 Committee Sarah Gasilum [sp?], the Committee's

6 Finance Analyst. As a reminder for those of you who

Malaika Jabali, Counsel to the Committee Guillermo

7 are testifying today please be sure to fill out a

8 card with the sergeant. With that said we have the

9 first panel from the administration that are... is

10 going to testify today. It would be great if they

11 all said we support every bill and called it a day

12 | but I have a feeling that might not be as easy as

13 the conversation will be but we look forward to it.

14 We have Commissioner Rick Chandler, Deputy

15 Commissioner Tip Hogan, Deputy Commissioner... I'm

16 sorry, Tim Hogan, and Deputy Commissioner Alex

17 Fisher. Before we do that my colleague, Antonio

18 Reynoso has some bills and I assume an opening

19 statement. If you give us one second.

ANTONIO REYNOSO: Thank you very much

Chair Williams for giving me the opportunity to

speak on a couple of bills that I'm attempting to

pass today and hopefully getting the support of the

administration. So we're here for Intro's 939 and

940 today. These bills seek to increase fines for

while a stop work order is in effect from 5,000 to

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1 10,000 dollars for the first violation and then 2 3 from 10 to 20 for each subsequent violation. 4 Recently Stand for Tenant Safety advocates distributed 150 surveys to tenants in 57 occupied buildings undergoing major construction and also 6 analyzed 3-1-1 complaints and violations issued to these 57 buildings. Their findings support the need 8 for these bills. The 57 buildings had a total of 197 documented complaints for work without a permit 10 11 but only 12 of those resulted in violations being 12 issued and those in... in... it took inspectors an 13 average of 58.4 days to follow up on these 14 complaints, the average fine given was only 2,529 15 dollars. The 57 buildings also had 46 documented

16 complaints for work done in violation of a stop 17 work order only two stop work order violations were 18 issued each only 400 dollars. The fact is that 19 dangerous conditions are remaining unchecked and even while... when the violations are issued 20

landlords consider them the cost of doing business and this needs to end. Additionally, landlords do

not take these fines seriously and they often go

unpaid. The average time period that fines go

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unpaid is just over two and a half years. To ensure

2 that intro three... 939 and 940 will be truly

3 effective we also need to hear and pass Intro's 930

4 and 931 introduced by Council Member Kallos which

5 | would expand the properties where ECB Board finds

6 can be made... can be made a lien and collectable and

7 empower the ECB to commence foreclosure proceedings

8 based on these liens. Together these bills will

9 create a powerful... for landlords to undertake

10 dangerous work that threatens the safety of workers

11 and tenants. I look forward to hearing the DOB's

12 comments on these proposals and to have continued

13 conversations about the package of both proposed by

14 STS Coalition, many of whom members we'll be hear...

15 we will be hearing from today. Again Chairman

16 | Williams I really appreciate the ... the time you gave

17 | me to be able to present this opening statement,

18 | thank you.

19 CHAIRPERSON WILLIAMS: Thank you. Thank

20 you, if you can please raise your right hand. Do

21 | you affirm to tell the truth, the whole truth and

22 | nothing but the truth in your testimony before this

23 committee and to respond honestly to council member

24 questions?

COMMITTEE ON HOUSING AND BUILDINGS

2 CHAIRPERSON WILLIAMS: I assume... you 3 too? Okay. You may start.

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RICK CHANDLER: Good morning Chair Williams and members of the Housing and Buildings Committee and other members of the City Council. I am Rick Chandler, Commissioner of the New York City Department of Buildings. I am joined by Deputy Commissioner for Enforcement, Timothy Hogan and Deputy Commissioner for Legal and Regulatory Affairs, Alexandra Fisher. We are pleased to be here to offer testimony on the... on the three pieces of legislation this includes introductory number 794A which establishes a multi-agency task force to assess safety risks at construction sites and introductory numbers 939 and 940 which respectively doubles the penalties for construction work performed without a permit from the department and work performed in violation of a stop work order issued by the department. The department applauds the council for pursuing remedies to enhance the safety of construction sites and we welcome the opportunity to share our perspective and engage in a productive dialogue. Our mandated buildings department is as broad as it is deep, there are

2 more than one million buildings and construction 3 sites throughout the city that are governed by the city's construction codes, zoning resolution, the 4 5 state's multiple dwelling law and other regulations. We enforce compliance with these laws 6 7 through our examination of buildings plans, permitting, licensing functions, and inspections. 8 While our broad mandates certainly includes advancing development in the interest in creating 10 11 jobs, schools and affordable housing everything we do is conducted through the lens of safety. Safety 12 on construction sites and safety for all who 13 14 traverse in and around buildings. This year we've 15 seen a disturbing increase in unsafe construction 16 activity, an increase that out paces the recent 17 increase in construction activity. Through November 18 of this year there have been 439 construction 19 injuries up 78 percent from 246 in 2014 following a 20 record high 19 construction fatalities in 2008 during the six-year period between 2009 and 2014. 21 There has been an average of 5.3 construction 2.2 2.3 fatalities annually. In 2014 there were eight fatalities. Through November of this year there 24 25 have been 11 fatalities, five at which are still

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under investigation. The department determines a fatality to be construction related if it occurs on a construction site and was directly related to a construction activity. The Occupational Health and Safety Administration, OSHA within the United States Department of Labor also tracks construction fatalities however they define them more broadly. Their count is typically higher given that they include fatalities that are not directly related to work on a building construction site such as infrastructure projects and construction work occurring off site. One construction related fatality is too many. What we have seen this year is a call to action from everyone involved in the construction industry. The fact is many of these construction accidents were easily avoidable had workers or their managers followed the approved plans and not cut corners. Workers fall... Worker falls remain the primary driver of construction related deaths. The time it takes to clip in or ensure a railing is in place can be the difference between life and death. Through an education oversight and code reform the department makes every effort to promote construction safety. That

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said the department will issue more than 150,000 work permits this year alone. The sheer volume of construction in the city means that we cannot be at every construction site every day. Ultimately contractors need to create a safe environment for their workers and each person on a construction site needs to put together, needs to work together to ensure that work conforms to approved plans and is preformed safely. Furthermore, while the department regulates construction in an effort to protect the public by enforcing the safe guarding provisions of the construction codes, OSHA has jurisdiction over worker safety. The department is advancing in a number of initiatives to protect the safety of workers and the public all of which adhare [phonetic] to one of the core tenants [phonetic] of our building one city plan, which is to take a more proactive approach to enforcement. With additional resources committed by the mayor and city council and this year's budget, the department is in the process of hiring nearly 100 new inspectors. This significant increase in our inspectorial ranks coupled with this week's launch of the next phase of inspection ready which will

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equip inspectors with smartphones and tablets and optimizes their routing through use of sophisticated software will allow us to increase the number of inspections we preform and improve our response times. This past July the department released a first of its kind, Industry Code of Conduct. The Industry Code of Conduct is made available to all who interact with the department. Is issued to every licensee and sets forth the standards to which professionals must adhare [phonetic] to promote safe construction sites. The department is undertaking a wholesale reengineering of our plan examination process with the focus on incorporating risk. Through this effort we will have a risk base plan exam model which will determine how we allocate resources for plan reviews and enforcement inspections and will help determine which types of projects need extra scrutiny by our examiners and inspectors. The department has established its first ever risk management office which through the use of sophisticated dana... data analytics tools works to identify sites that pose a greater threat to public safety and mitigate dangerous buildings and

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worksite conditions. As we continue to staff this office it has already proven effective in a number of areas including identifying and prioritizing inspections of buildings with potentially unsafe and illegal gas hookups and working with industry to identify buildings that have a specific type of elevator with a potential defect for inspection. Additionally, the department is focusing more of its attention on buildings up to nine stories in height where a disproportionate number of accidents occur. Construction superintendents are registered with the department and are required on new building and demolition projects of up to nine stories. The department has increased its enforcement presence at these buildings with an eye toward ensuring that construction superintendents are exercising appropriate oversight consistent with our approach to being more proactive with our enforcement. Permit holders with a pattern of noncompliance on major alteration projects for buildings under nine stories will be required to have a construction superintendent on site. The department is also exploring requiring construction superintendents on midsized alteration projects and

2 to require their presence for certain critical 3 operations. Most importantly the department is now 4 taking proactive enhanced disciplinary actions 5 against construction professionals that habitually break the law. This effort not only removes 6 construction site... site's problem professionals who 8 put the safety of workers in the public at risk but it also sends a broader message to the industry. Too many construction professionals choose to 10 11 ignore violations issued by the department and 12 where the law allows the department is now seeking 13 other remedies to protect the safety of workers and 14 the public. The department now performs a more 15 holistic review of problem construction 16 professionals performance. A pattern of disregard 17 for the law will now result in our evaluating the 18 fitness of the license holder. Our legal and 19 regulatory affairs unit led by Deputy Commissioner 20 Fisher has begun to send this message through a number of high profile disciplinary cases, a few of 21 which I'd like to highlight. In April of this year 2.2 2.3 a worker at 19... at 19 9th Avenue was killed after construction site managers failed to ensure that 24 walls were properly shored during an excavation 25

2 resulting in a cave in. Harco Consultants, a general contractor was the company responsible for 3 allowing these unsafe worksite conditions. The 4 5 department began an investigation into the contractor's safety record which showed they 6 7 repeatedly flouted safety laws and frequently allowed hazardous conditions on other sites. Based 8 on these findings the department suspended Harco's ability to operate for 30 days and place them on 10 11 five months' probation during which time additional 12 site safety personnel would be required on all of 13 their job sites. This enforce... this enforcement 14 action was unprecedented in this department's 15 history. Last month a similar action was taken 16 against another general contractor, The Rinaldi 17 Group following the issuance of dozens of 18 violations and a pattern of disregard for safety. 19 In September the department took action against 20 another major contractor after collaborating with 21 the city's law department the Department of Buildings revoked the registration of general 2.2 2.3 contractor MRMV for amassing numerous violations. In addition, this contractor has breached their 24 agreement with the law department to pay previous 25

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penalties accruing more than 600,000 dollars in interest and penalties on their previous debt. As a result, we placed stop work orders on more than 400 sites where MRMD held work permits until a new contractor could be hired. These are just highlights of the proactive enhanced disciplinary work the department is doing to influence change in industry practices every day. Our goal is not to punish workers on these sites but to make clear to bad actors that there will be serious repercussions for reckless behavior. The department's increased staffing and cracked down on bad actors is in direct recognition of the recent increases and worker injuries and fatalities. It is time to move to a point where we are actively removing problem construction professionals from job sites. We will not tolerate the mindset that construction accidents and any resulting violations or fines are simply the cost of doing business. With respect to the legislation before this committee I would like to begin by commenting on Intro 798. This legislation establishes a taskforce to assess safety risks at construction sites. Shared by the buildings commissioner with the participation of a

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3 will hold at least one hearing every six months and

minimum of seven other agency heads. The taskforce

4 advise the mayor and council on building

5 construction projects that may result in the

6 disruption of the use of sidewalks and streets. The

7 task force will study the safety record and

8 | building code compliance of construction companies

9 over the preceding ten years and identify instances

10 where their actions have caused harm. And study the

11 conditions of the sidewalks and streets in the

12 | vicinity of construction activity. Finally, the

13 | bill requires an annual report by... be submitted to

14 | the mayor in council which evaluates this

15 efficiency of the existing regulatory framework

16 recommendations to improve safety, a list of

17 | construction companies that incurred repeated

18 | violations of the building code and a list of

19 | locations where permitted construction activity has

20 | resulted in damage to the city's infrastructure.

21 | The department's... the department already performs

22 | much of this analysis sought by this legislation

23 | and consults regularly with our partner agencies as

24 the proactive enforcement I highlighted earlier

demonstrates. Additionally, existing law requires

2 the department to review and update all of the 3 construction codes on a defined schedule. As part 4 of this process the department works with the council and hundreds of other stakeholders devoting thousands of hours of exhausted analysis on a 6 7 myriad of construction issues including safety. So while we appreciate the intent of the bill we feel 8 that a taskforce would duplicate and divert resources from the work we are already required by 10 11 law to perform during the code revision process. In 12 an effort to address unsafe instruction... construction intros 939 and 940 seek to double the 13 14 penalties for construction work performed without a 15 permit from the department and work performed in 16 violation of a stop work order issued by the 17 department. Specifically, Intro 939 doubles the 18 work without a permit penalty for one or two family 19 dwellings from four to eight times the permit fees 20 and increase the minimum penalty from 500 to 1,000 21 dollars. The work performed without a permit on 2.2 other than one or two family dwellings the penalty 2.3 is doubled from 14 to 28 times the permit fee and minimum penalty, and the minimum penalty is 24 increased from 5,000 to 10,000 dollars. Intro 940 25

doubles the penalty for per... for performing work in 2 3 violation of a stop work order from five to 10,000 dollars for the initial violation and from 10 to 4 20,000 dollars for each subsequent violation. The overwhelming majority of construction accidents 6 7 occur on sites that have permits and are not working in violation of a stop work order. 8 Therefore, while well intentioned we do not think doubling penalties for working without a permit or 10 11 in violation with a stop work order is likely to have much of an impact on addressing unsafe 12 13 construction. Furthermore, when considering 14 adjustments to the penalty structure it is 15 appropriate to find the right balance between 16 encouraging compliance with our laws and not 17 setting the penalties so high that they're ignored. 18 If we set penalties too high we also risk driving 19 work underground without the benefit of department 20 regulation which may in turn result in more unsafe construction. It is essential that we hit 21 construction professionals who jeopardize the 2.2 2.3 safety of workers in the public where it works. We believe there are more effective ways to do so when 24 increase... then increasing penalties. As explained 25

earlier in my testimony the department is now

pursuing a number of enforcement strategies that we

believe are more effective. This includes hiring

additional inspectors and outfitting them with

7 enforcement, more aggressively suspending licenses

technology incorporating risk analysis into our

8 and registrations and attaching liens to the

9 property in the limited instances where we can. The

10 department supports amending state law to expand

11 | the city's lien authority to include more

12 department issued violations. Thank you for your

13 attention and the opportunity to testify before you

14 | today and I welcome any questions that you may

15 have.

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16 CHAIRPERSON WILLIAMS: Thank you
17 Commissioner. We've also been joined by Council

18 Members Rosenthal and Torres. And thank you for

19 your testimony. And also I meant to applaud your

20 efforts that were mentioning here even before you

21 began of pulling some of the registrational

22 [phonetic] licenses of some of the bad actors in

23 the industry. May have a couple questions about

that. I know a lot of that was unprecedented so I

25 just wanted to applaud you... [cross-talk]

COMMITTEE ON HOUSING AND BUILDINGS

2 RICK CHANDLER: Thank you.

CHAIRPERSON WILLIAMS: ...doing that.

[cross-talk]

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RICK CHANDLER: Thank you.

CHAIRPERSON WILLIAMS: First in your testimony you mentioned differences between work that's on a construction strike... on a construction site and work that we've done on infrastructure projects and construction work occurring offsite.

Can you explain difference...

RICK CHANDLER: Well I'll start and

Commissioner Hogum might be able to supplement. But
you know construction work offsite often times
there's prefabricated work that's done or a site
that's done in a factory building or done at a
steel fabrication shop or a precast concrete
location elsewhere and then shipped to the job
site. If accidents occur at those locations, we
don't consider that related to the job... the actual
construction site for which we issued a permit.
Another example would be material delivery, if
someone is making a material delivery and pulls a
vehicle or a delivery vehicle to the site and
encourage an accident as they are unloading

TIMOTHY HOGAN: Council Members one of the accidents that was counted by labor this year... or by OSHA this year. There were welding a steel I Beam for a building. And the steel I Beam in the factory rolled over on top of the worker who was doing the welding. So they considered that a construction related death because the guy was making an I Beam to be used in a building but it did not happen on a construction site it happened in a factory in Brooklyn.

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CHAIRPERSON WILLIAMS: Thank you.

Another sentence furthermore while the department regulates construction in an effort to protect the public by enforcing safeguard... provisions of the construction code OSHA has jurisdiction over worker safety. Can you explain that, what that means practically speaking? Are you saying that OSHA is responsible for worker safety on the site but you're not?

TIMOTHY HOGAN: There's two ways of looking at it. The... the main thing to look at is... is that we do... we are empowered to handle protection of the public as it relates to the site and protection of the site. So the... the installation of railings, the installation of stairwells, etcetera... covering of holes, blocking of elevator shafts affect the fire department who does inspections, the buildings department who do inspections. We are construction workers so they are required to protect that site. If a worker is injured on the site, we can issue violations for failure to safeguard. But the actual incident related to that construction worker who'd injured falls under the jurisdiction of the federal

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government which is OSHA. So we don't have jurisdiction to write a violation for the... the incident that we related to a serious injury we can only write the safeguard side, that they failed the safe guide... safeguard the public on the site OSHA

7 has to write the violation for... [cross-talk]

CHAIRPERSON WILLIAMS: So just... [crosstalk] ...just give... say that with an example. If a... if a... a worker didn't have the proper harness and they fell and were killed.

TIMOTHY HOGAN: Right so if... if the construction company failed to supply a harness they failed to... they failed to safeguard the workers. But the actual violation for... for the related injury or... or potential death of that person falls on OSHA to issue the violation on them.

CHAIRPERSON WILLIAMS: And what does... what does DOB... what violation do you get?

TIMOTHY HOGAN: We would issue a failure to safeguard.

CHAIRPERSON WILLIAMS: And then what violation could the federal government give?

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COMMITTEE ON HOUSING AND BUILDINGS

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TIMOTHY HOGAN: I can't talk directly to what OSHA issues but their fines are... are different structure and they actually issue the violation for the resulting injury.

CHAIRPERSON WILLIAMS: I'm just trying to figure out is there... is this a difference without any real consequence or is there a real difference with real different consequences.

TIMOTHY HOGAN: It... it... it's a very fine line and I... and I know it's hard to understand but the federal government retains the authority to write the violation for creating the dangerous condition for the worker. All we can do is write that they failed to safeguard. We don't have any authority to write on the specific injury that occurred to the worker.

RICK CHANDLER: Chair Williams ...

[pause]

CHAIRPERSON WILLIAMS: Go ahead.

RICK CHANDLER: I would... I would just refer you to the fact that our mandate is to produce regulations related to safety of a construction site. And as I testified earlier about the thousands of hours of work that's gone into our

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requirement to develop codes we developed chapter 33 of the 2014 codes which is a revision of the 20... 2008 codes. And it is about site safety, the construction of site safety and it does not refer to the actual individual workers themselves. So yes we're required... this site is required to maintain a site in itself that's safe and it doesn't directly refer to the workers. So for ... example before it might have been OSHA may... and I can't ... I'm not an expert in what OSHA would write but I think they would may... they may write something about a harness not being provided we would write the fact that the safety of the site in general is not provided. I realize it's a fine line and if we would look at our testimony between you and I last time we had this discussion we had this very same discussion about that fine line.. fine line. And I know it's ... can be frustrating. CHAIRPERSON WILLIAMS: Even with that

fine line do you feel that DOB has all the... the tools necessary to enforce safety on the construction site?

RICK CHANDLER: I think that our Chapter 33 of our code stands alone among any city in the

requirement for a construction superintendent. I

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site.

just want to understand the difference. I know there's been some issues of people complaining of not enough site safety supervisors. And I just really want to understand the difference between the site safety supervisor, the construction superintendent, other types of people that are there supposed to maintain safety of a construction

ALEXANDRA FISHER: Okay let's see if I can start. Site safety managers are required on construction sites for major buildings, basically those that are 10 stories and over.

CHAIRPERSON WILLIAMS: I'm sorry can you move a little closer?

ALEXANDRA FISHER: Oh sure, sorry.

CHAIRPERSON WILLIAMS: Thank you.

ALEXANDRA FISHER: Site safety managers are required on buildings that are 10 stories or over. And currently we have 1,056 licensed site safety managers. When the commissioner started at DOB it was taking about 18 months to approve new site safety managers. Today the wait time is less than six months, in most cases as of September we had 26 site safety manager applications pending and

months. We've also taken steps to lighten the

workload for site safety managers to address the

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5 potential concern for a shortage of them including

6 by dropping the requirement that they be present

7 | for certain façade jobs. Construction

8 superintendents have a different area of

9 jurisdiction. They're on sites that are less than

10 ten stories. And they have a broader responsibility

11 | than site safety mangers. Does that help?

12 CHAIRPERSON WILLIAMS: Sure. One second,

13 sorry.

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ALEXANDRA FISHER: I can also say that we're considering making some changes to the regulations surrounding construction superintendents so that additional work falls within their realm.

CHAIRPERSON WILLIAMS: So you... you mentioned... It says permit holders with a pattern of noncompliance on major alteration projects for buildings under nine stories will be required to a have a construction superintendent on site. Is that a change... required before?

CHAIRPERSON WILLIAMS: New buildings.

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RICK CHANDLER: ...demolitions. So there's 2 3 many many applications that are alterations that 4 don't fall into that requirement to require the 5 construction superintendent. So what we are investigating is requiring construction 6 superintendents to be at more of these jobs that have alterations specifically if they have 8 particular types of work that might have a higher risk than other types. For example, if you are 10 11 putting in a new foundation, placing some concrete, 12 erecting some steel even if it's an alteration. So 13 these things are under consideration right now. It's not been... we haven't reached a point where 14 15 we're able to propose something but... clearly it's ... it's... in an effort to try to expand where we would 16 17 have more safety personnel... 18 19

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CHAIRPERSON WILLIAMS: Do you feel that there is a... there is a shortage of site safety supervisors? Do we need additional... do we need to look at that so we can get more online? What is your assessment?

ALEXANDRA FISHER: We don't believe there is a current shortage of site safety managers.

Τ	COMMITTEE ON HOUSING AND BUILDINGS 3
2	RICK CHANDLER: I I think that's
3	something that you hear a lot and we have no
4	evidence of that. So And it's site safety manager
5	instead of supervisors if I may be so bold as to
6	correct your language. Because it's something that
7	is thrown out a lot. There's site safety managers
8	we see no evidence of that.
9	CHAIRPERSON WILLIAMS: Have there ever
10	have there been any accidents on sites where there
11	were no site safety managers?
12	RICK CHANDLER: Sure. Yeah because site
13	safety managers are a for ten stories and above of
14	a certain size of building. [cross-talk]
15	CHAIRPERSON WILLIAMS: So I guess
16	[cross-talk]
17	RICK CHANDLER:certain sites. So you
18	know the majority of accidents occur in buildings
19	that are under 10 stories.
20	CHAIRPERSON WILLIAMS: Have do you know
21	of any accidents that were 10 stories or more where
22	there were no site safety managers?
23	RICK CHANDLER: No.

the jobs that were nine stories or less did they

CHAIRPERSON WILLIAMS: Thank you. And

because we think that that has... may have a major

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impact because we look at other data related to violations, complaints, and our response to these types of... of accidents were showing nine stories and less and so this is part of our effort to be more proactive. And so once we have a plan more fully vetted then we will start executing.

CHAIRPERSON WILLIAMS: Thank you. Now...

now to some of the steps that you were taking that
were unprecedented. I know that as mentioned Harco
Contracting was I guess suspended for 30 days.

There was another group that was suspended. And
MRMD had their registration general contract I
assume revoked. When you suspended is it a
suspension of license, suspension of the general
contractor registration? What is that called when
you do the suspension?

ALEXANDRA FISHER: So we're suspending the general contractor registration under which they pulled the permits that led to problem conduct. And then the entire company can't do any work and they can't pull permits during that suspension period.

CHAIRPERSON WILLIAMS: So Harco, that happened for 30 days?

COMMITTEE ON HOUSING AND BUILDINGS

2 ALEXANDRA FISHER: That's correct.

3 CHAIRPERSON WILLIAMS: And MRMD is

4 | indefinite right now?

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ALEXANDRA FISHER: MRMD is out of business.

CHAIRPERSON WILLIAMS: Out of business.

So how did you make... how do you... why was Harco... and
I assume Renaldi for only 30 days where as MRMD was
obviously...

ALEXANDRA FISHER: The MRMD situation was a little bit different. It was based on some work that the law department was doing to attempt to collect debt that they owed city for violations whereas Harco and Renaldi... their conduct was brought... brought to our attention and we took a look at their safety record and determined that there would be an opportunity here to put a stop to an attempt to rehabilitate the contractors that going forward their work is safer than it has been in the past.

CHAIRPERSON WILLIAMS: Thank you. I have additional questions and I'm going to come back to particularly ask questions about my bill. I'm going to give Council Member Reynoso the privilege of

level of detail.

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asking about his bill, then Council Member
Rosenthal, and Torres. And we'll give everyone five
minutes to ask questions.

COUNCIL MEMBER REYNOSO: Thank you

Chair. Appreciate it. Just have a... a couple of
questions regarding your... your concerns over... over
those that we have. You highlighted here that on
sites that have permits... accidents are occurring on
sites that have permits and are not working a

violation of stop work orders, do you have specific
information as to how many... how many... what
accidents are happening in sites that have permits
and not work... and are not working in violation of a
stop work order. And... or at least give me the
information of the ones that are happening in
places where there is no permit or there is no stop
work order. Do you have that information and data?

COUNCIL MEMBER REYNOSO: So... so just anytime a statement is being made like that saying you know this... this legislation won't help because it would only be affecting a very small number of... of I guess bad actors or whatever it is we would

RICK CHANDLER: We don't have it at that

2 appreciate you give us the data on whether it's 80

3 percent are happening... 20 percent are happening

4 | what is... what is the number, just not making a

5 | blanket statement without any information to back

6 it up.

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RICK CHANDLER: We'll get back to you with that.

COUNCIL MEMBER REYNOSO: Okay I would appreciate that. The second thing... So I'm very concerned over what you guys did with Harco Consultants and what you did with MRMD. In the Harco consultant situation someone died and Harco gets a 30-day suspension and a five-month probation which in my eyes is a slap on the wrist. It truly is a slap on the wrist. That is... that is... I'm going to show you why I think it makes no sense. Then in MN... MRMD from what I understand and the information you gave us today no one died but they owe you money. So... and they get ... they get all their work permits removed. Or they... they've completely... let me make sure that I get this correct. You revoked the registration of the general contractor that owes you money but you give a 30-day suspension to someone who took a life by being unsafe. So can you

COMMITTEE	OM	HOUSTNG	AND	BUILDINGS	Š

explain to me if M... MRMD had any sites in which a fatality happened... Well that's the question. Did

any fatality ever happen on the MRMD site?

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ALEXANDRA FISHER: In the case of MRMD this is a contractor that had been doing a lot of work over a lot of time. And as time was progressing their work was deemed to be unsafe and we did not want to wait for a fatality to occur.

COUNCIL MEMBER REYNOSO: But did anyone die?

ALEXANDRA FISHER: Not that we're aware of, no.

for MRMD but because they owe you 600 thousand dollars you take away... you take away their license. But then in this one case with Harco who you also said that they... they got the 30 days and the five month probation but you also said their safety record which showed they repeatedly flouted safety laws and frequently allowed hazardous conditions on their sites. So these guys are also bad players for... for quite some time and you have information that proves that. And because of their... their lack of I guess oversight or... or wanting to have safety

criminal case pending as a result of that fatality.

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COMMITTEE ON HOUSING AND BUILDINGS

2 COUNCIL MEMBER REYNOSO: Right.

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ALEXANDRA FISHER: So that's one issue.

And whenever the agency suspends and puts contractors on probation there are some fairly complicated downstream impacts including all the workers that work for those companies including the owners who have the projects that are being worked on so we are very sensitive to making sure that we're balancing everybody's concerns in these issues. Our goal... [cross-talk]

COUNCIL MEMBER REYNOSO: So ...

 $\label{eq:alexandra} \mbox{ALEXANDRA FISHER: ...} \mbox{is to both penalize}$ and to rehabilitate the contractor.

rehabilitate a contractor. So... so I... so that's what I'm trying to say. The amount of money that I'm trying to make sure that we can get... the fines... an increase... the increasing of fines to something that substantial and makes it so that it hurts their pocket right now for someone doing work with... without a... let's say they have no permit and they do the work and they got a 5,000 dollar fine. That is... that is... that's crumbs compared to the amount of money they're going to make with the work that

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they just done without a permit. So I guess what my concern here is that you guys haven't done enough personally. I don't think DOB has done enough. You only started doing some work thereafter because the numbers are getting out of control and people are dying on these sites. And that when you come into a hearing I understand you have internal works... work that you're going to do to try to improve your situation but also the city council and the public have a role in assisting you in doing your job or what we find is extremely important through law. And these pieces of legislation I think actually have some ... some merit to them. And what I don't think has merit is money versus lives in the case that you presented to us. So we don't think... I don't think you're doing enough. And I'm hoping that we can get to a place where you do support these pieces of legislation in an effort to save lives. Thank you.

CHAIRPERSON WILLIAMS: Thank you Council Member. We're also joined by Council Member Levine, Cornegy, Ulrich, and Mendez. I do want to follow up on my colleagues' questions a little bit. I think he deserves some kind of... I mean I'm not sure... I

2 have additional questions I was going to ask and

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3 since it came up I will... trying to just make sure

4 we're comparing apples to apples. You're saying

5 that MRMD were given these violations... this... this

6 money was assessed because they had a large string

7 of safety violations to begin with. And I guess

8 | we're lucky that no one died during that time

9 period. Was Harco assigned any violation fines?

RICK CHANDLER: Yes they were. And I... I think we should be very clear about the distinction between two cases. It's very rare that we have two cases that are the same. Harco by the way is still as Deputy Commissioner Fisher noted is still under criminal investigation which is handled through the DOI and the DA's office. We sit back and wait on that. So we have not completed all of our actions against Harco so I'd like that to be very clear. One other thing I want to make extremely clear is that we don't compare money with lives. And I think that is an... really oversimplification of the situation. So that's simply not true. So let's not be so simplified about how we analyze it. MRMD had

significant safety violations where they had

significant injuries, no fatalities. But as a

of this precedes you I think. You have a very very

tough job but you're there so we're going to have

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suspension?

2 to put the pressure on you even to clean up what 3 was done, what wasn't done before to make it 4 better. So we want to make sure that those lives aren't... that we don't lose anymore. So there is more that has to be done. I just want to make sure 6 based on the way it presents you can see why someone would save money versus a life so I'm 8 trying to give you a opportunity to explain why 10 that isn't if that's not the case. So you mentioned 11 that there were injuries at MR... MR... MRMD workers 12 had experienced beforehand. Can you compare that to what Harco's violations were before... before their 13

Hogan's familiar with the injuries so I don't know the extent other than the fact that they were serious and certainly drew more attention. I think we... I think we're unfortunately too familiar with the... with the incident with Harco is that the... despite numerous warnings... multiple warnings from the site safety personnel there to the construction management team unfortunately a trench that was too deep and unsupported was on site and a worker was directed to get into that trench and perform some

work and subsequently resulted in a collapse. There
were two workers. One of them escaped, one of them
didn't.

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CHAIRPERSON WILLIAMS: Sure. MRMD the...
that... they... they were shut down and you are
currently looking for a new contractor right, to
continue the work?

ALEXANDRA FISHER: The owners... the properties where they were contractors were sent letters and they have to find new contractors to continue the work. Yes.

CHAIRPERSON WILLIAMS: Okay. I'd like to see... if you don't have it now just afterward how the decision was made between those two. I just want to make sure we can all agree that it wasn't money over lives. And it's hard to gauge that completely now although I... I hope that's... that's what it was. I'm... first I'm glad that actions were taken. And I hope actions continue to be taken. I did think 30 days seemed to be a little small for someone that lost a life. And I just need to better understand how those decisions are... are being made. And since we were talking about Antonio Reynoso, Council Member... I'll give him 15 seconds...

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2 COUNCIL MEMBER REYNOSO: That's all I'm

going to need. So... there's also an oversimplification that you're having regarding jobs versus safety. I just want to be very clear here that you consider my statement's oversimplified. I just want to say your responses are oversimplified as well. We... we don't need to do jobs versus safety in this conversation because we know it's a lot more complicated than that. But I also do want to say that you shut down a contractor that had 400 job sites. You shut down all their permits. So if you really cared about the workers versus safety you would have second guessed that one as well. So I just think what I... what my big concern here is not so much to... to go back and forth it's just that there's a lot of generalities that are happening here. And we need more... more details as to how you're truly going to address these issues. And we don't need to oversimplify on either side here. But 400 job sites are shut down from MRMD and there seems to be no way that you can currently just think show the difference between Harco Consultants where you said repeatedly flouted safety laws. So in the past they've done that. And

2 MRMD and how one life was lost in one site and it's 3 just that there's more money owed on the other site 4 and where the difference is there. And I agree with

5 the chair that we just need more information so

6 that we can see the difference between money versus

7 lives.

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respond and give you some details and try to provide you with the context under which we work every day with... involves the law department; the district attorney, the Department of Investigations, sometimes Homeland Security, NYPD, FDNY, and others everyone of those agencies plays into the factor of everything that we do including everything that we do including that she's talking about right there. So let's not be simplifying.

CHAIRPERSON WILLIAMS: Thank you. I just... I want to be clear that I do stand behind Council Member Reynoso's questions and I think they are... are important ones. Council Member Rosenthal followed by Council Member Torres and...

COUNCIL MEMBER ROSENTHAL: Thank you Chair Williams and thank you Commissioner for your

2 testimony. I want to start by saying that ... 3 acknowledging for the record that there are two 4 buildings in my district where we've had real 5 serious problems and your staff has been incredibly responsive in terms of sending out inspectors and 6 now we're actually at the point where we did issue 8 a stop work order at one of the buildings. And I really appreciate that. So I want to thank you for that. And I think I... I do think it's a nuance 10 11 problem. And I appreciate the point you raised 12 about you know we don't want people to not be able 13 to work either. Right? We want to keep this as an 14 opportunity for the trades... this construction boom. 15 And we want... we want to be ... people to work. I guess I view a stop work order a little differently. It's 16 17 not... the point of the stop work order is not to 18 stop the work. The point of it is to make the 19 workforce find... give the building site and maybe department of buildings, maybe the workers a moment 20 to take a breath and think about what's going on at 21 this site that's making it so unsafe requiring so 2.2 2.3 many violations. And what can we do to change the behavior on that site to make it safer both for the 24 25 workers and the tenants. And that leads me to my

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first question which is about the risk... the risk 2 3 management office. And I'm wondering if you include 4 as part of the risk a building where there are 5 tenants already in the building. Let me explain what I mean because I'm not... I know you could think 6 7 what I mean by that is taking into account the risk of the tenants living in a building through 8 construction. But I do mean the workers. And what I've noticed in my district is that the buildings 10 11 where there's construction on site, a renovation, a 12 kind of conversion where there are tenants on site the workers themselves tend to be not aware not 13 14 happy workers. Not aware of what the larger plan is 15 not really well educated about what they're doing 16 themselves and I think there could be opportunity there to help protect workers if you added that 17 18 criteria into your risk management list of 19 criteria. Does that sound... does that resonate? No? 20 Okay.

RICK CHANDLER: Educating workers...

COUNCIL MEMBER ROSENTHAL: Well that at... at the site... at every site in my district where there's work at a building where tenants live there

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you.

2 the workers themselves seem not especially capable.

3 And that's a broad horrible statement but what I

4 mean is that they too... it's gen... you know the sort

5 of a... fish rots from the head thing. So if you've

6 got a developer who's... or an unscrupulous landlord

7 | who you know part of the reconstruction is to

8 harass out tenants there are also not treating or

9 caring about their workers very well. So the

10 workers themselves are more at risk.

RICK CHANDLER: I... I agree. I mean my evidence is anecdotal from visiting job sites as I think yours is but I don't disagree that workers are often not treated as well as they could be.

I'm... I would say that our efforts to expand the world of construction superintendents on more sites where this kind of work might be applicable would add some levels of supervision and hopefully... work on that... the head as you referred it to... as you refer. After that we... we work... we'd be willing to discuss it with you further because I... I would be careful of... is... about getting into something that I'm not sure that we could be affected. So I'm happy to... happy to try to try to discuss that with

Second round please.

_	COMMITTEE ON HOUSING AND BUILDINGS 50
2	CHAIRPERSON WILLIAMS: See that was
3	warm. You had the comments and everything. Council
4	Member Torres and Council Member Levine.
5	COUNCIL MEMBER TORRES: Thank you Mr.
6	Chairman. How are you Commissioner. I'm just
7	curious to know what's the role of, if any, of the
8	Department of Investigations and and Construction
9	Safety? My impression is that DOI is the
10	effectively the law enforcement arm of DOB. Is that
11	a correct characterization or…
12	ALEXANDRA FISHER: Hi. It was a group of
13	individuals who work hand in hand with the
14	Department of Buildings and DOI by the name of the
15	Building Special Investigations Unit and they work
16	with us when there is a fatality of an incident for
17	example.
18	COUNCIL MEMBER TORRES: Okay.
19	ALEXANDRA FISHER: But they also work
20	with the district attorney's offices to make sure
21	that bad actors are prosecuted. Does that help you?
22	COUNCIL MEMBER TORRES: So whenever
23	there's a fatality that triggers involvement by

DOI?

unprecedented.

2 COUNCIL MEMBER TORRES: It went from

rare... so now it's unprecedented.

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ALEXANDRA FISHER: I'd like to characterize it that way yeah.

COUNCIL MEMBER TORRES: Okay.

RICK CHANDLER: Everything that they do on these construction sites is adjacent to our subject matter experts. So they often will beat us there and wait for us to... to arrive. And then they will ask us what happened and we'll tell them. And that's how it usually works.

my time is limited so have you study... I... because

I'm curious to know the connection between the

nature of a construction site and construction

safety by which I mean whether it's union or

nonunion do you find that these fatalities are more

common among nonunion sites than union sites? Have

you studied that connection? Do you have data as to

the breakdown?

RICK CHANDLER: We... we don't study that.

And I... this is a longstanding question. We don't...

because we don't have reliable data and I think

that if we attempted to get reliable data... if we

1	COMMITTEE ON HOUSING AND BUILDINGS 59
2	attempted to get data it would remain unreliable.
3	There are just too many sites where there's a mix.
4	So you might have a union crane operator or a union
5	steel worker but you're going to have a lot of
6	other nonunion people on the sites. So anything
7	that we collect would not be I think useful to
8	have. So the… we… so… we don't collect that
9	information.
10	COUNCIL MEMBER TORRES: So you feel like
11	there's not even a value in attempting to study it?
12	RICK CHANDLER: Not from our perspective
13	because again I I really need every person that I
14	have to be doing things that I think will produce
15	valuable
16	COUNCIL MEMBER TORRES: Just just at
17	the level of intuition do you believe that there's
18	any connection at all?
19	RICK CHANDLER: What I believe is that I
20	think the training results in better site safety
21	[cross-talk]
22	COUNCIL MEMBER TORRES: Alright. Do you
23	find that training is more common among union sites

then nonunion sites?

L	COMMITTEE ON HOUSING AND BUILDINGS
2	RICK CHANDLER: I've never been a union
3	member but my sense is that yes unions provide
4	pretty
5	COUNCIL MEMBER TORRES: Okay. So there
6	might be a connection that that's worth studying

RICK CHANDLER: I think that... I think that there's general agreement.

there right?

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COUNCIL MEMBER TORRES: I agree. Do... do you have a... a list of repeat offenders, bad actors that you scrutinized more aggressively than others?

RICK CHANDLER: I think test... testimony showed in my earlier testimony that indeed we do. And we absolutely pursue those folks again... [crosstalk

COUNCIL MEMBER TORRES: Are you willing to share that list with the city council?

RICK CHANDLER: I just did in my testimony.

COUNCIL MEMBER TORRES: The list of bad offenders?

RICK CHANDLER: No we don't have a list other than the... we do have some people in Alex's shop right now that she's investigating and that's

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under investigation right now. So I don't think we would share that but we can explore what it is that we might be able... [cross-talk]

COUNCIL MEMBER TORRES: ...because there are... there are other agencies that do publish a list of... of questionable actors that are subject to higher scrutiny. Is that something DOB would be willing to do?

RICK CHANDLER: I don't think ... I'm not sure that we have anything that we could publish right now.

[cross-talk]

COUNCIL MEMBER TORRES: And I'm just curious if... if... if you're a bad actor who willfully violates building code and I suspect I know the answer to this question but I'll ask it anyway, willfully violate... and that violation results in the loss of someone's life? Why is it not the case that... that there's no automatic criminal penalty? That's something I can't wrap my head around.

RICK CHANDLER: I'm not a criminal prosecutor. I... I relayed our subject matter expertise to the DOI and to the DA. And if I have a strong opinion about criminal prosecution I'll say

aggressively hold the bad actors accountable?

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2	RICK CHANDLER: Yeah to the extent that
3	we issue licenses to these folks not all of them
4	have licenses other than insurance tracking
5	numbers. So some of them do have licenses and the
6	ones that I cited in my testimony did and we acted
7	and what we do do is if we see people who have a
8	history that we will will sweep them meaning we'll
9	find every job they have in the city and we'll pay
LO	them a visit. And issue stop work orders
11	COUNCIL MEMBER TORRES: But but their
L2	history can have no bearing on your [cross-talk]
L3	CHAIRPERSON WILLIAMS: Council Member.
L 4	COUNCIL MEMBER TORRES:decision to
L5	grant a permit? That's my last question.
L 6	RICK CHANDLER: Correct.
L7	CHAIRPERSON WILLIAMS: Okay. Do you
L8	want… [cross-talk]
L 9	COUNCIL MEMBER TORRES: And why is that
20	the case? Is that just a legal I'm just I'm I'm
21	not That's what I don't understand. What's what's
22	the constraint on your ability?
2 3	CHAIDDEDSON WILLIAMS. Council Member do

you want a second round?

RICK CHANDLER: But they're not...

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COMMITTEE ON HOUSING AND BUILDINGS

2 CHAIRPERSON WILLIAMS: I'm trying to ... 3 I'm trying to be specific in what... what the DOB itself was allowed to do outside of other law 4 enforcement entities under the authority to issue permits.

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RICK CHANDLER: I think that we... you asked and we answered that questions. I am not allowed to... to subjectively determine who's a bad quy... can't issue a permit unless he has a license that we've issued into and he has a demonstrated history that I can defend in court. And so we pick and choose as to what we can do. We have ... the resources that we have to stand up and... and make a case in front of the... a judge as to whether we can take that action.

CHAIRPERSON WILLIAMS: So under Harco and Renaldi you suspended them by yourself or did you have the other agencies had to provide permission or cover or what... what happened there?

ALEXANDRA FISHER: We used the authority we have in the code to bring a preceding at the Office of Administrative Trials and Hearing. From then we worked through with the judges there and the contractors on an appropriate settlement that

what... what we're trying to figure out is what

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instance you do use the power that you have under the code and what instances you don't use it and what are the differences in those instances?

RICK CHANDLER: There really is... as I've said there's many circumstances that we have to evaluate under every... under every condition. And again your example is a good one but there... it's not as so direct that when Alex and I and Tim and others make decisions about actions we're going to take we have to evaluate many other circumstances. And I... we just ask that... we would be happy to get back to you or meet with you to give you a little bit more of a discourse as to how that happens. It's... it's complicated based on the administrative code. And all of the other enforcement agencies related to law enforcement in the city of New York. It's not just construction law it's law enforcement.

CHAIRPERSON WILLIAMS: I understand.

That... that question seems to be the crux of the whole thing. So we do got to really figure out whether it's today or soon after in a meeting but it seems to me that there is an ability to use a code even if it's to initiate before the

1 2 administrative tribunal to prevent me from doing 3 further work particularly if someone has died on a site. And we have to just use it more often I 4 think. And I'm not clear on what a differentiation is and I just would like to be clearer. If there's 6 a way to make it clearer for this hearing that will 8 be great. If there isn't we have to really figure it out very soon because as we mentioned we're here because people... real people are dying. Council 10 11 Member... and I appreciate Council Member Torres' questions. Council Member Levine, Grodenchik. 12 13 COUNCIL MEMBER LEVINE: Thank you Chair 14 Williams. Commissioner, hello, very nice to see 15 you. Okay. In the Harco case you mentioned that once an investigation was conducted after 16 17 tragically someone died that you found the company 18 had repeatedly flawed... flouted safety laws and 19

frequently allowed hazardous conditions on their sites. So the obvious question is since it doesn't do us any good to realize after the fact that there was a pattern why wasn't there an intervention along the way if there were repeated problems?

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RICK CHANDLER: Okay I'm sorry could you say that... Answer... ask the question again.

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COUNCIL MEMBER LEVINE: Sure. After the tragic incident at Harco your... the department determined that there were... the company had been repeatedly flouting safety laws and frequently allowed hazardous conditions on their sites. So the obvious question is how did it come to that? How could a company who was being unsafe and flouting laws... how could... how could there not be an intervention? How did it get so bad that someone died?

RICK CHANDLER: It's part of the reason we created the risk management office and we're still developing it and... and enhancing it in other ways in terms of analytics and research. It's not something... our system is not set up to alert us on all... all the violations related to the particular job. We are trying to set that up now after we set up our risk management office. And then we... that's... that was my point about trying to be more proactive because my plan is to do just that... is to try to find the Harco before it results in the death or try to be more active against MRMD before it gets down the road to the 600,000 dollar and suspension by the law department and us. I mean here... so

you're... it's a excellent question and... and I

hope a year from now we'll be able to... [cross-talk]

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COUNCIL MEMBER LEVINE: And when was the risk management office formed?

RICK CHANDLER: It was formed in March of 15 I think.

COUNCIL MEMBER LEVINE: Okay.

RICK CHANDLER: Yeah. And... and that's one person... and now what we... what you have to build around that is some data scientists and a much more robust data analytic system. We have two to 300 databasis that don't talk to each other. So in order for us to gather information it's quite laborious. We have a lot of information about our million buildings but they're contained in two to 300 different databases.

COUNCIL MEMBER LEVINE: But what kind of monitoring or data analysis will this risk management office do that would have caught the kind of malfeasance of Harco? Because I... in... in the... in the description of the office that you offer and your testimony... it was... there were very very narrow... it was very very narrow issues they were dealing with. It looked like it was something

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about elevator safety, defects in elevator inspection, illegal gas hookups. I think those were the only two specifics you gave. And I'm all for proactive enforcement against illegal gas hookups and defective elevators. But clearly we had a broader problem at Harco. Are... are you... are you telling us that you're putting systems in place in this office that would have detected Harco if the office had been up and running sooner?

RICK CHANDLER: It's been up and running and we did this action after it has been up and running. But what I am saying is that it takes a lot more than just one person to do it. And those specific examples by the way I will say were not proactive, they were passive in that they were in response to the gas explosion from which we conducted over a thousand inspections after that tragedy. And then after the tragedy on Hope Street with an elevator accident we also were able to identify a specific type of elevator that had a problem after an enormous amount of laborious combing through our elevator records. When we get done that... those elevator records will be in a place where we should be able to just hit a couple

of buttons and filter some data to be able to pull
that up right away. So that's what we want to do
with Harco. We want to be able to see where's the
hazardous violations. And what names and
contractors do we see associated with those
hazardous violations? And what's the time frames

around them? And who are we routing? And when are we routing? And how often have they been visited?

There are just... there is so many different filters

11 to apply to what we are doing. We're also going to

be creating a robust mapping system. So you... you

13 can picture what we're... [cross-talk]

it's... look Harco deserves to be investigated. They should have a proctological exam as far as I'm concerned. But the goal here is to prevent... prevent the next Harco to prevent the next death and to intervene when there's a pattern of malfeasance as there was in Harco. I haven't heard yet specifics for your plan for the Office of Risk Management that could have or would have prevented the... of pattern of bad behavior that we saw at... at Arco [phonetic] ...at Harco.

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2 RICK CHANDLER: I think I touched on it
3 a little bit but it involves several more analysts,
4 a couple of data scientists, possibly a chief
5 analytics officer and a... a dedicated mapping
6 director. So we need a robust system.

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COUNCIL MEMBER LEVINE: Okay.

RICK CHANDLER: This is what we need and I would appreciate your support as we ask for these... these needs.

COUNCIL MEMBER LEVINE: Okay thank you.

CHAIRPERSON WILLIAMS: Council Member

Grodenchik. And then for round two we have Council

Member Rosenthal.

Mr. Chairman. Good morning. I would like to ask a question about page seven of your testimony in which the department opposes the doubling of penalties for work without a permit. I represent a very very flat district in eastern Queens. And most of my colleagues in Queens have many many single family homes in the district. And the problem that we have and it's... this is a problem that I've been aware of since I entered government almost three decades ago it is almost impossible to get somebody

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out of an illegal basement apartment. They're not safe. They make a tremendous impact on the infrastructure of communities. Our schools are overcrowded, our sewers, etcetera, etcetera. And this is about the only mechanism that I can see to penalize people because once people are in there I know it... it's almost impossible to get them out. I... I ask that question... I would also appreciate a follow-up meeting with your council and my council to discuss this because I hear about this almost every single day and certainly every time I go to a civic group at night. And I would like to hear your thoughts or your... one of your deputy commissioners' thoughts.

Buildings has entire unit called Quality of Life
Unit that's actually based out of the Queens
office. We average around the city between 40 and
50 partial vacates. Normally they would be basement
apartments that don't have adequate light or
ventilation. And... 40 to 50 a week that we do. It's
a regular course of business. We are out
extensively doing illegal conversion work
throughout the five boroughs. And we do treat it

very seriously. We get... we're getting access
warrants to get into some of these locations. When
we have the fire department doing inspections on
these buildings and they identify an illegally
converted property we do an immediate response to
meet them on the scene and do the vacates. So we
are working hard. The... the biggest issue is getting
into some of these locations. But when we do gain
access we write the violations, we do the vacates.
And once it's vacated if they find someone
reoccupying we'll go out and... and do additional
vacates on it. And if we can show that they were...
that the landlord was aware we'll look to do
further proceedings including criminal charges.

COUNCIL MEMBER GRODENCHIK: Alright. I would appreciate a follow-up on that Commissioner and we will be contacting you to see if there's any other legislative remedies that we can pursue. Thank you.

CHAIRPERSON WILLIAMS: Council Member Mealy who we've also been joined by has some questions.

COUNCIL MEMBER ROSENTHAL: Oh thank you.

2 CHAIRPERSON WILLIAMS: I'm sorry.

3 | Council Member Mealy did you have questions?

COUNCIL MEMBER MEALY: Sorry yeah.

[cross-talk]

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CHAIRPERSON WILLIAMS: Yes you're next.

COUNCIL MEMBER MEALY: Thank you.

CHAIRPERSON WILLIAMS: And then Council

Member… [cross-talk]

And I'm glad my colleague just mentioned about the partial vacate. When you partially vacate what is the sense of doing a basement that is illegal and then the first floor... no you just do the basement and then you let the first and the second floor that have multiple dwellings in it. They den [phonetic] cut up the building 'till you can't even recognize it was a two-bedroom apartment. So what is... what is your procedure on that? What kind of penalty would you give a homeowner on a two family apart... family building?

RICK CHANDLER: So it depends on the actual situation. The first thing is... is that any dangerous... absolutely dangerous situation... [crosstalk]

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COUNCIL MEMBER MEALY: Do you not think it's dangerous to have a cut up building that's... I mean they... last that I... we saw right on Crown and Utica... and I've been talking to your administration for now at least two months. You vacated a building and got the people out from the basement but left everyone else in the building in which is really illegal period. If someone cut up apartment it's illegal. And here it is... I don't know if someone of your inspectors are friendly with some of these homeowners that they just keep getting violations and they still keep hiring... well renting out their rooms like if it's a hotel. So I would like to know

RICK CHANDLER: Council Member Mealy I'd just like to... [cross-talk]

COUNCIL MEMBER MEALY: ...penalty you would get.

what kind of... [cross-talk]

RICK CHANDLER: ...I'm sorry to interrupt
you but I just would want to comment if you think
that any of our inspectors are friendly with
someone I encourage you to let me know today on the
way out the room okay?

1	COMMITTEE ON HOUSING AND BUILDINGS 78
2	COUNCIL MEMBER MEALY: I would love to
3	[cross-talk]
4	RICK CHANDLER: So please
5	COUNCIL MEMBER MEALY:because
6	something is wrong [cross-talk]
7	RICK CHANDLER:please give me some
8	names
9	COUNCIL MEMBER MEALY:with the
10	RICK CHANDLER:and I'll be happy to
11	report it.
12	COUNCIL MEMBER MEALY: I feel it's okay
13	that… [cross-talk]
14	RICK CHANDLER: But other than that
15	[cross-talk]
16	COUNCIL MEMBER MEALY:people are
17	getting… [cross-talk]
18	RICK CHANDLER:I would appreciate it
19	if you didn't… [cross-talk]
20	COUNCIL MEMBER MEALY:kicked out of
21	their houses.
22	RICK CHANDLER:imply that our
23	inspectors are friendly to people that they
24	[cross-talk]

wait I just need one person...

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RICK CHANDLER: Unless you have some names I'll be happy to take it.

CHAIRPERSON WILLIAMS: ...to speak at a time. Just one person speak at a time. Can you finish your... finish your question Council Member Mealy?

COUNCIL MEMBER MEALY: No that's about it. I would... it came up perfectly with the partial vacate. And I don't know how to all of a sudden be... as soon as the vacate came Red Cross was there. I don't know who called Red Cross it ... it's ... so I would love to speak to you. Thank you.

CHAIRPERSON WILLIAMS: Okay Commissioner did you have additional response? Thank you very much. Council Member Mendez has some questions. And then we'll... we'll have Council Member ...

COUNCIL MEMBER MENDEZ: It's almost afternoon. Good afternoon Commissioner. I think your testimony earlier referenced that these proposed legislation does not insist with ensuring worker safety. However, what these bills are trying to do and are specifically geared to is occupy buildings and ensuring the safety of residents in

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2 those buildings. And that's within your agency

3 purview, is it not?

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RICK CHANDLER: Yes.

COUNCIL MEMBER MENDEZ: Thank you. So there... there was a lot of work that was done by many of us on other pieces of legislation including these three. And it's been around occupied buildings where there are safety concerns for the residents in the building, where work is being done without a permit. Sometimes a stop work order has been issued. And for some people for some developers it's a slap on the wrist. I have three buildings... excuse me, I had three buildings that were located on 2nd Avenue between 7th Street and St. Mark's Place. They don't exist anymore for these very same reasons. Someone was doing work without a permit. There was a stop work order at some other point. And so I... I think these bill will go a long way. And in that case would also help some of the workers in the building but will go a long way to ensuring the safety of the residents. So I just wanted to get that on the record. Thank you very much Mr. Commissioner.

2 RICK CHANDLER: Thank you Council

3 Member.

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CHAIRPERSON WILLIAMS: Council Member Rosenthal.

COUNCIL MEMBER ROSENTHAL: Thanks so much. I want to talk about your testimony on page four commissioner where you mentioned the proactive and enhanced disciplinary actions because both of those examples you give from my perspective are... are great. You know they really give me... it sounds completely appropriate, the action that DOB did in April and then September. Could you give me a sense... And it sounds like it's too soon to know what the impact of those actions are because the next question would be so when... trying to look ... Harco Consultants you know comes back to the job ... it hasn't been six months yet but when they come back are they going to be running their job site safer. And we hope the answer is yes because it looks like you really came down strong on them. And similarly with MRMD. You know for those job sites when the work continues we hope that they're safer given all of the infractions you've put on them.

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RICK CHANDLER: So Harco, his... their three months is up. [cross-talk]

COUNCIL MEMBER ROSENTHAL: Oh it's three, I thought it was six, sorry.

RICK CHANDLER: And... and they are back with increased safety requirements. So we did require that they have higher than usual oversight at their own expense. And then we check on that on a regular basis. And initially they needed a little bit further talking to as they started. And I think that they've gotten the message. MRMD received a message in their... as Alex said that they're not in business anymore. Our concern was to visit each one of those sites then shut the sites down and then work with the owners to try to help them get a contractor back in place so that we didn't shut their job down completely.

COUNCIL MEMBER ROSENTHAL: You know...

RICK CHANDLER: And I would also say that I tend... I attend many industry meetings with consultants... with industry professionals... contractors, developers, architects, engineers, and I can't tell you how widely praised these actions have been to the point where some of the biggest

contractors in the city have stood up and praised these actions as sending a message that is heard loudly to all of these people. So we feel that we're on the right track.

COUNCIL MEMBER ROSENTHAL: How many...

that's great. That's great. And so how many... do you

have like sites or contractors in the hopper that

you think are on the verge of hitting the threshold

where you would take that kind of action? Do you

track it in that way?

RICK CHANDLER: Yes, absolutely. And part of it happens... it happens in various ways. I...

I've testified in the past that we have... we are...

we're all equipped with our watch command notices about what our emergency response team is doing and what our staff is doing on a regular basis. So when we peruse all of the actions that our staff are taking on a regular basis and when they issue reports frequently there will be an exchange between all of us here about I think that these folks should be looked at a little more deeply.

Sometimes they'll get an audit. Sometimes they'll be... get a phone call from Alex's attorneys. And sometimes we'll evaluate how they... if they have

COUNCIL MEMBER ROSENTHAL: Sorry?

starting with façades and elevators. And... thing...

2	COUNCIL	MEMBER	ROSENTHAL:	Right

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RICK CHANDLER: Obviously that's going to be including contractors as well if we could link it up with violations in a much more sophisticated way that's under... by the way the building... underway right now.

COUNCIL MEMBER ROSENTHAL: Great. Thank you very much Commissioner.

CHAIRPERSON WILLIAMS: I think Council Member Mealy had some additional questions.

council Member Mealy: Yes I just have one follow-up in regards to... what is your procedure in regards to if a contractor get a stop work order and then go through another way to go in through maybe a side or the back of the building and finish the building. Do constituents still have a opportunity to call DOB?

TIMOTHY HOGAN: We always take complaints on the buildings. When we go to a complaint and we see that something's happened at the building we photograph the conditions of the buildings when we issue the stop work orders. If we come back to that location, we often go back to the photographs we previously took to see if there were

love that you said that you take the picture from

the beginning and then afterwards you come back. So

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CHAIRPERSON WILLIAMS: What's the ...

what's it called? What's the term?

I would love for you to see exactly if that was done on a couple of these projects. Thank you Chair for this important meeting.

CHAIRPERSON WILLIAMS: Thank you. We've been joined by Council Member Espinal. I have just a couple of questions. And then we'll let you go. You've been here for an hour and a half, almost two hours, sorry. Aside from the construction supervisor are there any other changes that you noticed that you can do immediately that would help assist with a site... a safety site... safety on a site?

RICK CHANDLER: Yes Alex reminds me that one of the other things that we're thinking about doing is we do have the discretion as we did with Harco but we can do this with numerous... as we can do it at will as to demand that they insert the construction site... safety compliance officer. These are all defined terms in our code. We can demand that as we did with Harco. And we do demand it. And we can consider expanding that use when we... [crosstalkl

CHAIRPERSON WILLIAMS: Do they... Are they

RICK CHANDLER: It's anytime we... that's

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RICK CHANDLER: Safety compliance

3 officer.

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on both... not... below 10 and above 10 or is it just

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for below 10?

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something that we have discretion about. And I 8

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we have tools to use. I'd be happy to provide that

update all of the construction codes as defined as

part of the process the department works with the

voting thousands of houses to exhaustive analysis

council and hundreds of other stakeholders to

on a myriad of construction issues including

safety. What other issues does that... that group

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deal with?

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think what we'll try to do is provide you a table just as I was provided some weeks ago for a briefing for me just to remind me of the various compliance officers that we have in our code that for you. CHAIRPERSON WILLIAMS: And a question specific to my bill 794... mention additionally existing law requires the department to review and

1 2 RICK CHANDLER: Well that's our 3 technical code working group. And we have some 4 outstanding engineers and administrators in that group and they are required by law to evaluate and upgrade the... the building code. For example, we 6 7 just enacted... thank you for your help... for the 2014 8 code which is just completing its first year. But soon we will be having to engage in bringing that up to the 2017 code because it's a three year 10 11 cycle. That's one. We're also required by the state 12 to upgrade the energy code. So that same group is 13 heavily involved with upgrading the energy code and 14 compliance related to that. The third thing that 15 we're doing is we've been asked by Office of 16 Resiliency and Sustainability and EDC and Small Business Services to take on waterfront permitting 17 18 as well. That's entirely another project to 19 evaluate what engineering codes are required on the 20 waterfront. But that... that same group is doing 21 elsewhere things. The fifth thing that they're 2.2 doing is trying to... got a process underway to 2.3 consolidate all codes that were in place prior to

2014 called the existing building codes. So these

are extremely valuable people on my staff that are

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RICK CHANDLER: Yeah.

RICK CHANDLER: No.

CHAIRPERSON WILLIAMS: Alright. But there is a list of... of bad actors within the lot line?

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RICK CHANDLER: So... you know it's ... we have a couple of lists. One we keep a... just a list of disciplined professionals on our website that we encourage you to check. And then the other thing that we do is when we see something again the gas is one perfect example but there have many since then or... or Harco or others if we see an accident or an unsafe hazardous violation we very frequently will then round up every permit that they've had ... they have in place. And then we sweeped 'em. And so that's... that is the extent of our list. But it's not an ongoing list that we're trying to junk off. We just have ongoing lists of people that we notice who... who had some bad experiences and we need to know if they're having bad experiences in other locations as well.

CHAIRPERSON WILLIAMS: I think many of us here think may benefit to actually have lists of particularly bad actors. I would include off lot line as well but definitely those who are on lot line a list of people who are repeatedly doing bad

RICK CHANDLER: Mm-hmm.

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COUNCIL MEMBER MENDEZ: Okay. I look forward to getting that information.

CHAIRPERSON WILLIAMS: Thank you

Commissioner it all for spend his time with us. I would say that we... just for clarification we want... it does seem clear that the Commissioner of DOB does have discretion and I think the ... the words are may... we definitely have discretion on bringing criminal and civil procedures. And so I think we need to have a further conversation about when that's used, why it's used. It is clear that discretion lies with you. We just have to figure out now why, when, and why not. So I think that's ... that's a very important question that we really... get to here and doesn't make sense to go back and forth or there's a point of contention there so we should... we need figure that out and massage it out so that it's clear for everyone as we go forward. Thank you very much. I really appreciate it. We're going to take literally just a five-minute break. And then when we come back I believe we'll have the Madam Borough President Gale Brewer. And she will be followed by Harvey Ebstein, Donna Chew, and Zu Chang... Shang, apologize if I chopped that up,

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Cynthia Leann Weaver, and Chong Wong, and Ariana Momora [phonetic]. They will go on directly after the Manhattan Borough President.

[pause]

CHAIRPERSON WILLIAMS: That's a powerful gavel right there. That felt good. That was nice. People start... Okay seeing that the Manhattan Borough President is not currently here if anyone knows something different please let me know. We're going to start with the panel that I called out beforehand. I know someone will be reading the testimony of Harvey Epstein, Donna Chu, and Siu Chan... Chang Shang [phonetic], Cynthia Leann Weaver and Chon Wong, and Ariana Momora. Please come up. Can each of you please raise your right hand? do you affirm to tell the truth, the whole truth and nothing but the truth in your testimony before this committee and respond honestly to Council Member questions?

[combined affirmations]

CHAIRPERSON WILLIAMS: So unfortunately we have to be out of this room by one so we're going to have to limit everyone's testimony to two 2 minutes. You can begin at the order of your
3 preference.

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AKINA YOUNGE: Okay. Hi, my name is Aki Younge, I work for the Urban Justice Center Community Development Project for the Housing and Workers Rights Practice areas. I'm going to be reading the testimony for Harvey Epstein. Just to give a little background about CDP, the Community Development Project we're one of the ten subprojects of the Urban Justice Center which is a legal service organization and CDP in particular partners with community based organizations to provide legal services in a variety of practice areas and support and legal representation. So we are a member of the coalition of Stand for Tenant Safety which is working to end the use of aggressive residential construction as a form of tenant harassment. We are excited that today two of the 12 bills in our legislative package are being presented at this hearing. These two bills, 0939 and 0940 will increase fines for developers and contractors who conduct work without a permit and who work while a stop work order is in effect. We see these bills as adding a true deterrent against

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rushed, unsafe, and illegal construction. The sad truth is that opportunistic landlord's take advantage of loopholes taking advantage of loopholes and lack of enforcement in construction work is not a new nor rare occurrence in our city. With this city's housing and displacement crisis every unit of affordable housing is precious to local residents and a potential gold... goldmine for New York City landlords. Landlords take advantage of opportunities to evict tenants be it through harassment of non-stop eviction cases in housing court or through the harassment of non-stop construction work in their buildings. As a legal service organization we have helped dozens of tenants in rent stabilized buildings with a landlord's use of gut rehabilitation construction and vacant apartments has risen to the level of harassment through relentless aggressive construction in... in vacant units while adjacent units are still occupied, this is a form of constructive eviction. The tenants that remain during these aggressive construction processes are usually long term residents of the neighborhood and have often already declined multiple buyout offers.

practice litigation over half of tenants and the

organizing work of the housing advocates in Stand

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2 for Tenant Safety it is clear that intro's 0939 and

3 0949 are necessary.

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DONNA CHU: Good morning, my name is Donna Chu, Director of Housing and Community Services at Asian Americans for Equality. I am here with miss Siu-Kwan Chan [sp?], a long term resident of 211 Madison Street to testify in support of intro's 939, 940, and 794 and we want to thank the... Council Member Williams for scheduling this hearing and to the Council Members that introduced the legislation. I prepared some... my statement but I want to talk more... I want to describe the experiences of my tenant in the interest of time. So construction as harassment is one of the most prevalent housing issues that the Affie [phonetic] staff sees in our community based office located in Chinatown. We've been serving Chinatown and the lower east side for the past four years. So for the... just in the past year we've seen construction as harassment at 90 Elizabeth Street, 43 Essex Street, 10 Montgomery, and 211 Madison Street which is where Miss Jung [sp?] lives and for example we have seen owners engaging in gut renovations without any DOB permit at 43 Essex Street and 90

Elizabeth Street. At 43 Essex Street and also at 90
Elizabeth Street it wasn't until the city's tenant
protection task force did surprise inspections did
we get any stop work orders or even any violations
places. So at our office we've worked with tenants
and those buildings to repeatedly log in 3-1-1
calls but we didn't get any response and in the
case of my Miss Jung's building at 211 Madison
Street she's had her ceiling collapse on her, she's
had the vacant apartments in her building gut
renovated despite that the permits say light
carpentry work and also in Jung's building the
owners have done concrete work in the basement
despite not having any permits to do so. So for
Miss Jung I she wanted me to convey to the council
here today that she's been living at her building
for the past 13 years. Last year she had a new
owner and as soon as the own new owner bought the
building of course they engaged in a campaign to
vacate the rent stabilized units and then they
began gut renovating the vacant units and so we
want to express to the council that this is a very
big problem and we thank you for this opportunity.

2 CHAIRPERSON WILLIAMS: Thank you very

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CYNTHIA WEAVER: Okay. Good morning, my name is Cynthia Weaver and I'm a staff attorney at Manhattan Legal Services. I'm speaking on behalf of legal services NYC and our tenants experience with illegal construction. We thank the city council for holding this hearing. We believe and support the increase penalties as a necessary first step to preventing tenant harassment through illegal construction though urge that additional measures be taken due to the pervasiveness and severity of this problem. Landlords use illegal construction to hound and bully tenants in an effort to constructively evict them from their homes. This year our office filed two group cases on behalf of rent regulated tenants of 43 Essex Street and 90 Elizabeth where illegal construction is at the heart of a broad campaign of harassment. My client Chong Ku Wong is a petitioner in the 43 Essex Street case and he'll be providing you with some details of his experience. Our experiences show that often times the threat or imposition of civil penalties is inadequate in ceasing harassing

-	COLUITITE ON HOODING TIME BUILDINGS
2	behavior. We understand that some landlords may
3	treat civil penalties as simply part of the course
4	of doing business. As an example, Dean Colaso [sp?]
5	the landlord of 43 Essex Street has been unmoved by
6	the threats of civil penalties and only with the
7	threat of contempt and frequent return dates to
8	court were we able to make any sort of progress
9	therefore we recommend that criminal penalties as
10	well as a private right of action for damages be
11	built into these two pieces of legislation. We also
12	want to emphasize aggressive comprehensive
13	enforcement as key to giving these code amendments
14	teeth and meaning. We sincerely thank and praise
15	the anti-harassment task force's interagency work
16	especially acknowledging the involvement of DOB. It
17	is clear that both HPD and DOB require additional
18	funding to expand their enforcement efforts and
19	that the council should hold a regulation hearings
20	to review the task force progress. I'll end it
21	right here; I gave the time to my tenants.
22	CHAIRPERSON WILLIAMS: You can finish

CYNTHIA WEAVER: Sure, I've... I was going to say the protection against arbitrary destruction

your sentence.

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cracks line my ceiling and walls, dust began

affecting us but we learned that a neighbor Miss

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Chin who is in her 80's she had lost electricity in her apartment luckily her grandson who was an electrician was able to talk to the landlord to see what was going on. We found out that the electric lines in the basement coming into her apartment was cut, deliberately cut. The landlord blamed Miss Chan for not paying electricity bill but we have proof that the tenant... that Miss Chan did pay the electricity bills and Ms. Chin... forced the landlord to install a temporary electric box in her bathroom to provide electricity to her apartment.

CHONG KU WONG: [speaking Cantonese]

CYNTHIA WEAVER: Because I don't have gas my family is forced to spend most of our money to buy food, we are afraid of using any outlets in the apartment because the electricity has cut out in my neighbor's apartments when they try to use the lights or even rice cooker.

CHONG KU WONG: [speaking Cantonese]

CYNTHIA WEAVER: Okay. It is clear to me that the landlord wants my family's living situation to be so terrible that we'll voluntary leave. I know that one family has already left because they are sick of living without water or

illegal construction rather than acting merely as a

2 cost of doing business. So my first example is Mr.

3 R, a client of mine who's lived in Washington

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4 Heights for 20 years in a rent stabilized apartment

5 | that's located in a basement. When his landlord

6 bought his building two years ago they started to

7 | construct illegal SRO units so units without

8 | ventilation or windows. That led to a stop work

9 order being placed, you know that did nothing to

10 deter the landlord from continuing to illegally

11 | construct SRO's and collecting rent on illegal

12 units and then a vacate order was placed which put

13 | his rent stabilized tenancy at risk you know the ...

14 after a year of litigation we were able to restore

15 his emergency exit but the point is that this work

16 continues despite the fines incurred by landlords

17 | under the current punitive scheme and briefly the

18 second example I'd like to share is of six MFY

19 | clients living in my own district in Bushwick

20 | Brooklyn. MFY's currently litigating a 7-A action

21 | for these six clients. They live on Suydam Street

22 | and in this case again illegal construction led to

23 | the placement of partial vacate orders which is

24 \parallel construction as harassment. These are putting rent

25 | stabilized, rent regulated tenants at risk and you

COMMITTEE ON HOUSING AND BUILDINGS

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know the fines incurred by the building's owner after initial stop work orders were placed were simply not enough to protect our clients so as egregious as these cases may sound these stories aren't unusual. Every week we hear about tenants facing illegal construction projects and they fear for their safety and that of their families and they often feel they have no options but to vacate their rent regulated apartments which is why we're here today.

CHAIRPERSON WILLIAMS: Thank you. do any of you have any instances of anyone in... injured because of the construction that's going on?

CYNTHIA WEAVER: I won't... we don't have any tenants who were physically injured but we have a tenant family who suffered their mental state to compensate a... since the illegal construction...

[cross-talk]

 $\label{eq:chairperson} \mbox{CHAIRPERSON WILLIAMS: I'm sorry, repeat} \\ \mbox{that again.}$

CYNTHIA WEAVER: Sure, their mental state... [cross-talk] compensated drastically since illegal construction occurred and they are very

fearful to return to their building and therefore 2 3 they have decided to ... to vacate the apartment.

4 CHAIRPERSON WILLIAMS: Thank you. Thank

5 you very much... oh sorry...

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AKINA YOUNGE: Sorry, I'm... I also do know from other advocacy groups that we're working with that there are tenants who have suffered medical issues in regards to the construction that's happening in their apartment. For example, I know that Cooper Square Committee has a tenant who went to her eye doctor and the doctor said that it looked like she was a construction worker and worked at a construction site that had a massive amounts of dust. So there are medical both physical and emotional effects that are happening to tenants who are living in these aggressive construction sites.

CHAIRPERSON WILLIAMS: Thank you very much. Thank you everyone for coming out. Next I'm very happy to call Manhattan Borough President Gale Brewer who's done a... a lot of work around these issues as well and we want to thank her for that and following the borough president will be Donald Ranshte bill and trades, Michael Arvanites Safety

because he's been talking about this issue, stood

in front of several construction sites and he's 2 3 been putting his money where his mouth is on this 4 issue and I appreciate it very much, not a Johnny 5 come lately on anything. So the question of how to strengthen the laws that deter unlawful behavior in 6 7 construction related activity and improve 8 conditions on job sites to make them safer for workers, for traffic, and cars and pedestrian it's a very difficult task to tackle and only made more 10 11 vexing was a construction boom igniting development across the five boroughs but particularly 12 13 Manhattan. Additionally, as the city pushes for 14 more units to meet its affordable housing goals 15 neighborhoods, residents, and property owners 16 unfamiliar with the scale of activity are coming to 17 terms and responding with caution to what this 18 means for the streetscapes that define our 19 communities. For these reasons and more I obviously 20 commend the sponsors of these bills. We know the administration has... is committed to an infusion of 21 resources to transfer... transform DOB and you heard 2.2 2.3 earlier from the commissioner to make DOB more effective and responsive and to reinforce public 24 safety through their enforcement with great... and 25

2 greater transparency which is equally important and I know that these changes are what is part of the 3 4 topic today but they... the unprecedented level of development it... it's a cost to workers on these sites as you know. We've seen an alarming increase 6 in the rate of construction site incidents, injuries and fatalities, you've heard the 8 statistics but according to the OSHA 18 workers died on construction New York City work sites from 10 11 October 2014 through September 2015 compared to 12 just 12 in the previous year and seven the year before and these are instances of site debris 13 14 falling on pedestrians and occupants of passing 15 cars causing injury or death and these are 16 documented regularly. There was an incident in May 17 of this year when an industrial air conditioner 18 plummeted 28 stories from the top of a midtown 19 building after a cable snapped as the Chairman 20 knows and ten people were injured including two construction workers. We also know that on the 21 record some 20... 2008 through 2014 there were 96 2.2 2.3 construction incidents involving pedestrians and other passer byers and that came from the Wall 24 Street Journal article this year. So coupled with 25

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all of these safety concerns is a sense that the regulations in place are insufficient which is why you're having the hearing today. Community boards are concerned, housing advocates, neighborhood associations, they're all providing evidence about improper work ranging from unpermitted demolition to tenant protection plan violations. They have testified before about these issues, about the fact that citations from the city have been issued with less frequency than is required to mitigate the problems, that's what the community board's feel strongly. Some offenders apply for permits for a very specific type of work but will carry a more extensive work beyond the approved scope of construction activity ignoring stop work orders and employing a bait and switch regarding the permitted work action puts at risk the structure of the building and the safety of those all around the site. In addition, when citations are issued the fines or penalty for continuing are the cost of business as you heard. We cannot trust that the protections that allow construction in populated areas are effective unless they are really adequate for protection for all of us. So particularly acute

to focus as you heard today particularly regarding

occupied buildings and what goes on when there's

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_	COMMITTEE ON HOUSING AND BUILDINGS 1.
2	renovation. I know the Stand for Tenant Safety
3	group is focused on that but so did the previous
4	speakers. So applaud all of these bills. I will
5	tell you just in terms of some of the topics
6	without going into details that come up already in
7	our safety task force. The issue of harnesses comes
8	up sometimes they're there sometimes they're not.
9	The issue of guardrails comes up sometimes they're
10	there sometimes they're not. Very different issues
11	regarding low rise buildings and high rise
12	buildings but that needs to be looked at in terms
13	of what the definitions are. The issue of training,
14	who gets trained, when they get trained, who pays
15	for the training that's the big issue but Chairman
16	you brought up the issue of the site safety
17	managers they do not exist in the numbers that they
18	should exist and maybe they should be on more job
19	sites. That whole issue site safety managers one of
20	the problems is site safety managers go from job to
21	job, that's what a site safety manager does but
22	when they go to DOI the DOI has to spend a lot of
23	time investigating them because you have to have
24	from each site an extensive evaluation of what you

did in that site and providing all that paperwork

is challenging, it probably should exist but it's 2 3 challenging and also the issue of alt versus 4 demolition is another issue, what is an alt, doesn't it look like demolition yet different standards exist when you have an alteration. Those 6 7 are just some of the challenges, how you bring materials up and down at construction sites is also 8 something that needs to be looked at. So there's a lot of low hanging fruit that we're looking at in 10 11 terms of the construction issue but I want to 12 congratulate you on this topic, you've been at it

for a while and I look forward to continuing to work with you and hopefully making recommendations for site safety that would make sense for the entire city. Thank you very much.

CHAIRPERSON WILLIAMS: Thank you uh borough president and thank you for the kind words about my work and you have joined me on a couple of those sites where there were problems, thank you for that. I did have a... a question. So one just so I'm clear it sounded like you supported all three bills, I just wanted to make sure of that?

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there's... what the impact has been and so do you

CHAIRPERSON WILLIAMS: Thank you very

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much... [cross-talk]

COMMITTEE ON HOUSING AND BUILDINGS

2 MANHATTAN BOROUGH PRESIDENT BREWER:

3 Thank you... [cross-talk]

CHAIRPERSON WILLIAMS: For your time.

5 | So... I'm sorry, we have one other question.

MANHATTAN BOROUGH PRESIDENT BREWER:

Thank you.

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COUNCIL MEMBER MEALY: Thank you, our borough... I was thinking is there any way we can have maybe color coordination at the sites that... that we can distinguish just by driving by saying it's... fuchsia pink is whole demolition, do you think anyone is thinking on that because when you read those permits you can really know if they typed something in or if... if it's photocopy so are we really working on something that we can really distinguish the... the real ones from the fake ones and if they're overdoing it more than what the permits say... [cross-talk]

MANHATTAN BOROUGH PRESIDENT BREWER:

You... your... in the two meetings that we've had so

far your question is relevant in the sense that

community boards everywhere pay a lot of attention

to the sites. The community boards do not feel as

district managers or as members that they can

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questions?

identify what you are describing so I don't know that it's... the solution is what you suggested but I do think it's something that we're going to look at and it should be looked at. It's not transparent on the web site and obviously it's not... even with a QR code or anything else at the site so the issue of transparency I mentioned that without getting into details absolutely is an issue, yes.

COUNCIL MEMBER MEALY: Thank you.

CHAIRPERSON WILLIAMS: Thanks again. So we'll have Donald Ranshte, sorry if I pronounced it wrong, Michael Arvanites, Devon Johnson, Dan Evans, Delsenia Glover and Erica... I'm sorry, Eric Alugas... Clover, sorry. So those of you still here please come up and while they're coming up I'm going to take some Chair's privilege to acknowledge Professor Joseph Wilson whose here who used to head the... where I got my Master's degree from in Brooklyn College, thank you for ... for being here. Can you please raise your right hand? do you affirm to tell the truth, the whole truth and nothing but the truth in your testimony before the committee and to respond honestly to Council Member

COMMITTEE ON HOUSING AND BUILDINGS

2 [combined affirmations]

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CHAIRPERSON WILLIAMS: You can begin in the order of your preference.

UNKNOWN MALE: What's that, okay.

DELSENIA GLOVER: Good evening Chair... I mean good afternoon Chair Williams and the committee on housing and buildings for the opportunity to testify today. My name is Delsenia Glover and I'm here representing my organization Tenants and Neighbors. I'm going to try to move through this quickly because I know we have short ... we're short of time. In the buildings where we organize the... the story is the same, low and moderate income tenants in New York City are regularly experiencing the pressures of displacement. Rents are climbing and tenants are concerned that they will not be able to afford to stay in their homes and communities. Landlords are using every opportunity and every tool in the arsenal to empty rent regulated apartments so they can be flipped to market rate and unfortunately a laxity of tough legislation governing the department of the buildings along with real and meaningful enforcement of construction and building

2 rules has resulted in building shut downs, tenant 3 harassment by being forced to live in horrific 4 conditions and ultimately homelessness for many 5 tenants. The Stand for Tenant Safety coalition, a group of organizations concerned with housing 6 justice for New York City tenants has worked with members of the city council to submit 12 bills. We 8 are pleased that the council is holding a hearing on 939 and 940 today which will create penalties 10 11 that are meaningful and beyond the cost of doing business for developers and contractors and be a 12 13 deterrent and increase fines for violating a stop 14 work order which is an increasingly common and 15 dangerous practice. I will look forward also to 16 having the opportunity to testify in support of 17 intro 918 which has to do with professionally 18 certified applications for construction document 19 approval and final inspections of permitted work. 20 930 distress buildings subject to foreclosure by 21 action, 924 in regard to vacate orders, 926 creating a task force on construction in occupied 2.2 2.3 multiple buildings, 931 building violations adjudicated before the Office of Administrative 24 25 Trials And Hearings, 934 creation of a real time

1 enforcement unit in the Department of Buildings, 2 3 936 a tenant protection plans, 938 require an increased oversite of construction contractors who 4 have engaged in work without a required permit and 944 having to do with construction work permits. I 6 7 am reminding the council of the buildings that are coming... the bills that are coming through and hope 8 that they will be heard by this... by this body in the extreme near future. Without this critical 10 11 oversite landlords will have free reign to continue 12 to abuse tenants with these practices in an effort 13 to rid the buildings of rent regulated tenants many of whom are seniors and families with small 14 15 children. We urge you to pass intro 939 and 940 and 16 the remaining pieces of DOB legislation with all 17 due haste. Thank you for the opportunity to testify

ERIC ALUGAS: Thank you. Good morning, thank you to Chair Williams and the Committee on Housing and Buildings for this opportunity to testify. My name is Eric Alugas and I am the tenant leader of ... with ... with Tenants and Neighbors and a member of Harlem Tenants Against Tahl Propp, a coalition made up of tenants in Harlem buildings

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today.

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owned by Joseph Tahl and Rodney Propp and managed 2 3 by Manhattan North Management Company. I am a tenant at... at one of those buildings on 1845, 1851 4 $7^{\rm th}$ avenue in Harlem. From 2004 when our new landlord Joseph Tahl bought the building with his 6 partner Rodney Propp there have been simultaneous 7 8 construction projects in these fully occupied buildings in manners hazardous enough to warrant penalties and violations and stop work orders. This 10 has been the norm since 2004 and continues to this 11 12 day from being a rental building to the conversion to condominium. The work has caused floors and 13 14 walls to tremble like when the entire lobby was 15 taken up with sledge hammers. Floods too... falling through ceilings etcetera. When tenants questioned 16 17 these practices Mr.. Mr. Mr. Tahl was known to make 18 light of those concerns and called them 19 exaggerations and even said don't worry I'm not going to let the building fall down on you but then 20 in September 2007 a neighbor called and urged me to 21 put on the T.V. news and a building at 305 west 2.2 2.3 150th Street in Harlem was being evacuated, the floors were trembling and the fire department 24

evacuated the entire building. The work permit

2	stated that the building was vacant, many of the
3	tenants felt that this was harassment just to get
4	them out, many tenants ended up in shelters and
5	this was and is a Tahl Propp building. Now the
6	building is a condominium and none of those tenants
7	who were evacuated that day in September 2007 are
8	living there. Then again in January 2013 at another
9	Tahl Propp building at 1897 th avenue workers… let's
10	see the the building was evacuated because workers
11	were doing construction on the third floor and
12	removed walls without bracing the structure causing
13	the fourth floor bathroom to cave in to the third
14	floor. One family in particular was not allowed
15	back into their apartment until the repairs were
16	made. After months of living in a shelter the
17	affected family moved back into their apartment in
18	August, shortly after because of work being done on
19	the unit above them the ceiling collapsed and
20	injured a family member. 1890 is also a condominium
21	now thank you very much

DAN EVANS: Hi, good afternoon. My name is Dan Evans, I'm a tenant organizer at the Goddard Riverside Law Project. Our office provides legal assistance, tenant advocacy, and organizing support

usually just some sort of nominal fine that usually

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goes unpaid and so it's necessary that these fines be increased so that they are an actual deterrent to landlords doing construction work without permits. Intro's 939 and intro 940 will do just that they'll make landlords think twice before they put their tenants at serious risk by doing construction work without permits. I'm happy to ask... answer any questions you may have and I thank you for the opportunity to testify today.

CHAIRPERSON WILLIAMS: Thank you.

DAN EVANS: You're welcome.

DEVON JOHNSON: My name is Devon Johnson and I have been a permanent rent stabilized tenant at 469 West 147th Street, a residential SRO building since January 1st, 2000. I'm here today to testify in support of intro 939, intro 940, and intro 794 but first I'd like to thank Council Member Williams for calling this hearing and thank the Council Members that have introduced this legislation to help us the tenants. My building was recently purchased by a new owner who happened to have purchased numerous SRO buildings throughout Harlem in the past year. As soon as the new owner took over management of our building construction

began. We first found out about the construction by
seeing it happen right in front of our eye right
in front of our faces firsthand. We never saw any
permits posted anywhere in the building and were
never told by management that there would be any
construction taking place. All of a sudden we found
ourselves living in what felt like a construction
zone. One day when I was going to the shared
bathroom on my floor I saw a construction worker
adding another threshold to the shared bathroom,
the door would give my neighbor exclusive access to
the bathroom that we shared. I asked the worker
what he was doing and he explained that the owner
was going to put up a wall which would exclude me
from the bathroom and then the owner would remove
me from my unit and install a new bathroom in my
room, I asked if they had permits and the worker
didn't answer, he just kept working. The
construction continued for months and would begin
very early in the morning. Every day I would wake
up and hear sounds banging up until below me and
and the unit above me very late and very early in
the mornings and night. The work the workers would

okay. Intro's 939 and 940 we would be supportive of

2 the higher penalties for work contrary to a stop 3 work order and for work without a permit. On intro 794 at this time we would probably not be 4 5 supportive of the formation of a construction task force without representation of contractors as we... 6 7 we as a unionized construction industry 8 consistently meet with the commissioner of the Department of Buildings separately. Just to give some details and some of the ... to fill in some of 10 11 the gaps that have other testimonies haven't given 12 you this morning. The Department... I mean... I'm 13 sorry, the Building Trades Employers' Association, 14 the BTA currently has a monthly safety meeting that 15 has the Department of Buildings, the fire department and OSHA that come and report on monthly 16 17 statistics we certainly welcome you Councilmen and 18 any members of the city council to join us at those 19 meetings and we... we say that construction safety in 20 the city has one glaring difference and that is between skilled and trained union workers and those 21 2.2 non-union contractors. Here are the facts from OSHA 2.3 and the Department of Buildings, 75 percent of fatalities in 2014 were on non-union job... job sites 24 and it's higher in calendar year 2015, 83 percent 25

on non-union sites, 65 percent of stop work orders by the Department of Buildings were on non-union job sites, 61 percent of accidents reported in 2014 to the Department of Buildings were on non-union job sites. Of those sites where union contractors reported incidents it's our culture that requires our site safety managers to report those, we do not allow any incidents to go unreported to the Department of Buildings so we have a high rate of incidents of... of incidents reported however 60 percent of those incidents that were called in by our site safety managers go without a... any CB violation from the Department of Buildings. Thank you.

[background comments]

MICHAEL ARVANITES: Hello, my name's

Michael Arvanites, I'm the President of the Safety

Professional Association, we represent the 25

hundred emergency preparedness site safety concrete

and fire managers in New York City. Our training

academy; United Safety Academy is the only...

certified training center in New York City. We... we

handle roughly half the OSHA building and fire

certification trainings in New York. We also teach

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2 Hazmat, HAZWOPER, Active Shooter and Disaster 3 Drills. The Safety Professional Association working 4 in concert with Human Condition Safety endorses personal protective and safety technology so 5 workers can seek safe solutions to their own unsafe 6 7 environments. In addition, we're also the port 8 authorities independent monitor for safety drills in training. I would happily submit that if the intro 794 becomes law that the safety professional 10 11 association should perhaps become a member of that 12 task force. I want to thank you for your continuing 13 commitment to safety, the ... as far as Councilman 14 Reynoso's bills go we're also support of 939 and 15 940. Too often in the construction industry there 16 are bad actors who consider the current fee 17 structure just the price of doing business, they're 18 willing to jeopardize the safety of not only their 19 employees but the general public who happen to walk by their sites; falling debris like the bricks on 20 the lower east side that fell off on Monday or the 21 cracked façade that killed poor Greta Greene... two 2.2 2.3 years old... just two years old, she was just walking home from daycare. Often stop work orders are given 24

and ignored after safety professionals inform their

2 contractor or developers of dangerous situations.

3 Site safety managers can shut down the work site

4 and often with a small percentage of bad actors

5 | that are contractors they'll just reopen the site

6 without the site safety manager there the following

7 day or several days later. When a safety

8 professional is present a... is not present a work

9 permit or a stop work order is public and the

10 employees only hope at a safe working condition so

11 to go on and then violate that is negligence and

12 | the higher... the higher fee is just certainly

13 | justified. I just wanted to... to add the one... the

14 one last point, we haven't seen anything yet as far

15 as this to start building boom goes, 2015 was a...

16 about a year well in 2016 there's going to be over

17 \parallel 40 billion in construction and for the first time

18 | ever. Large scale projects that site safety

19 managers are on they have a... another level of

20 | safety but these bills go even further with getting

21 | the persistent and consistent violators.

22 CHAIRPERSON WILLIAMS: Thank you very
23 much. For the... the folk here and even for you two

24 do you have any instances of tenants or people

did test... I did mention that we are very much

roughly amount... the same amount of... of market share

COMMITTEE ON HOUSING AND BUILDINGS

between union and non-union, they're still higher than the non... than the union jobs.

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CHAIRPERSON WILLIAMS: Alright, thank you very much. Thank you for your testimony. Unfortunately, we are on borrowed time right now but there's another hearing that's supposed to have started at one so unfortunately I'm going to have to reduce everyone's testimony time to one minute, I hate to do that, I apologize but we want to make sure we get everybody in who... who signed up to testify while also being respectful for the committee's that have to come after. It's actually two committees. So we'll ask everyone to line up who's ready. The ... this first panel can sit over here that would be great and the panel after that if you can sit over here to get ready to get on after this panel. Betsy Ickle [sp?] ATC, Bob Cacera Brooklyn Housing Preservation Alliance, Ricardo Guzemon [sp?] Saint Nicks Alliance, Harriet Putterman Cooper Square Committee if they could come... that four can come and sit here to testify. After them we have five people that's the last that we have signed up to testify; Alejandra Nasser, Flatbush Tenant Coalition, Valerie I think it says

1 2 Hendrigrez [phonetic] Flatbush Tenant Council, 3 Jacklyn Bailey Flatbush Tenants Council, Ava Farkas Met Council on Housing, Yolando Kodo Met Council on 4 Housing. So that last five they can just line up here and this... on the panel should be Betsy Ickle, 6 Bob... Bob Cacera, Rolando Guzemont, Harriet Putterman and if... I apologize again about the 8 shortness of time but obviously this topic is very important and a lot of people had a lot of things 10 11 to say and so unfortunately we have to cut down to one minute testimony time. If you can all raise 12 your right hand. Do you affirm to tell the truth, 13 14 the whole truth and nothing but the truth in your 15 testimony before this committee and to respond 16 honestly to council member questions? You can begin 17 at the order of your preference. You have to press the button. 18

BOB CACERA: Hello. Good afternoon Chairman Williams thank you for holding this committee meeting on a very important topic. I would say on the surface it would appear that by doubling the fines you would be better able to get people to comply with the rules. I would say that it would be marginally better and increase the

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complaint is made... maybe they will submit a post

1	COMMITTEE ON HOUSING AND BUILDINGS 141
2	approval amendment and they are and they're good
3	to go. This is a total abuse of the system and they
4	will know how and they know how they all know how
5	to work the system. The other problem is the
6	[cross-talk]
7	CHAIRPERSON WILLIAMS: Now sorry,
8	you're going to have to
9	BOB CACERA: Okay [cross-talk]
10	CHAIRPERSON WILLIAMS: Do a closing
11	statement.
12	BOB CACERA: Well I guess I've said it
13	all.
14	CHAIRPERSON WILLIAMS: Okay [cross-
15	talk]
16	BOB CACERA: I I on the as I said on
17	the surface I'm for the bills but it's it's
18	really we need to get the fines collected.
19	CHAIRPERSON WILLIAMS: And can you state
20	your name for… [cross-talk]
21	BOB CACERA: Bob Cacera.
22	CHAIRPERSON WILLIAMS: And and [cross-
23	talk]
24	BOB CACERA: Brooklyn Housing

Preservation Alliance.

COMMITTEE ON HOUSING AND BUILDINGS

2 CHAIRPERSON WILLIAMS: I know the work
3 that you do from Brooklyn so appreciate you
4 speaking up for the one and two family home owners
5 over there.

BOB CACERA: Thank you.

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FERLANDO GUZEMAN: Good afternoon. My name is Ferlando Guzeman. I'm the Deputy... Deputy Director for Community Preservation at St. Nicks Alliance. We are a local community organization in... Brooklyn and among other services we provide house and counselling and community organizing to low and moderate income families in Greenpoint, Williamsburg, and Bushwick. I'm here also as part of the... tenant safety coalition. And I'm here to support the intro 0939 in 0939 and 0940 which those are part of... package of legislation that the extent for tenant safety coalition has been working with almost 12 council member. And we are looking for a thorough report of the Department of Buildings. Very briefly one thing that is happening in north Brooklyn is that we are seeing a lot of landlords doing work as a means of harass tenants to be vacated of... of their apartments. I just want to talk briefly about two buildings in particular; 300

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Nassau Avenue which is being in the news real quick... very short. In that building the landlord is starting working without permits. They got fines by DOB and the stop work orders and even with that they continue to do work to the point that the tenants were vacated by the city because the conditions of the buildings. The other one is 95 clay where a landlord all of the sudden built a building in the rear building, in the rear yard. He stole gas lines and those tenants go without heat, gas, and hot water for almost four months in the winter. We believe that legislation is going to curb some of those landlords but we also believe that it's going to be enforceable only if the city can collect those fines. Thank you.

CHAIRPERSON WILLIAMS: Thank you.

HARRIET PUTTERMAN: My name is Harriet
Putterman. I'm a board member and organizer of the
Cooper Square Committee. And I'm going to drop my
prepared speech because I want to respond to your
questions about the health and safety of its
tenants who are living in buildings under
construction. We have a boatload of examples for
you and want to meet with you to discuss that. We

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have tenant... tenant we know who was pregnant running on the day of delivery and slipped on construction dust and injured herself. We have elderly tenants who because a... construction done in a hallway a plank is laid out and elderly people are asked to literally walk the plank and... and incur possible injury in a construction site. A neighbor across the hall from me on 18 Street has a serious lung condition because of exposed mold that she has been living with. A health professional on 18th Street himself got bronchial asthma from breathing in the dust from construction and having to put out his entire wardrobe to be cleaned with the dust. My time is up. We have many more examples

CHAIRPERSON WILLIAMS: Thank you very much. Thank you all for your testimony. Having been on that side I know it's kind of annoying that we had to cut you down so I apologize a little bit ... I mean I apologize a lot. But there wasn't a much we can do because of the hearing that's coming after this. So... I have been on that seat and I would be pretty annoyed.

for you and want to meet with you to discuss them.

COMMITTEE ON HOUSING AND BUILDINGS

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UNKNOWN MALE: Chairman... Chairman I'd like to submit to you information that we received on the fines... uncollected fines and just... [cross-talk]

 $\label{eq:CHAIRPERSON WILLIAMS: Sure, you can give it to the Sergeant. \\$

UNKNOWN MALE: Thank you.

CHAIRPERSON WILLIAMS: And the last panel of people we have signed up; Betsy Ickle... sorry sorry... Alejandra Nasser, Valarie..., Jacklyn Baily, Ava Farkas, and Yolando Kodo you guys have been very very patient. I believe we're going... is it three hours... well almost three hours that you've waited. Appreciate it. And then we cut you down to one minute. So I appreciate it even more. I'm trying to be as flexible as possible but... if you can each raise your right hand please. Do you affirm to tell the truth, the whole truth, and nothing but the truth in your testimony before this committee and to respond honestly to council member questions?

[combined responses]

CHAIRPERSON WILLIAMS: You can begin in the order of your preference.

2 AVA FARKAS: Good afternoon. My name is 3 Ava Farkas. I'm the Executive Director of the Met 4 Council on Housing. I'm here to express our strong 5 support for the bills that are being heard today. And to thank Council Member William and Council 6 Member Reynoso for introducing the legislation. As 8 the city gentrifies more and more landlords are carrying on extensive gut renovations without permits in units where they've been able to force 10 11 tenants out by taking away preferential rents, offering paltry buyouts, and bringing frivolous 12 13 eviction cases. Shair Carden [sp?], a tenant in 14 Chelsea cannot be here today but wanted me to tell 15 a little bit of her story which happened in 2014. 16 And I'll summarize it. All the tenants received 17 generic 30 day notices to vacate the building for face eviction. A month later construction workers 18 19 began to arrive daily and begin demolition of each 20 apartment as scared tenants began to flee. Workers 21 jackhammered the stairs, hallway, and foyer removing the old floor, installing new tiles. The 2.2 2.3 fire walls were removed causing a possible life threatening situation in case of fire. The first 24

floor and lobby floors were ripped out leaving the

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floors uneven. There were three stop work orders issued but they persisted in continuing the renovations. Tenants are going to continue to suffer every day until there are stronger penalties on landlords that break the law. We encourage the city council to pass these laws immediately. Thank you.

YOLANDO KODO: Hello, my name is Yolando Kodo. I was born and raised in Brooklyn Coney Island and now I live at 295 West 150th Street in apartment 44 in Central Harlem. I am a supporter of Intro 0939 and Intro 0940 and Intro 0794. I would like to thank the Council Member Williams for scheduling the hearing. Okay. I'm here because... I'm just going to let you guys know what's going on in the building. So that... right now as we speak there's illegal construction going on. Tenants are experiencing breathing in white powder, ceilings collapsing, just an example of construction gone wrong; the landlord was working without a permit. The ceiling collapsed on me. I now received SSI due to the fact of the negligence of the landlord. And I'm here to try to get help and make everyone aware of what's going on in the building. Thank you.

2 ALEJANDRA NASSER: Hello. Hi, my name is 3 Alejandra Nasser. I'm representing the Flatbush Tenant Coalition. And I'm also a proud member of 4 5 Stand for Tenant Safety, the coalition and campaign. And that has brought to you this 6 legislation here today. I stand tall with my 8 members today to speak about the importance of this legislation because it's not just about increasing fines and penalties for bad acting landlords 10 11 because you can never put a price on one... on tenant 12 safety. I want to speak to you about this because 13 it's the penalty that our tenants are paying as our 14 landlords are not paying the price. The... the 15 penalties are just simply not high enough for our tenants that are going through these egregious 16 17 abuses by these landlords committing this illegal 18 work. They're robbing tenants of their safety by 19 engaging in this illegal construction, work without 20 permits. And there really is no penalty high enough for the old woman that's trapped in her apartment 21 for two months. There's no penalty or price for a 2.2 2.3 woman who is getting called child protective services because her child is not equipped with the 24

correct amenities in her home. I know we talk a lot

2 about cases specific individual cases here today.

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3 And my members can speak about their own testimony.

4 But I require you to really take a stand for tenant

5 safety. We say that this is the year of the tenant

6 but this is just the beginning. If we pass this

7 | legislation, we can really look towards a new year

8 where tenants aren't robbed of their safety and are

9 protected in their homes and lives and they have

10 restored faith in the city agencies that are built

11 to serve to protect them. Thank you.

VALERIE ENRIQUEZ: Hi, good afternoon.

13 \parallel My name Valerie Enriquez and I live at 28 East $21^{\rm st}$

14 | Street in the Flatbush area. I'm here in today in

15 particular to support the Intro Bill 9... 0939 and

16 | 0940. I'm here as a tenant leader. And I'm also

17 here to speak on behalf of the vulnerable

18 | individuals in my building, especially the elderly

19 and disabled who don't have... not have a voice.

20 | There is illegal construction work happening in my

21 | building by unlicensed contractors do an illegal

22 | subdivision of apartment. This work is done without

23 permits and the expanse of this work is reaching

24 dangerous levels whereby kitchens are being

converted into bedrooms improperly and people who

2 are living in those bedrooms now are smelling gas

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3 fumes. Basically some of the gas pipes may not have

4 been capped properly. The solution is to pass this

5 legislation to deter landlords from continuing this

6 form of illegal construction by increasing fines

7 and violations when they do the work without a

8 permit. We need to hold the Department of Buildings

9 accountable. I look forward to the passing of Intro

10 0939 and 940 that will help protect New York City

11 residents from the perils of unscrupulous landlords

12 committing these heinous acts. Thank you.

13 JACKLYN BAILY: Hello my name is... Hello,

14 | my name is Jacklyn Baily. I live at 682 Ocean

15 Avenue in the Flatbush are. My building is owned by

16 Cotown Property [sp?]. I'm a member of Flatbush

17 | Tenants Coalition. I'm also the tenants leader in

18 | my building. I support the legislation 0939 and

19 | 0940. I feel that it's more than holding the

20 | landlords accountable for the working without

21 permits. It's about holding the landlords

22 accountable for putting tenants lives at risk.

23 | There's subdividing apartment buildings converting

24 | two bedroom apartments into four. They're

improperly moving gas lines without the supervision

2 or approval of the DOB, of National Greater Coned.

3 My landlord is putting lives in danger of

4 unsuspecting tenants who are moving into the

5 building. This legislation is key to stopping the

6 problem. We need severe penalties to be distributed

7 for the illegal activities to ensure that families

8 sure that... the tenants and the community at large

9 | will be safe and justice will be served to those

10 committing these crimes. I do not feel protected by

11 the DOB. Their lack of enforcement and oversight is

12 | alarming. Thank you for listening to my testimony.

13 Have a good day.

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much for your testimony and for waiting this long.

It is important to us when people come out and give their testimony in support or against some bills.

So we appreciate you coming. Obviously I've done a lot of work with... for many years so thank you. And a special shout out to Flatbush Tenant Council because they cover the area I represent. So thank you very much. I appreciate it. And I want to thank DOB for remaining here for the entirety of the hearing to listen to everyone. And I want to thank

Council Members Daneek Miller and Inez Barron for

1	COMMITTEE ON HOUSING AND BUILDINGS 15:
2	their understanding of us going into their time in
3	this room. And with that the hearing is now closed.
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World Wide Dictation certifies that the foregoing transcript is a true and accurate record of the proceedings. We further certify that there is no relation to any of the parties to this action by blood or marriage, and that there is interest in the outcome of this matter.



Date _____ December 23, 2015